

SPLAY TREES

Sleator & Tarjan
1985

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- BST with no extra info
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- ↳ nice feature: frequently accessed items can take $O(\log n)$

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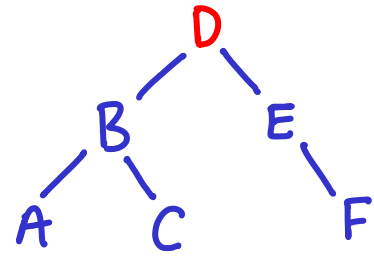
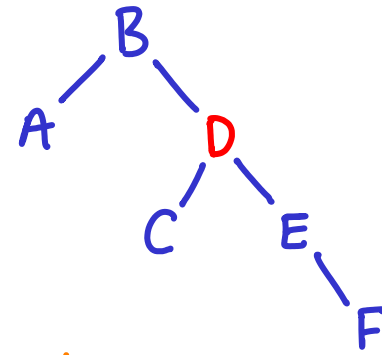
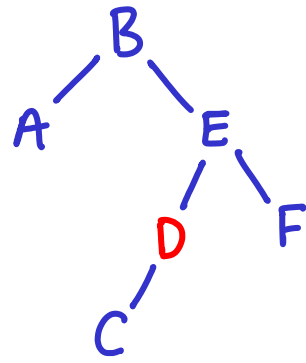
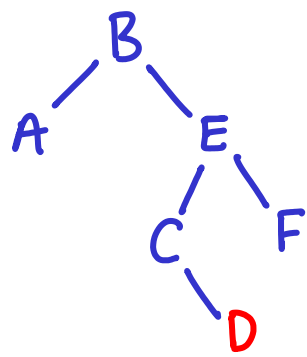
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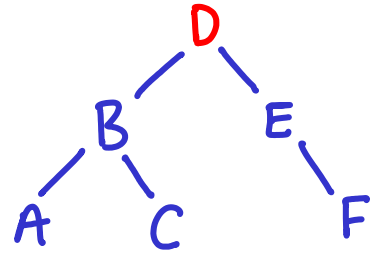
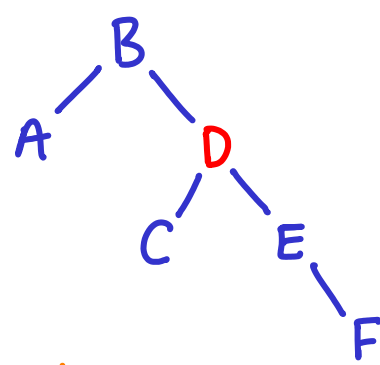
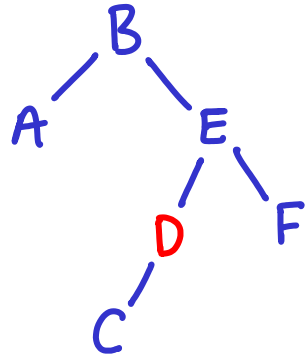
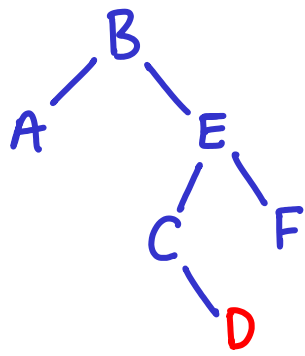
*More specifically, if already taking time t to search,
(even for delete and unsuccessful search)
use $O(t)$ time to do rotations

Easy to move
to top with
rotations



But that's another method, not splay tree

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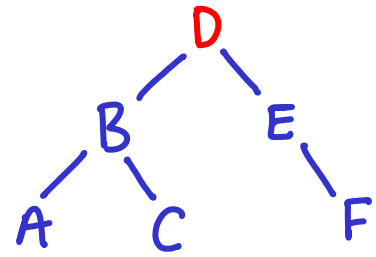
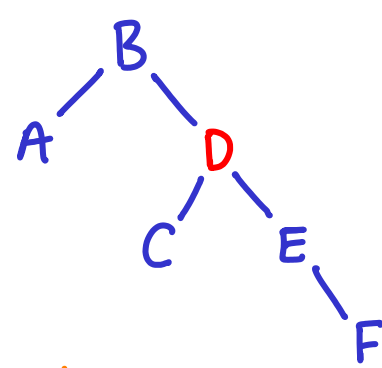
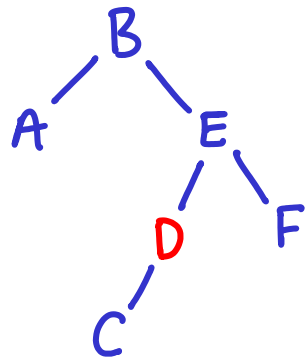
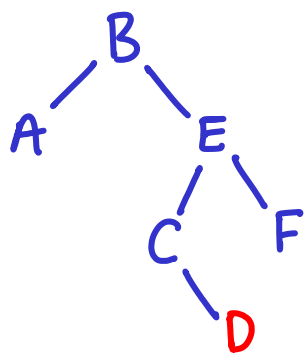
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Splaying is
subtly different

Look at current node, x , its parent and grandparent (if $\exists$).

(if no G then $x \xrightarrow{P} x \xrightarrow{P}$)

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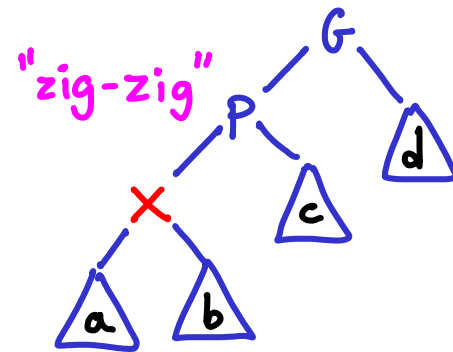
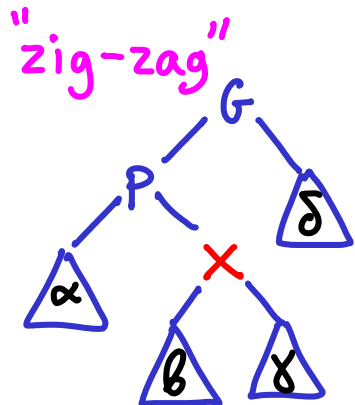


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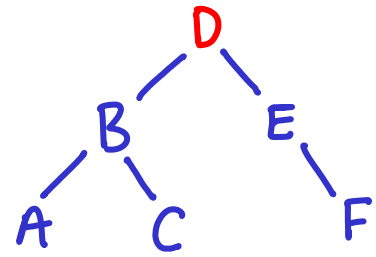
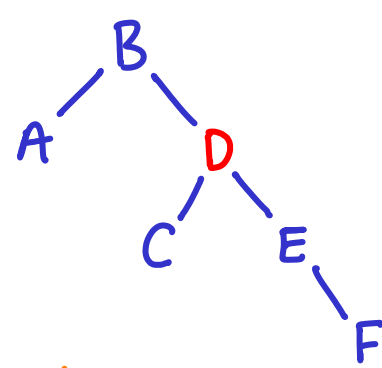
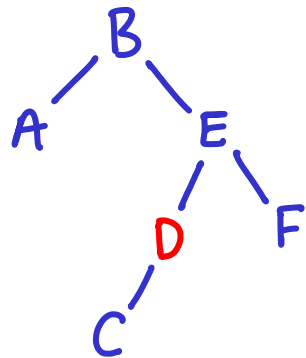
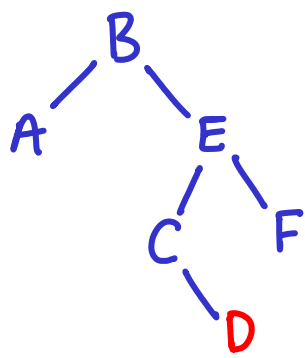
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Look at current node, x , its parent and grandparent (if $\exists$).
Rotate twice, but rotation order depends on geometry.

(if no G then $x \text{---} P \rightarrow x \text{---} P$)



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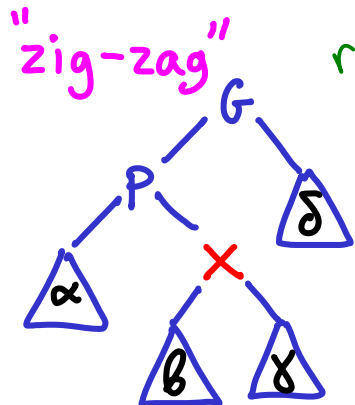


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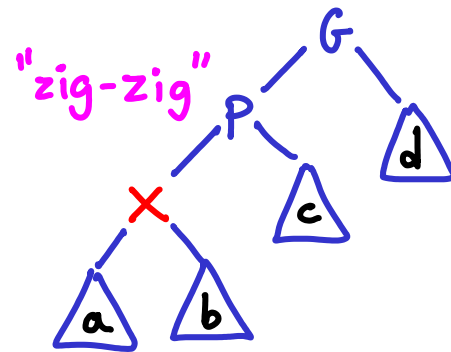
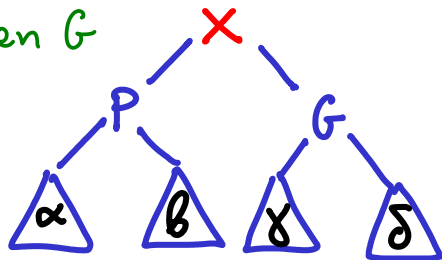
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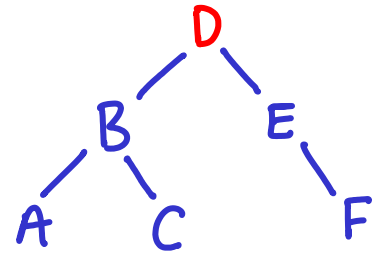
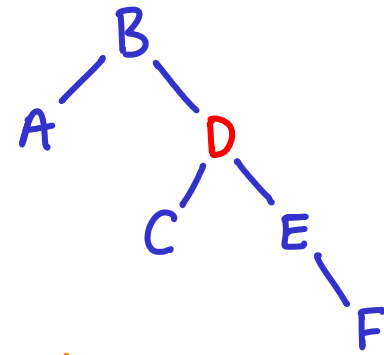
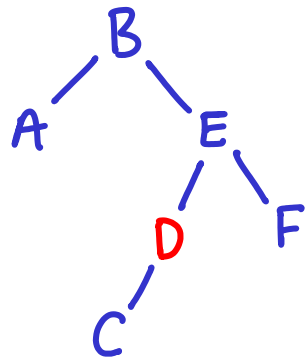
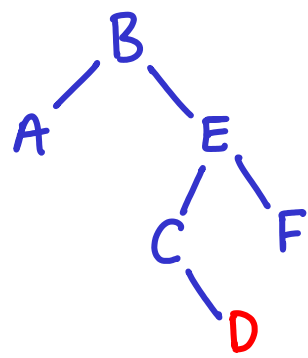
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rotate P
then G



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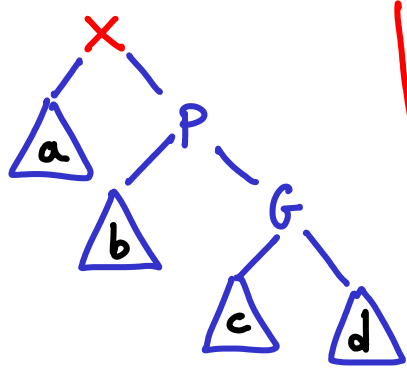
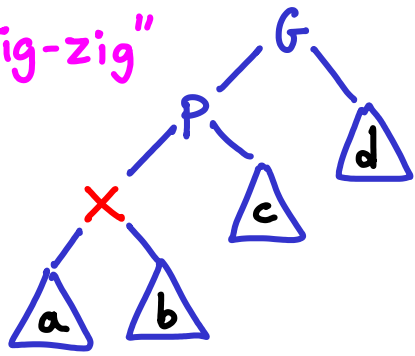


- Splay node x if we access it (search or insert)
- Splay parent of any deleted node (possibly parent of successor)
- Splay last visited node if a search is unsuccessful

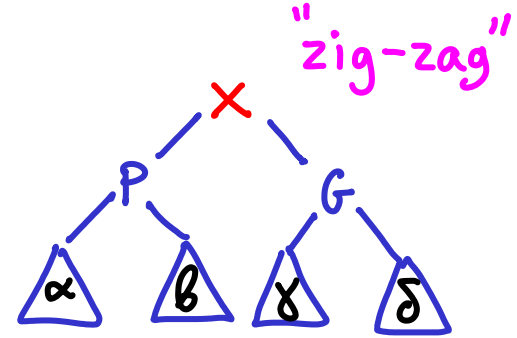
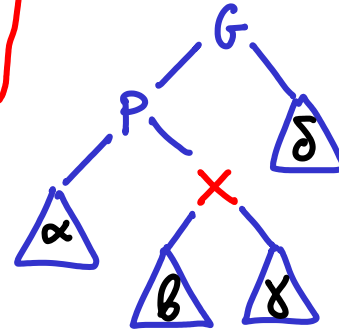
Bottom line: splay up (to root) from deepest position of search
(the search buys us splaying time)

There are other variants, e.g. splay-before-delete or top-down splaying

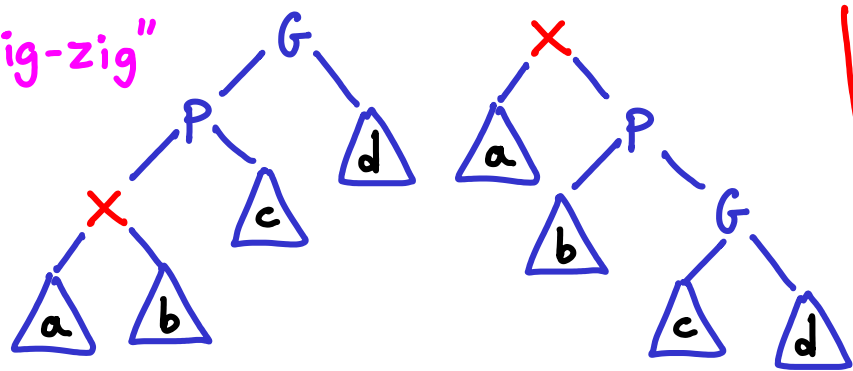
"zig-zig"



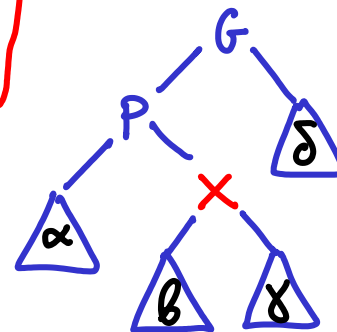
What does one step accomplish?



"zig-zig"

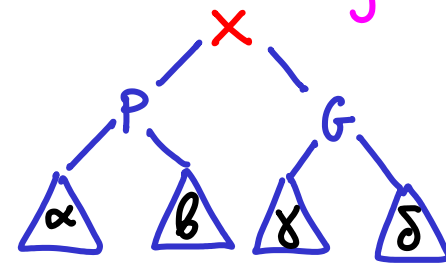


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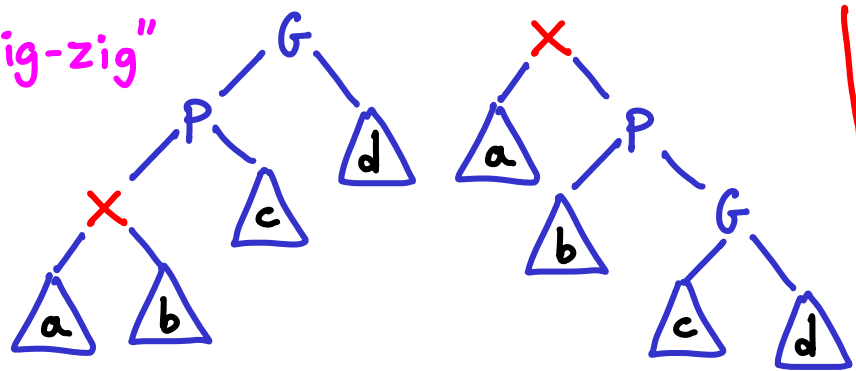
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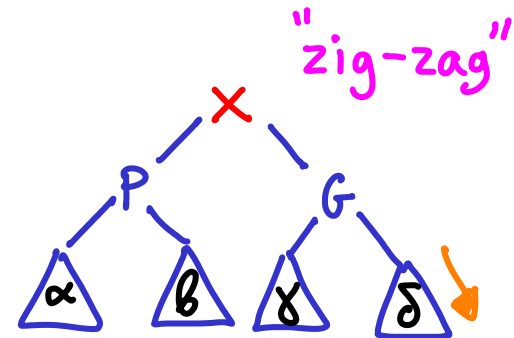
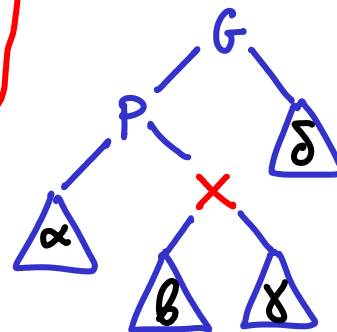
sort of has a tendency to decrease depth
?

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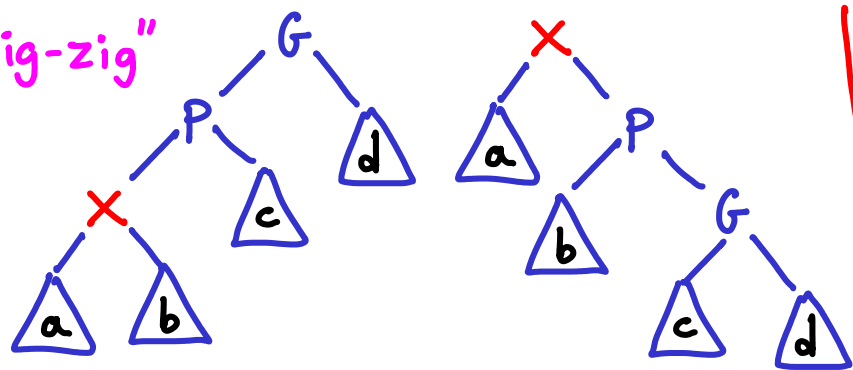
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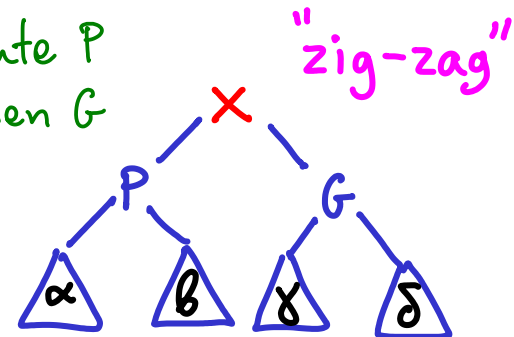
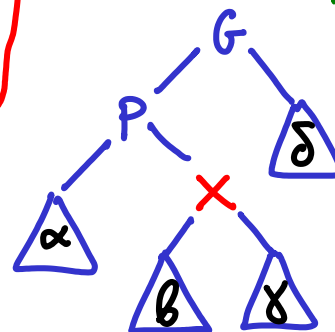
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rotate P
then G



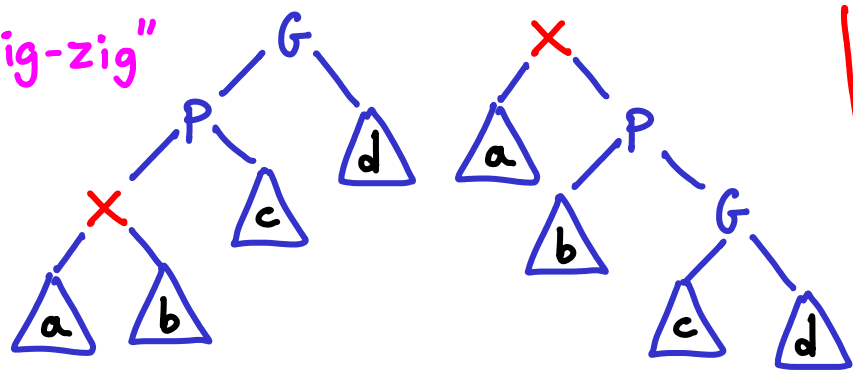
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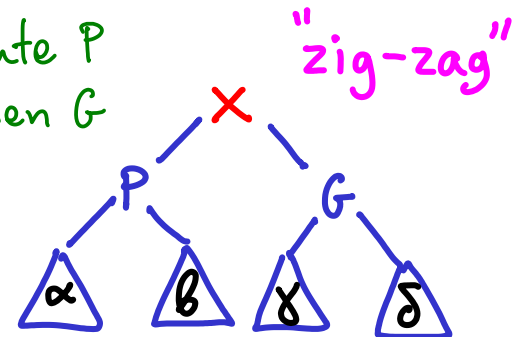
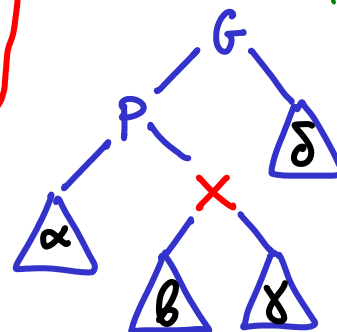
A

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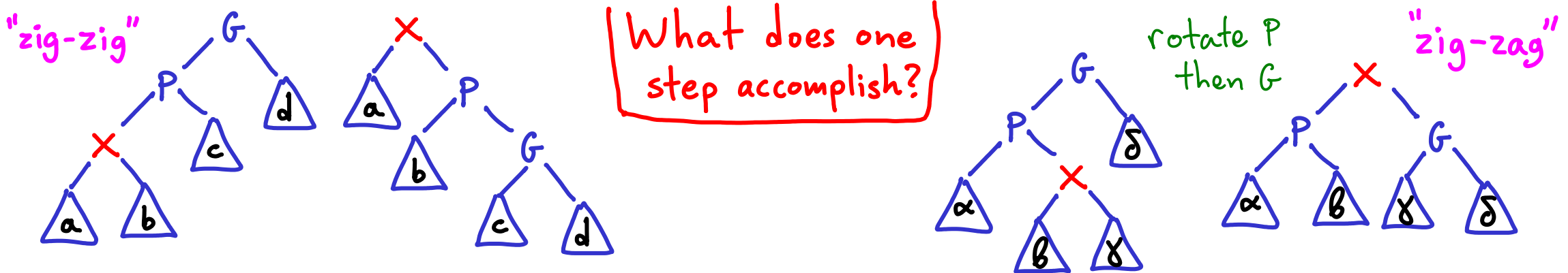
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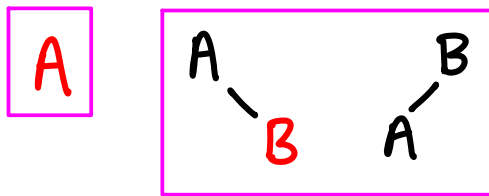
A-B

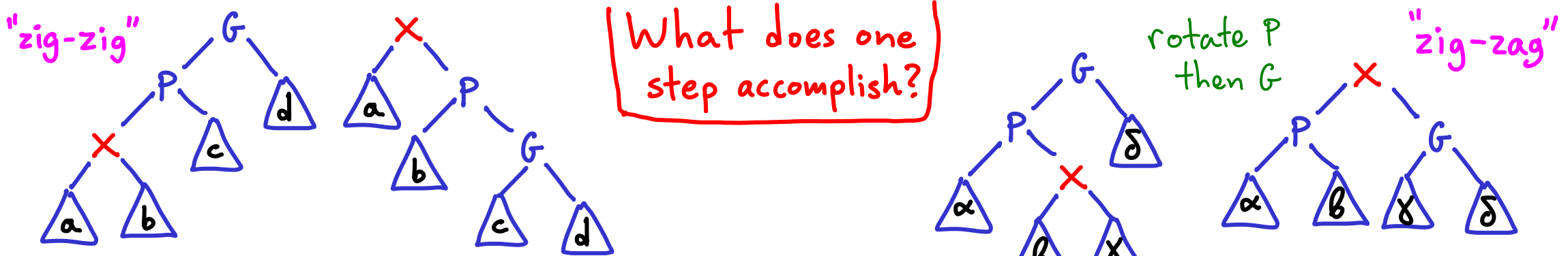


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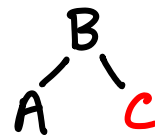
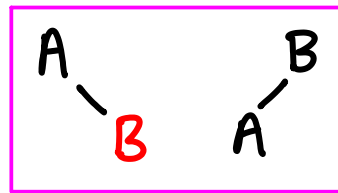


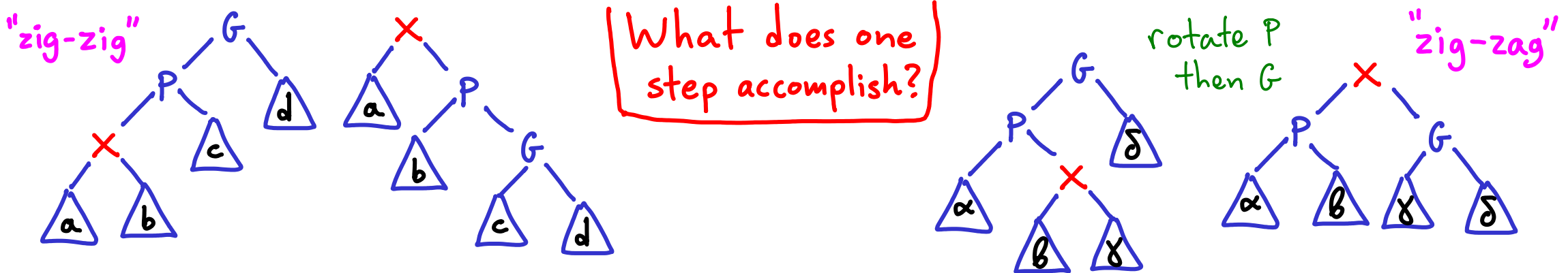


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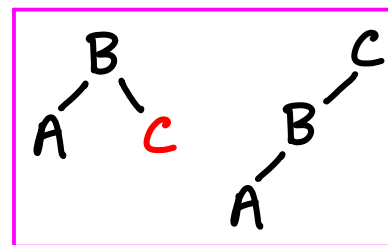
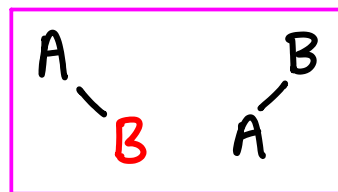


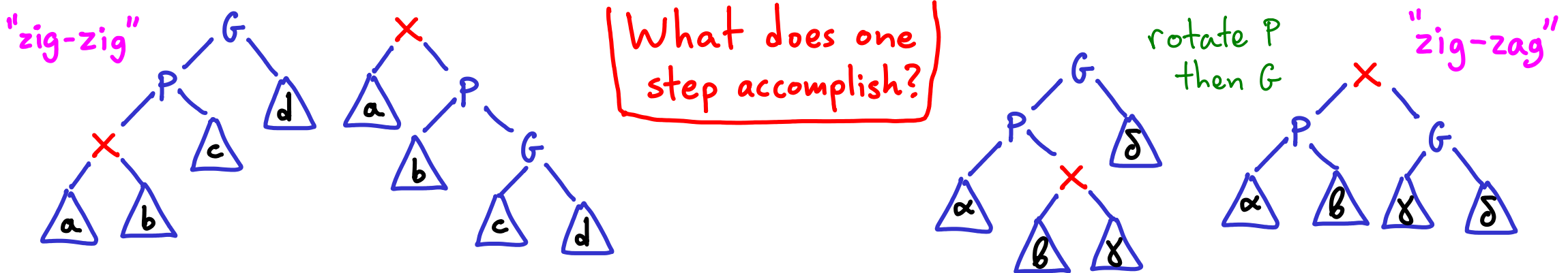


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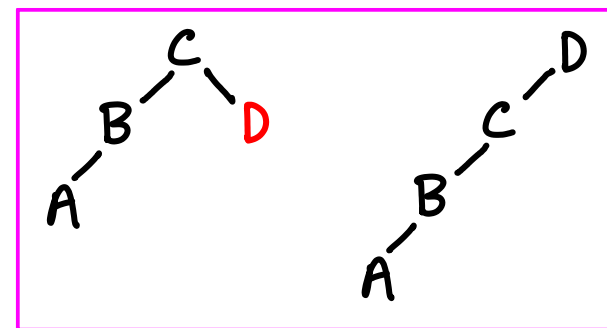
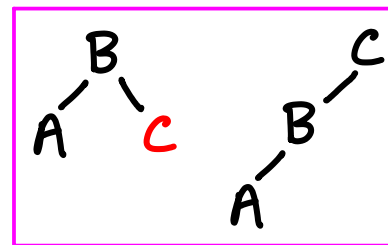
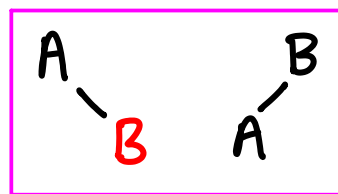


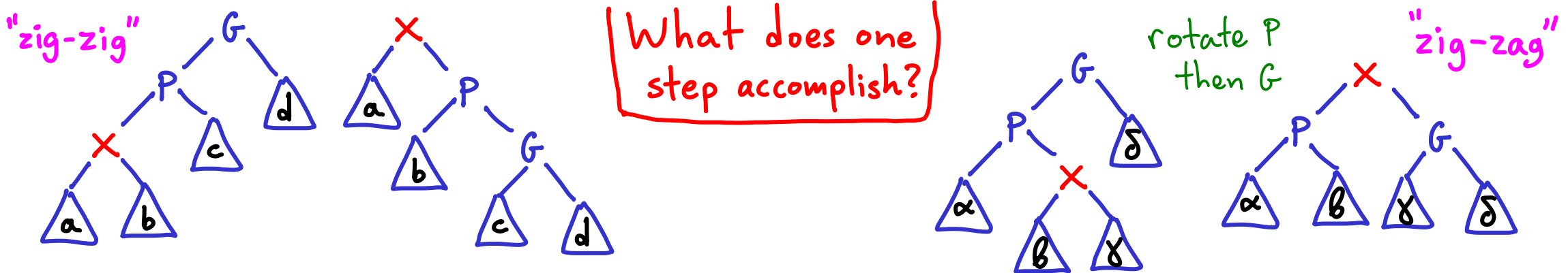
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↳ depth = n





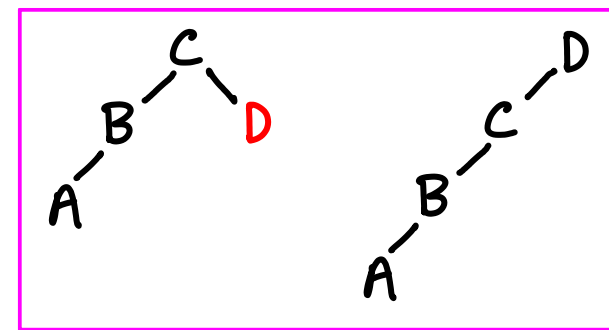
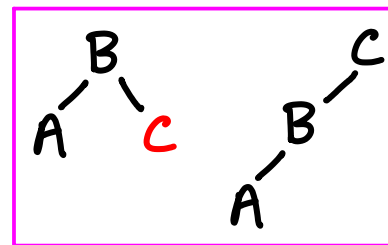
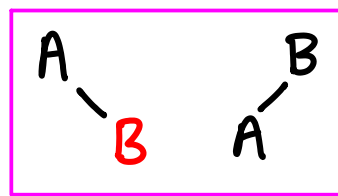
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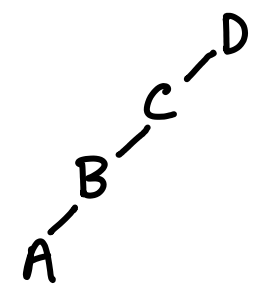
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↳ depth = n but cost(insert) = $O(1)$

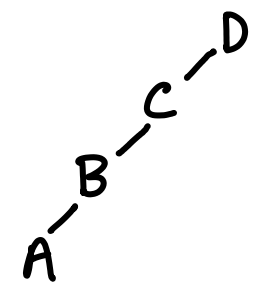
A



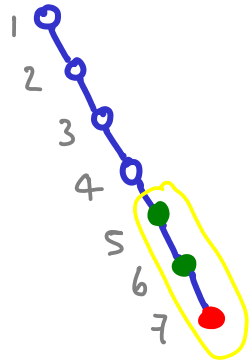
A splay tree can be awfully unbalanced but it will be cheap to create



What if we access A now? Cost = n , but we will splay to top and as a result the tree will balance a bit

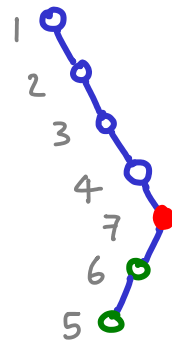
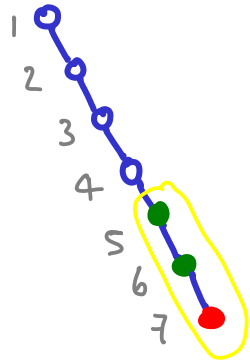


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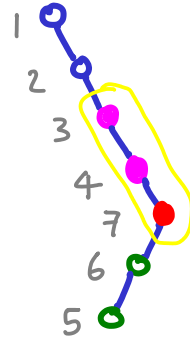
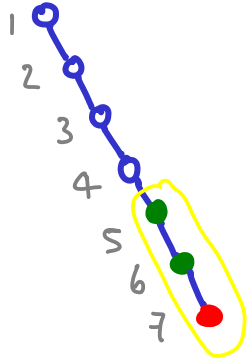
A-B-C-D

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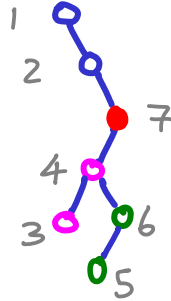
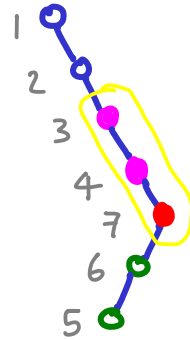
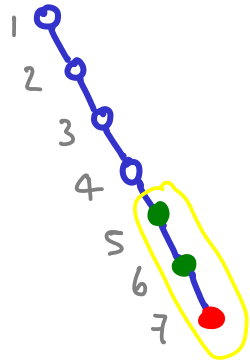
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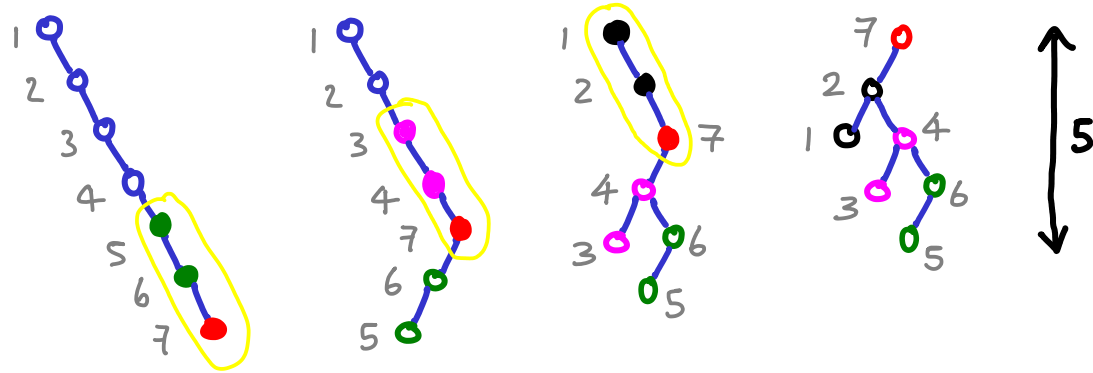
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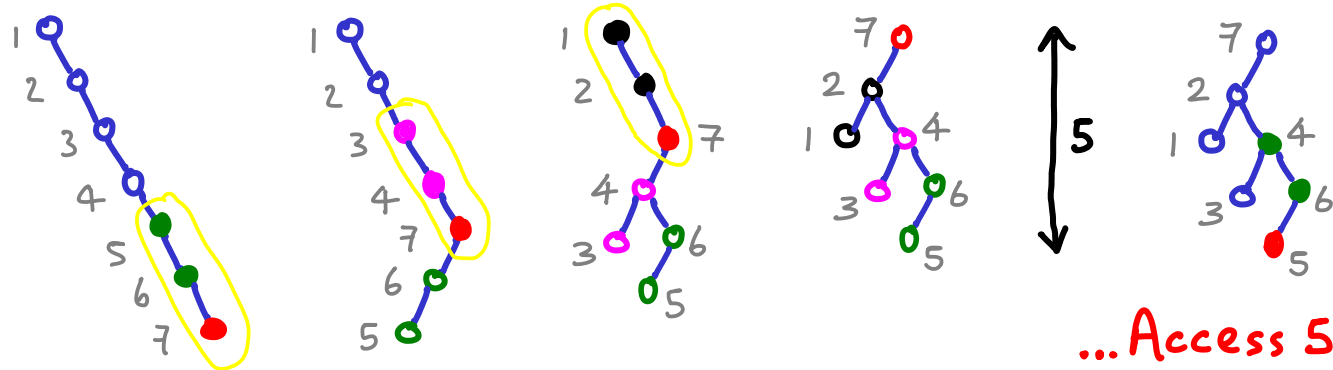
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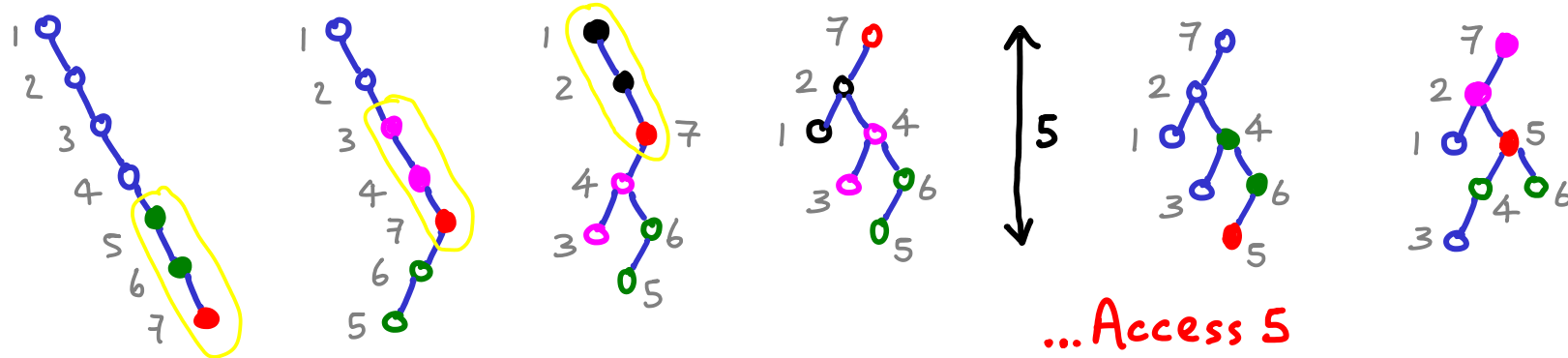
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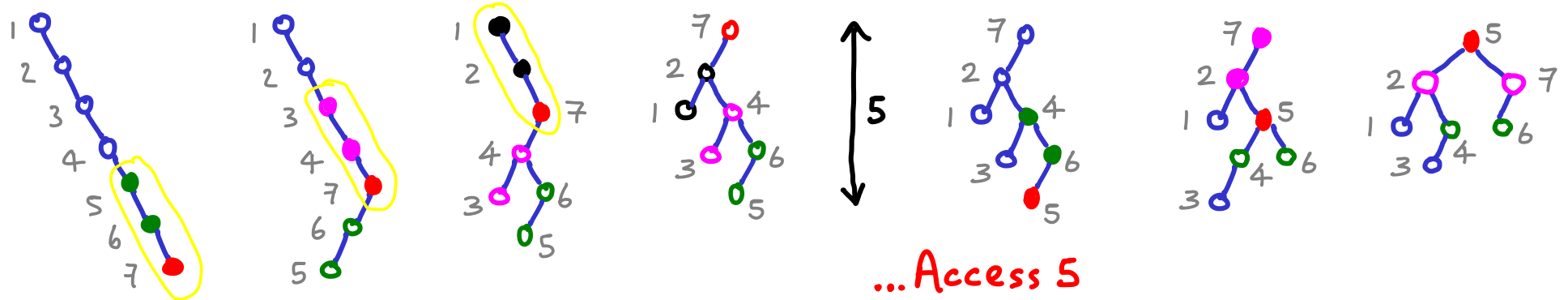
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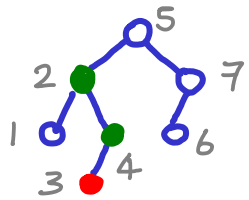
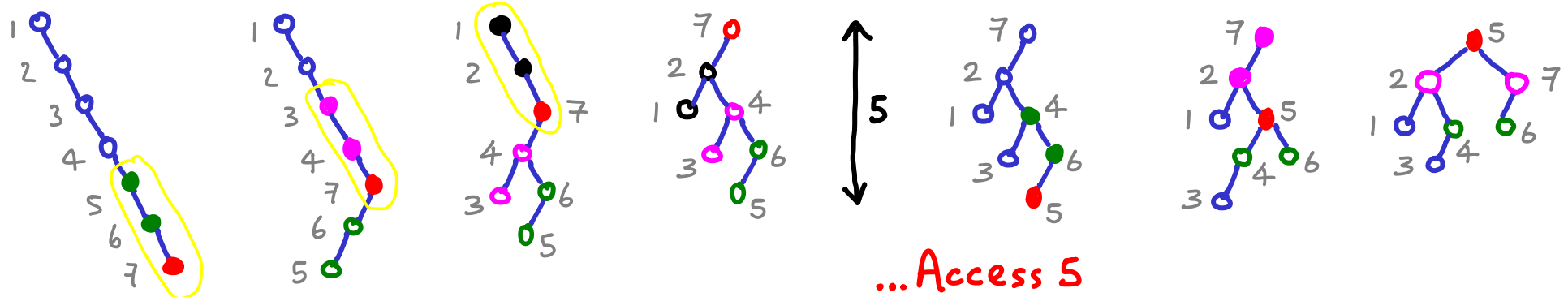
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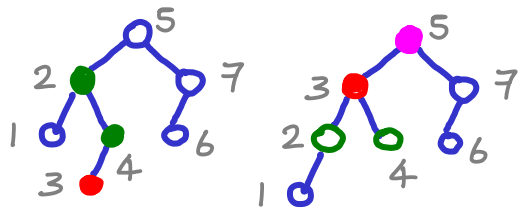
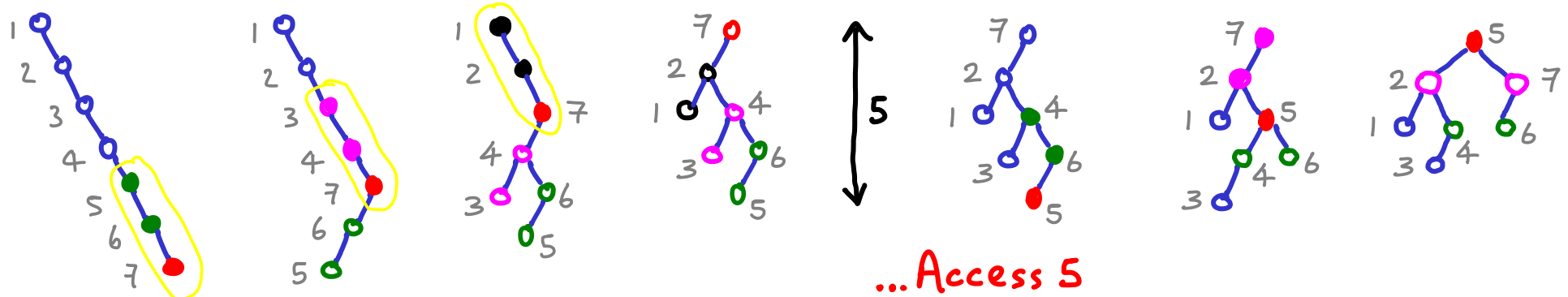
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...Access 3

A-B-C-D

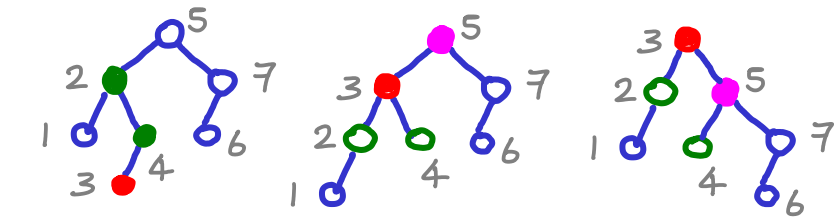
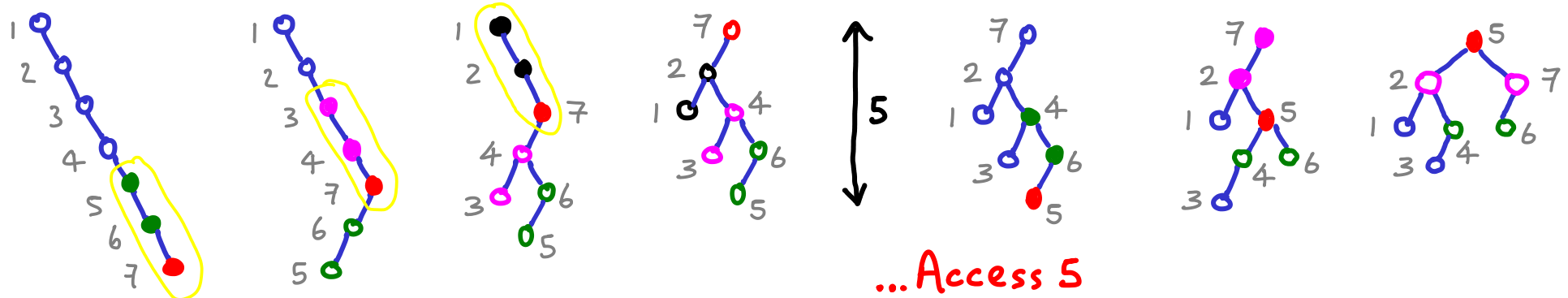
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...Access 3

A-B-C-D

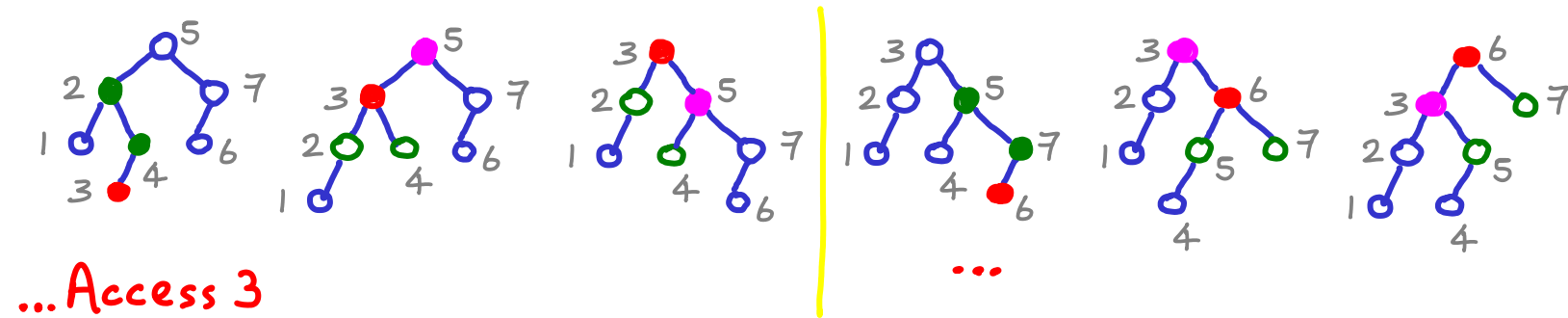
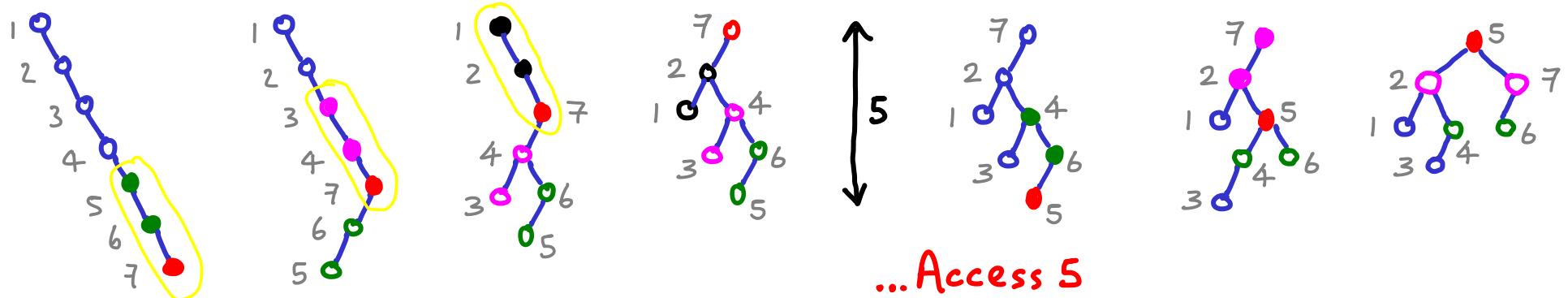
What if we access A now? Cost = n, but we will splay to top and as a result the tree will balance a bit



...Access 3

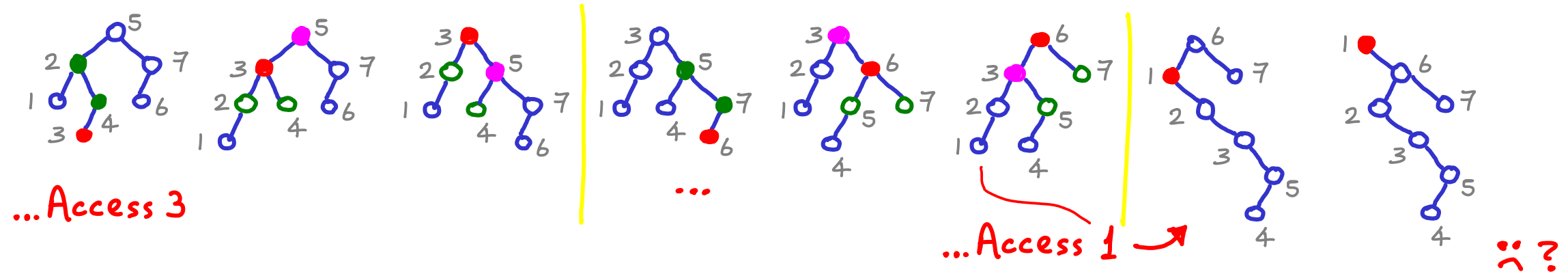
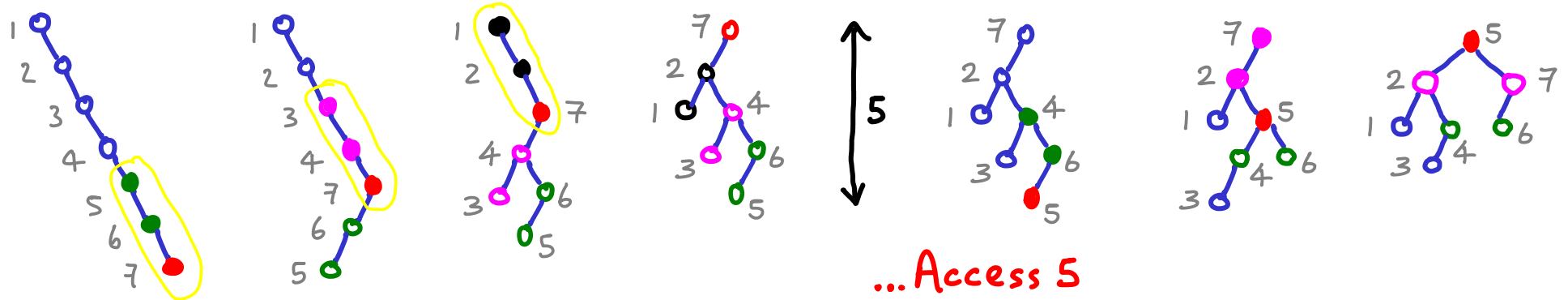
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...and they get balanced quickly (at least we hope so)

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#nodes in subtree rooted at v = $\text{size}(v)$

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$$s(v) = \log_2(\# \text{ nodes in subtree rooted at } v) = \log \text{ size}(v)$$

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What happens when insert x ?
 $\wedge$
 to Φ

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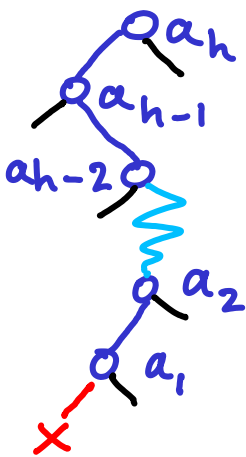
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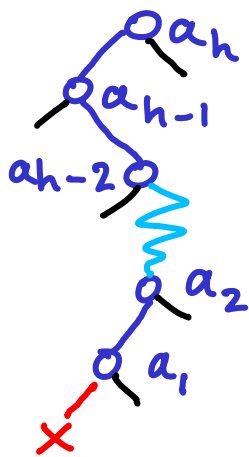
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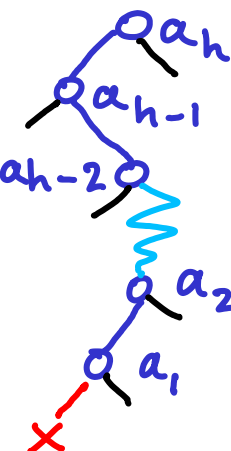
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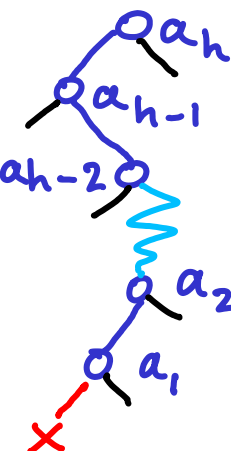
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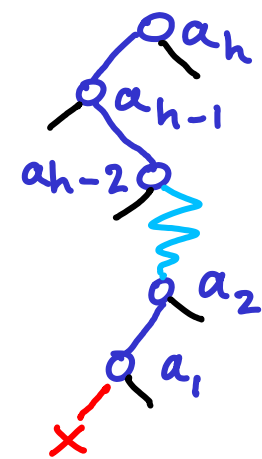
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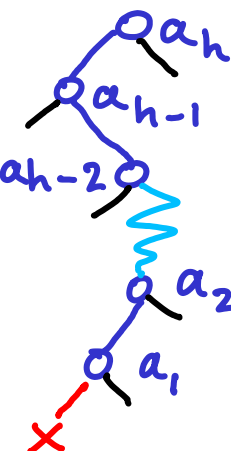
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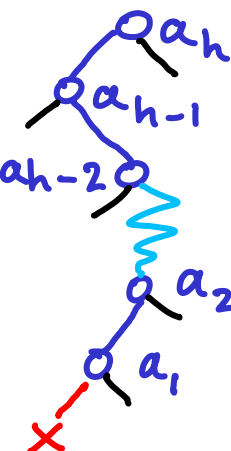
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$$= \log \frac{1 + \text{size}(a_h)}{\text{size}(a_1)}$$

// fractions telescope

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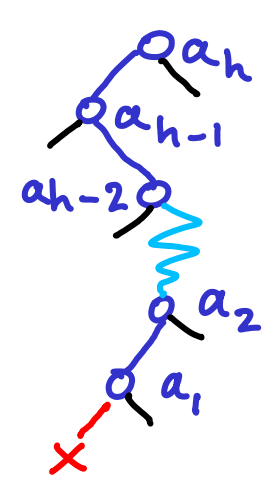
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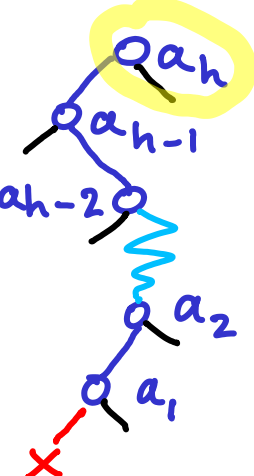
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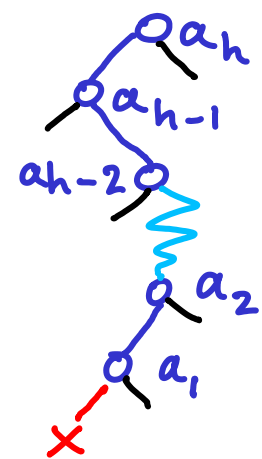
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$$= \log \frac{1 + \text{size}(a_h)}{\text{size}(a_1)} \leq \log [1 + \text{size}(a_h)] = \log [1 + \text{size}(\text{root})] = \log n$$



$$\Phi = \sum_{\text{all } v} s(v)$$

$$s(v) = \log_2(\# \text{ nodes in subtree rooted at } v) = \log \text{size}(v)$$

What happens when insert x ? $\Delta\Phi \leq \log n$

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delete x ?

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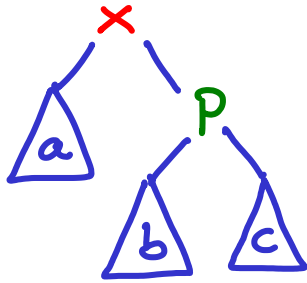
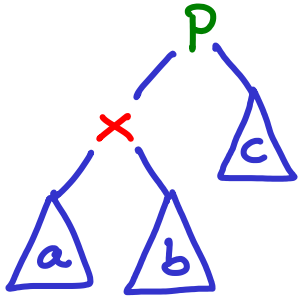
$$\leq 0 \quad \text{good news}$$

We must still consider $\Delta\Phi$ because of splaying

$$\Phi = \sum_{\text{all } v} s(v)$$

$$s(v) = \log_2(\# \text{ nodes in subtree rooted at } v)$$

What happens when we splay x and there is no grandparent?
 $\hookrightarrow s()$ changes only for x and p

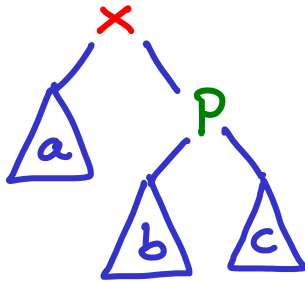
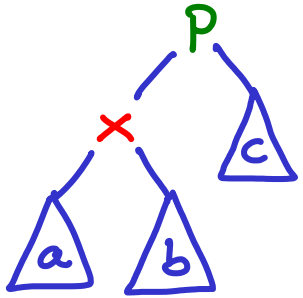


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$$\Delta\Phi = \Delta s(p) + \Delta s(x)$$



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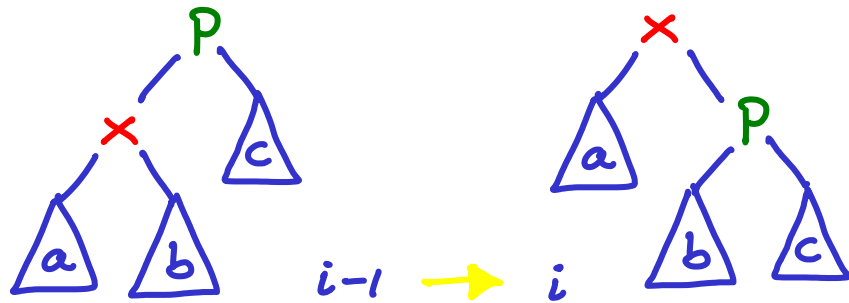
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$$\Delta\Phi = \Delta s(p) + \Delta s(x)$$

$$= s_i(p) - s_{i-1}(p) + s_i(x) - s_{i-1}(x)$$



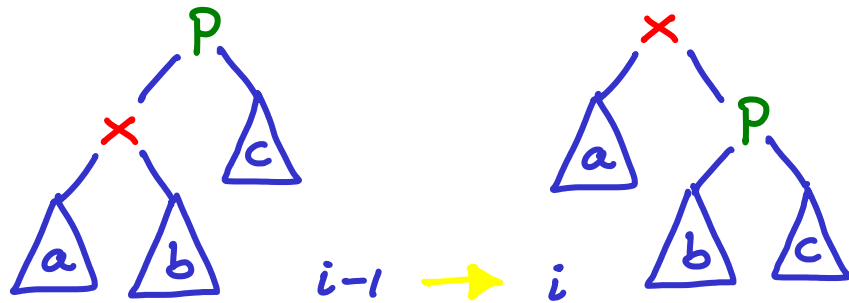
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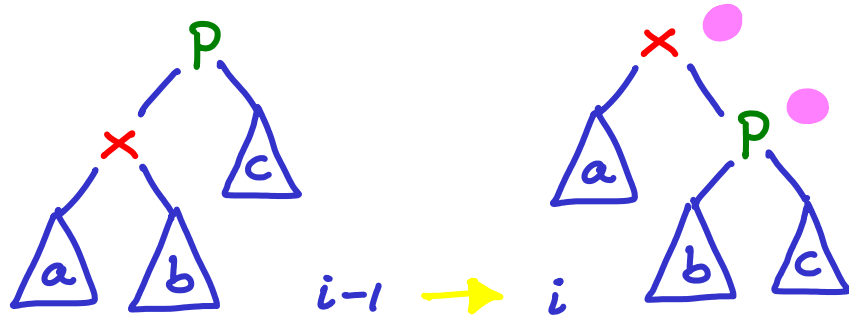
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$$= s_i(p) - s_{i-1}(x) \quad // \quad s_i(p) < s_i(x)$$

$$< s_i(x) - s_{i-1}(x)$$

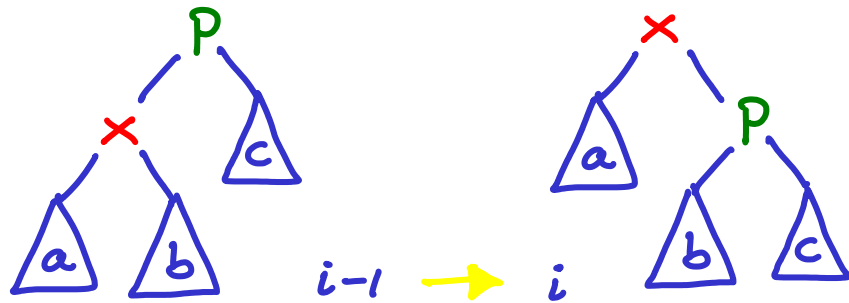
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always positive

$\Delta\Phi$ could actually be $=0$, <0 or >0
Why are we happy with this?

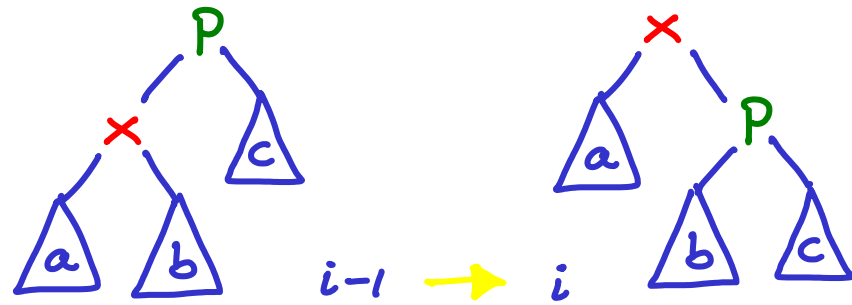
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We have $\Delta\Phi < s_i(x) = s(\text{root})$
 $= O(\log n)$

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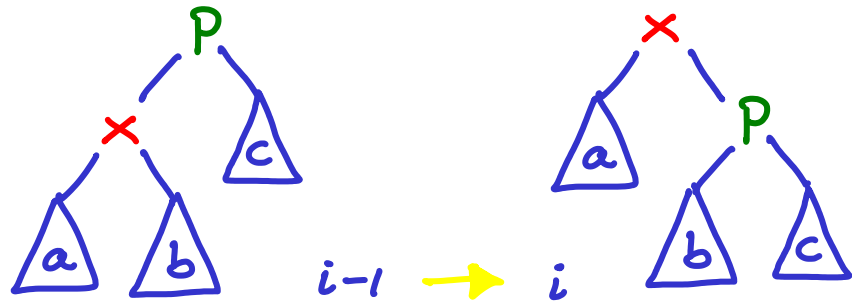
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 $= O(\log n)$

We would be happy even if
 this iterated (telescope)

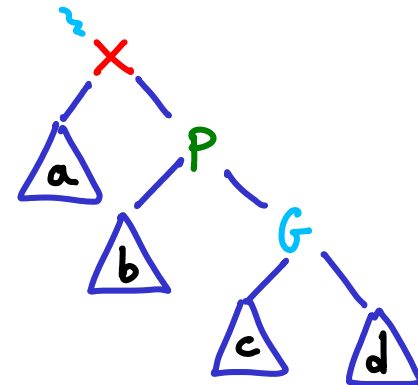
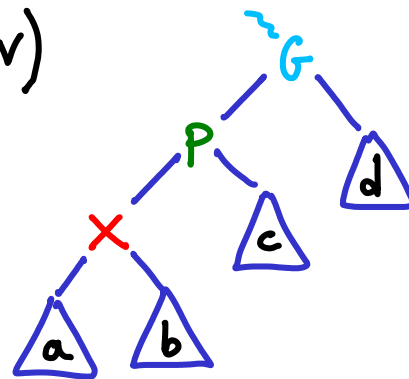
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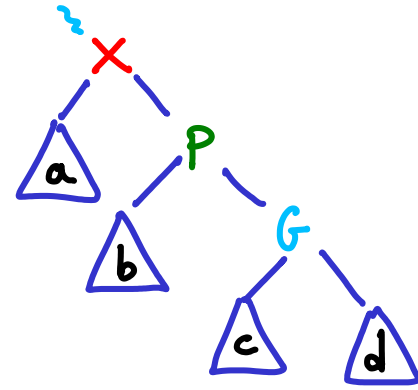
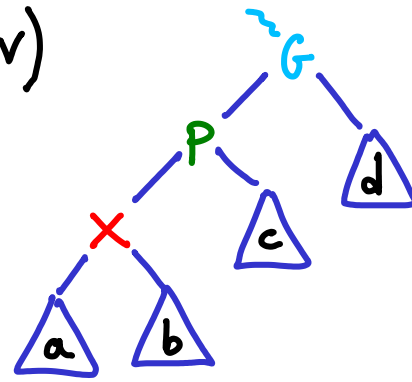
What happens when we zig-zig x ?



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What happens when we zig-zig x ?

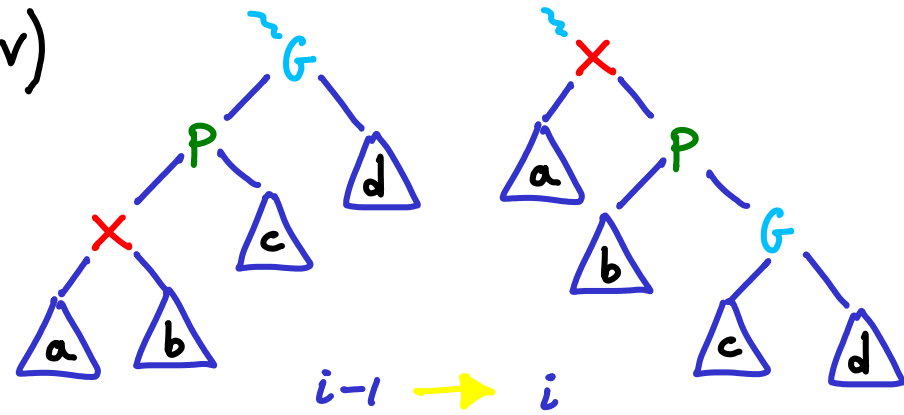
$$\Delta\Phi = \Delta s(G) + \Delta s(P) + \Delta s(x)$$



$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zig x ?

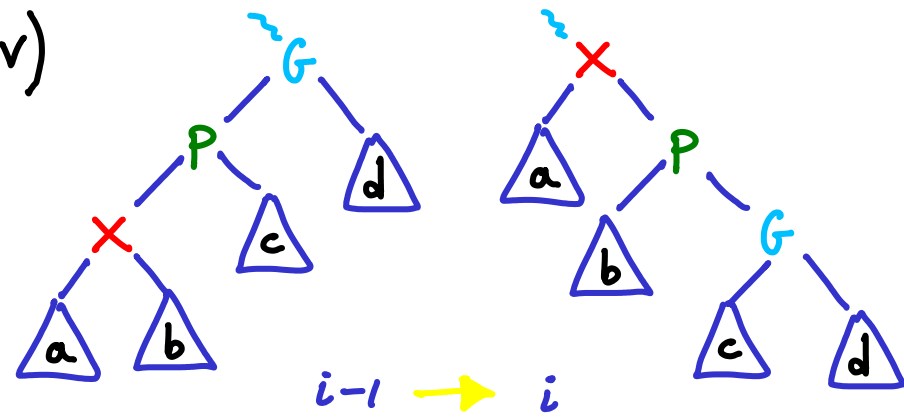
$$\begin{aligned} \Delta\Phi &= \Delta s(G) + \Delta s(P) + \Delta s(x) \\ &= \underbrace{s_i(G) - s_{i-1}(G)}_{\text{blue}} + \underbrace{s_i(P) - s_{i-1}(P)}_{\text{green}} + \underbrace{s_i(x) - s_{i-1}(x)}_{\text{red}} \end{aligned}$$



$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zig x ?

$$\begin{aligned} \Delta\Phi &= \Delta s(G) + \Delta s(P) + \Delta s(x) \\ &= \underbrace{s_i(G) - \cancel{s_{i-1}(G)}}_{\text{blue}} + \underbrace{s_i(P) - \cancel{s_{i-1}(P)}}_{\text{green}} + \underbrace{\cancel{s_i(x)} - \cancel{s_{i-1}(x)}}_{\text{red}} \\ &= s_i(G) + s_i(P) - s_{i-1}(P) - s_{i-1}(x) \end{aligned}$$



$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

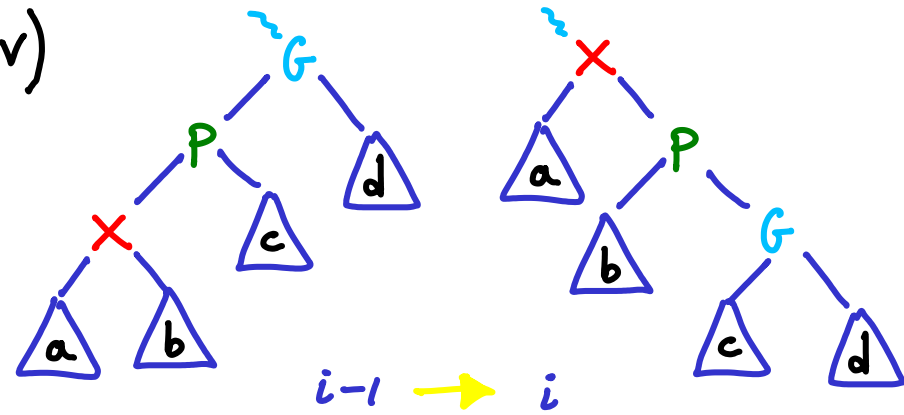
What happens when we zig-zig x ?

$$\Delta\Phi = \Delta s(G) + \Delta s(P) + \Delta s(x)$$

$$= \underbrace{s_i(G) - s_{i-1}(G)}_{\text{blue}} + \underbrace{s_i(P) - s_{i-1}(P)}_{\text{green}} + \underbrace{s_i(x) - s_{i-1}(x)}_{\text{red}}$$

$$= s_i(G) + \underbrace{s_i(P)}_{\text{yellow}} - s_{i-1}(P) - s_{i-1}(x)$$

$$s_i(P) < s_i(x)$$



$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zig x ?

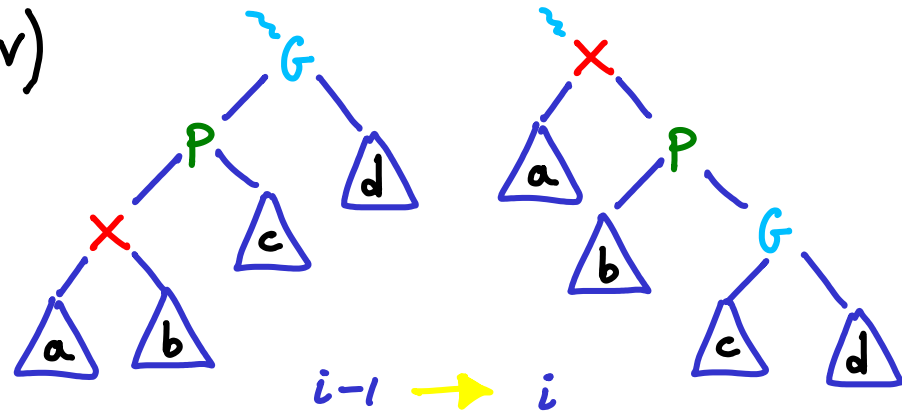
$$\Delta\Phi = \Delta s(G) + \Delta s(P) + \Delta s(x)$$

$$= s_i(G) - \cancel{s_{i-1}(G)} + \cancel{s_i(P)} - \cancel{s_{i-1}(P)} + \cancel{s_i(x)} - \cancel{s_{i-1}(x)}$$

$$= s_i(G) + \underline{s_i(P)} - s_{i-1}(P) - s_{i-1}(x)$$

$$< s_i(G) + \underline{s_i(x)} - s_{i-1}(P) - s_{i-1}(x)$$

$$s_i(P) < s_i(x)$$



$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zig x ?

$$\Delta\Phi = \Delta s(G) + \Delta s(P) + \Delta s(x)$$

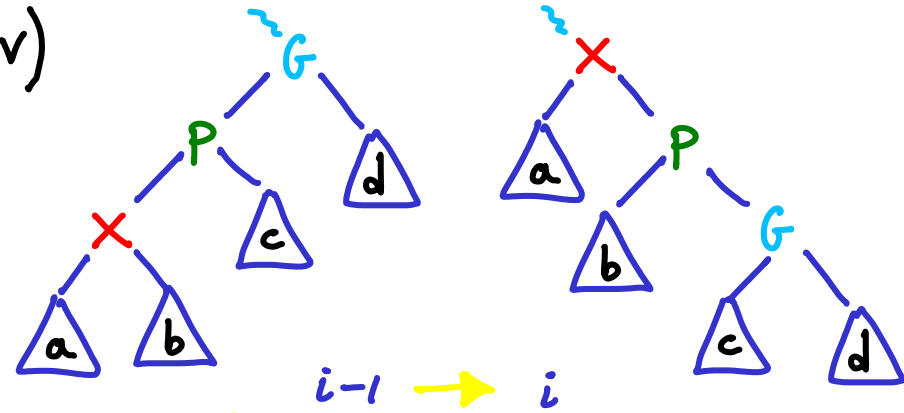
$$= s_i(G) - \cancel{s_{i-1}(G)} + \cancel{s_i(P)} - \cancel{s_{i-1}(P)} + \cancel{s_i(x)} - \cancel{s_{i-1}(x)}$$

$$= s_i(G) + \underline{s_i(P)} - s_{i-1}(P) - s_{i-1}(x)$$

$$< s_i(G) + \underline{s_i(x)} - \underline{s_{i-1}(P)} - s_{i-1}(x)$$

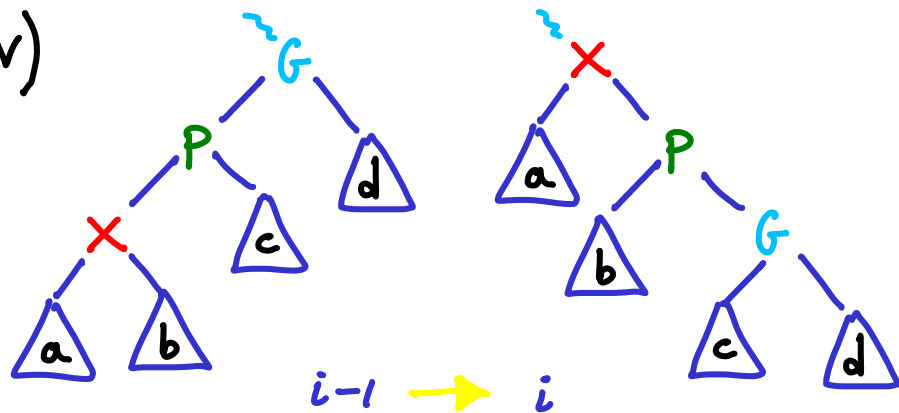
$$s_i(P) < s_i(x)$$

$$s_{i-1}(P) > s_{i-1}(x)$$



$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

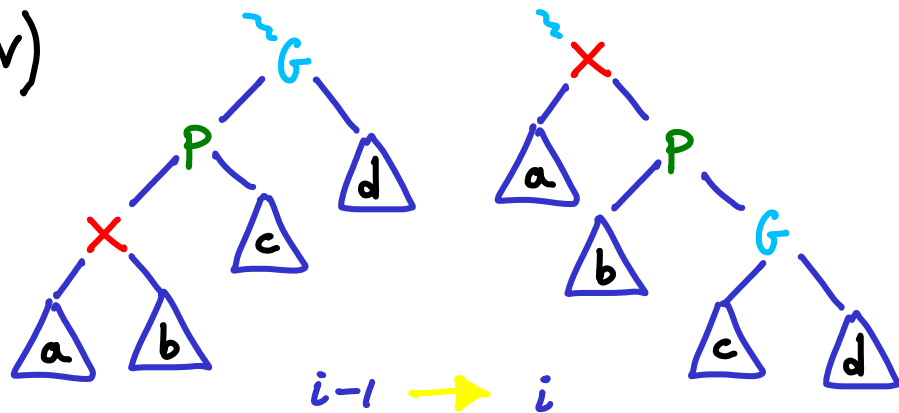
What happens when we zig-zig x ?



$$\begin{aligned} \Delta\Phi &= \Delta s(G) + \Delta s(p) + \Delta s(x) \\ &= s_i(G) - \cancel{s_{i-1}(G)} + s_i(p) - \cancel{s_{i-1}(p)} + \cancel{s_i(x)} - \cancel{s_{i-1}(x)} \\ &= s_i(G) + \cancel{s_i(p)} - s_{i-1}(p) - s_{i-1}(x) \\ &< s_i(G) + \cancel{s_i(x)} - \cancel{s_{i-1}(p)} - s_{i-1}(x) \quad \leftarrow s_i(p) < s_i(x) \\ &< s_i(G) + s_i(x) - \cancel{s_{i-1}(x)} - s_{i-1}(x) \quad \leftarrow s_{i-1}(p) > s_{i-1}(x) \end{aligned}$$

$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zig x ?



$$\Delta\Phi = \Delta s(G) + \Delta s(p) + \Delta s(x)$$

$$= s_i(G) - \cancel{s_{i-1}(G)} + s_i(p) - \cancel{s_{i-1}(p)} + \cancel{s_i(x)} - s_{i-1}(x)$$

$$= s_i(G) + \cancel{s_i(p)} - s_{i-1}(p) - s_{i-1}(x)$$

$$< s_i(G) + \cancel{s_i(x)} - \cancel{s_{i-1}(p)} - s_{i-1}(x)$$

$$< s_i(G) + s_i(x) - \cancel{s_{i-1}(x)} - s_{i-1}(x)$$

$$< \underline{s_i(G) + s_i(x) - 2s_{i-1}(x)}$$

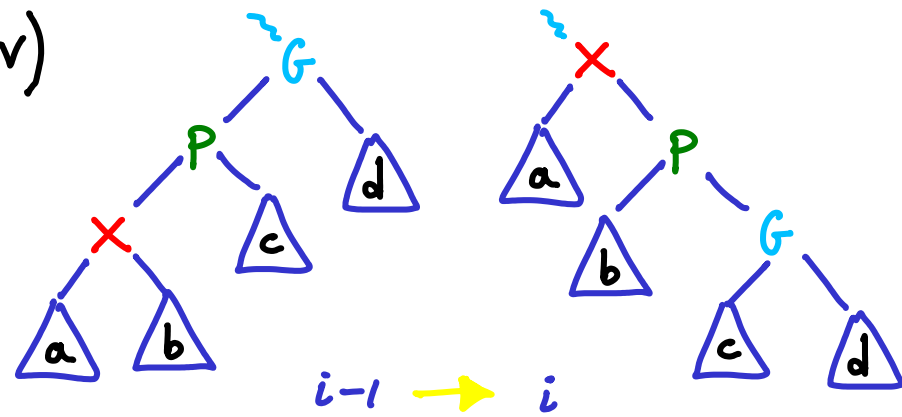
$$s_i(p) < s_i(x)$$

$$s_{i-1}(p) > s_{i-1}(x)$$

$$\Phi = \sum_{\text{all } v} s(v) \quad // s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zig x ?

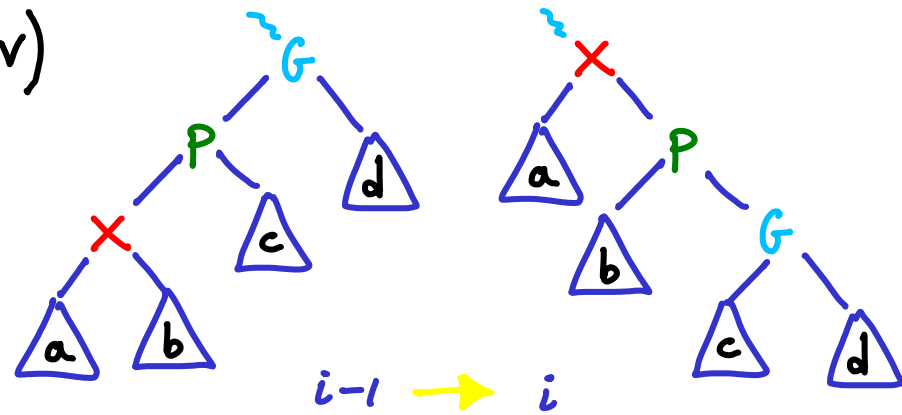
$$\Delta\Phi < s_i(G) + s_i(x) - 2s_{i-1}(x)$$



$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zig x ?

$$\begin{aligned} \Delta\Phi &< s_i(G) + s_i(x) - \underbrace{2s_{i-1}(x)} \\ &= s_i(G) + \underbrace{s_{i-1}(x)} + s_i(x) - \underbrace{3s_{i-1}(x)} \end{aligned}$$



$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zig x ?

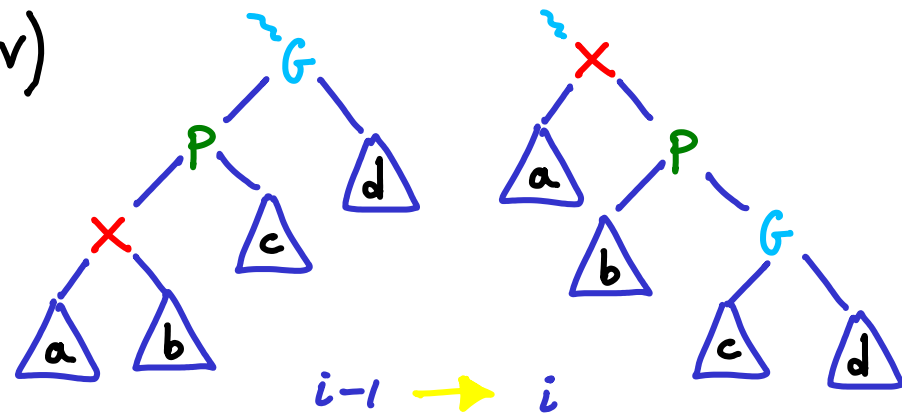
$$\begin{aligned} \Delta\Phi &< s_i(G) + s_i(x) - 2s_{i-1}(x) \\ &= \underbrace{s_i(G) + s_{i-1}(x)} + s_i(x) - 3s_{i-1}(x) \end{aligned}$$

$$s_i(G) + s_{i-1}(x) = \dots$$

we will show:

$$s_i(G) + s_{i-1}(x) < 2s_i(x) - 2$$

can then
add to this

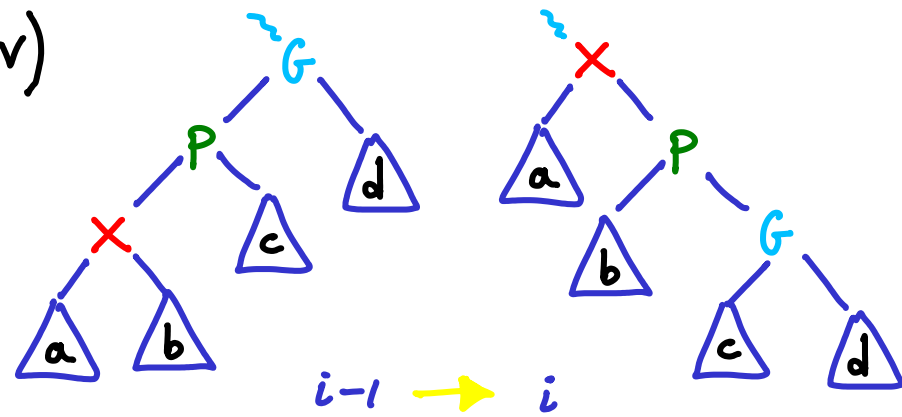


$$\Phi = \sum_{\text{all } v} s(v) \quad // s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zig x ?

$$\begin{aligned} \Delta\Phi &< s_i(G) + s_i(x) - 2s_{i-1}(x) \\ &= s_i(G) + s_{i-1}(x) + s_i(x) - 3s_{i-1}(x) \end{aligned}$$

$$s_i(G) + s_{i-1}(x) = 2 \cdot \frac{\log(\text{size}_i(G)) + \log(\text{size}_{i-1}(x))}{2}$$

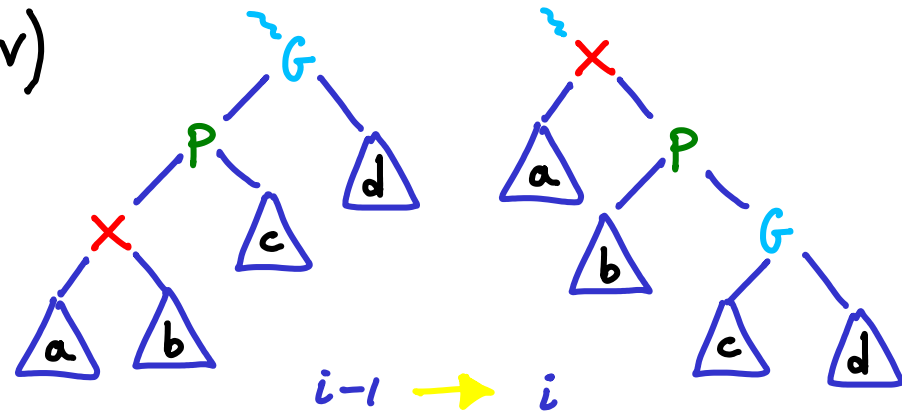


$$\Phi = \sum_{\text{all } v} s(v) \quad // s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

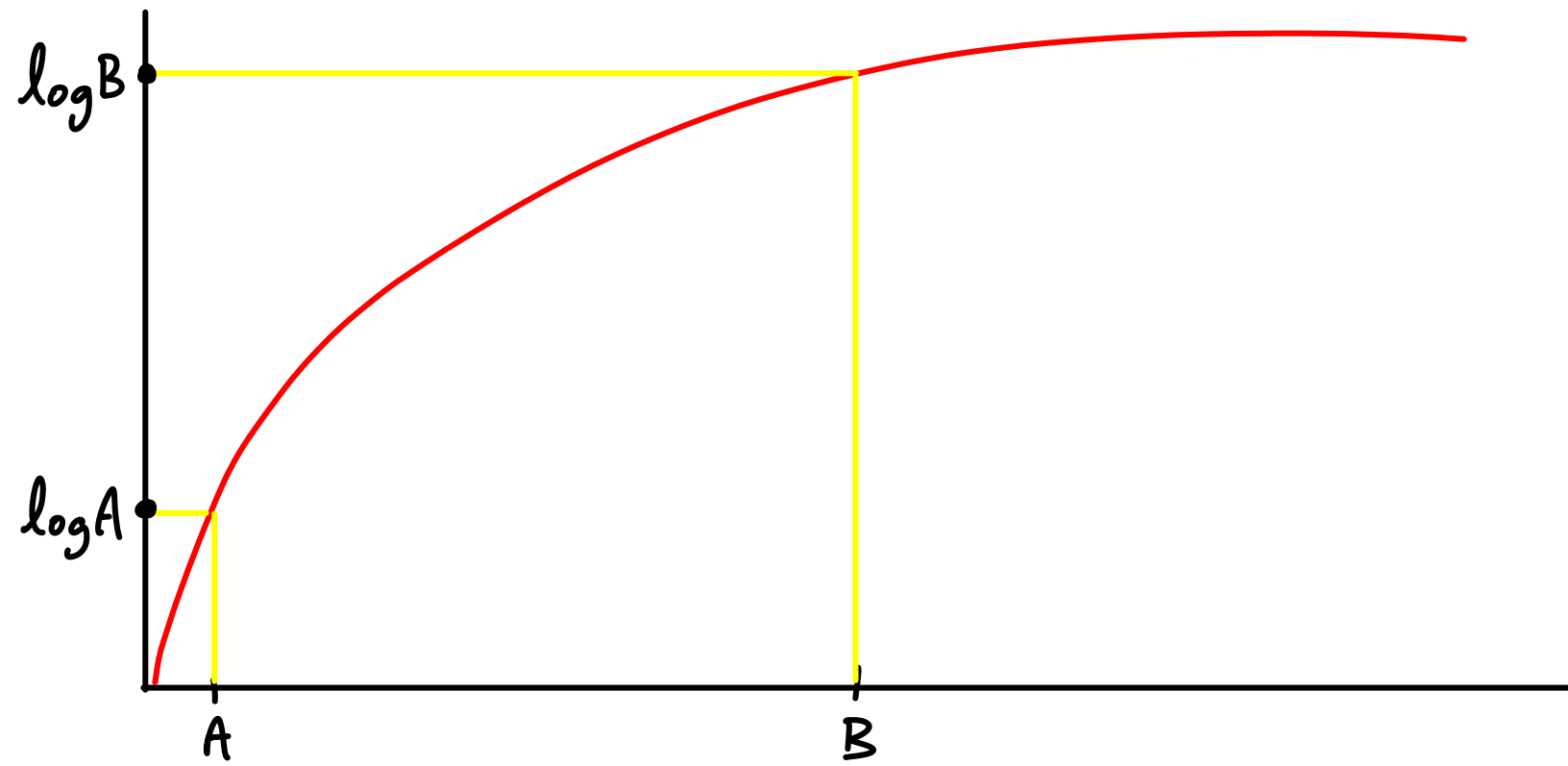
What happens when we zig-zig x ?

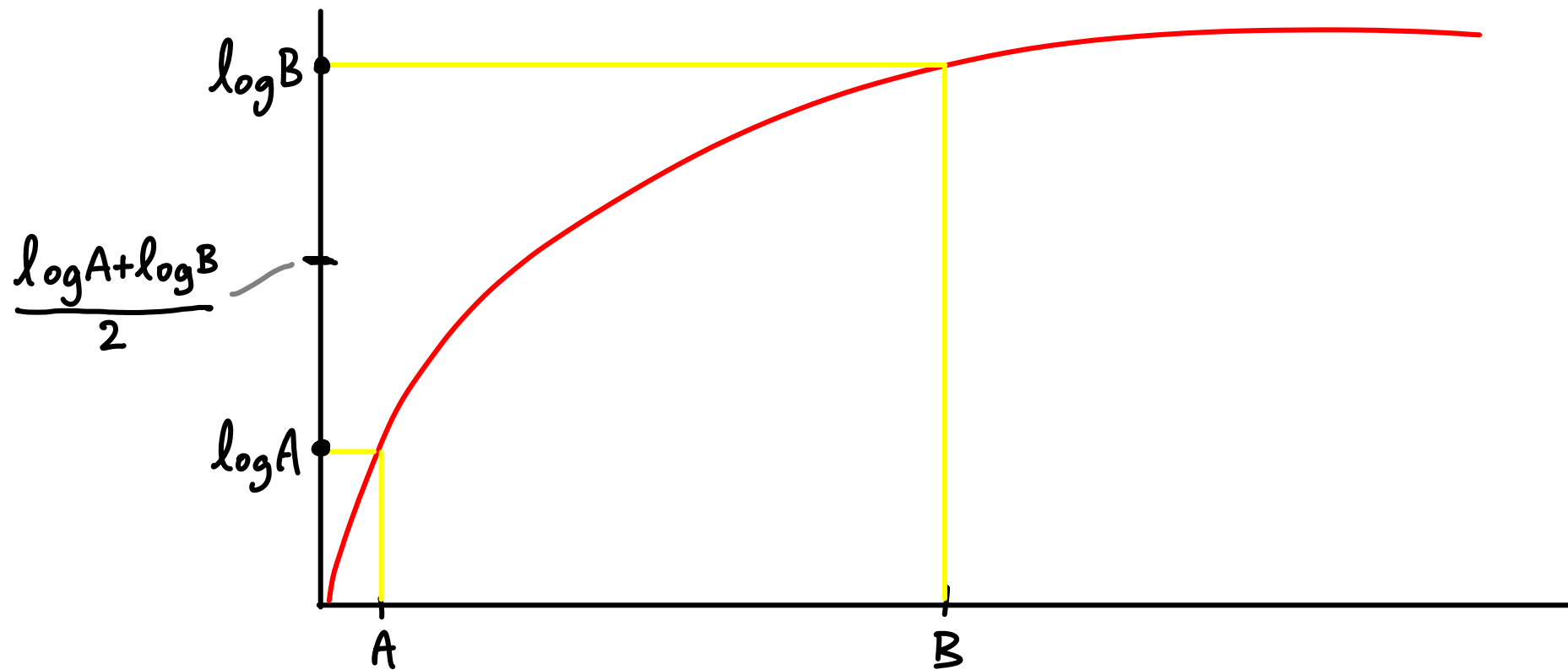
$$\begin{aligned} \Delta\Phi &< s_i(G) + s_i(x) - 2s_{i-1}(x) \\ &= s_i(G) + s_{i-1}(x) + s_i(x) - 3s_{i-1}(x) \end{aligned}$$

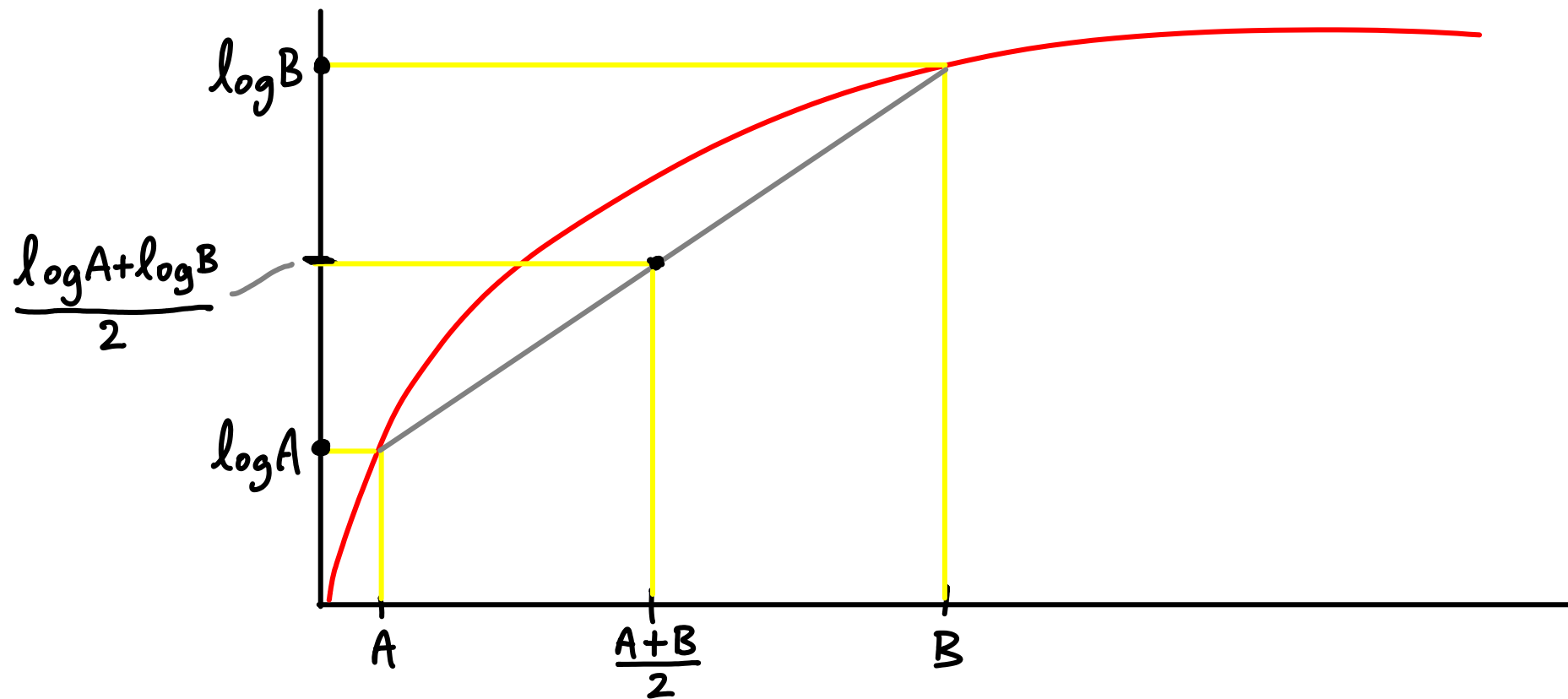
$$s_i(G) + s_{i-1}(x) = 2 \cdot \frac{\log(\text{size}_i(G)) + \log(\text{size}_{i-1}(x))}{2}$$



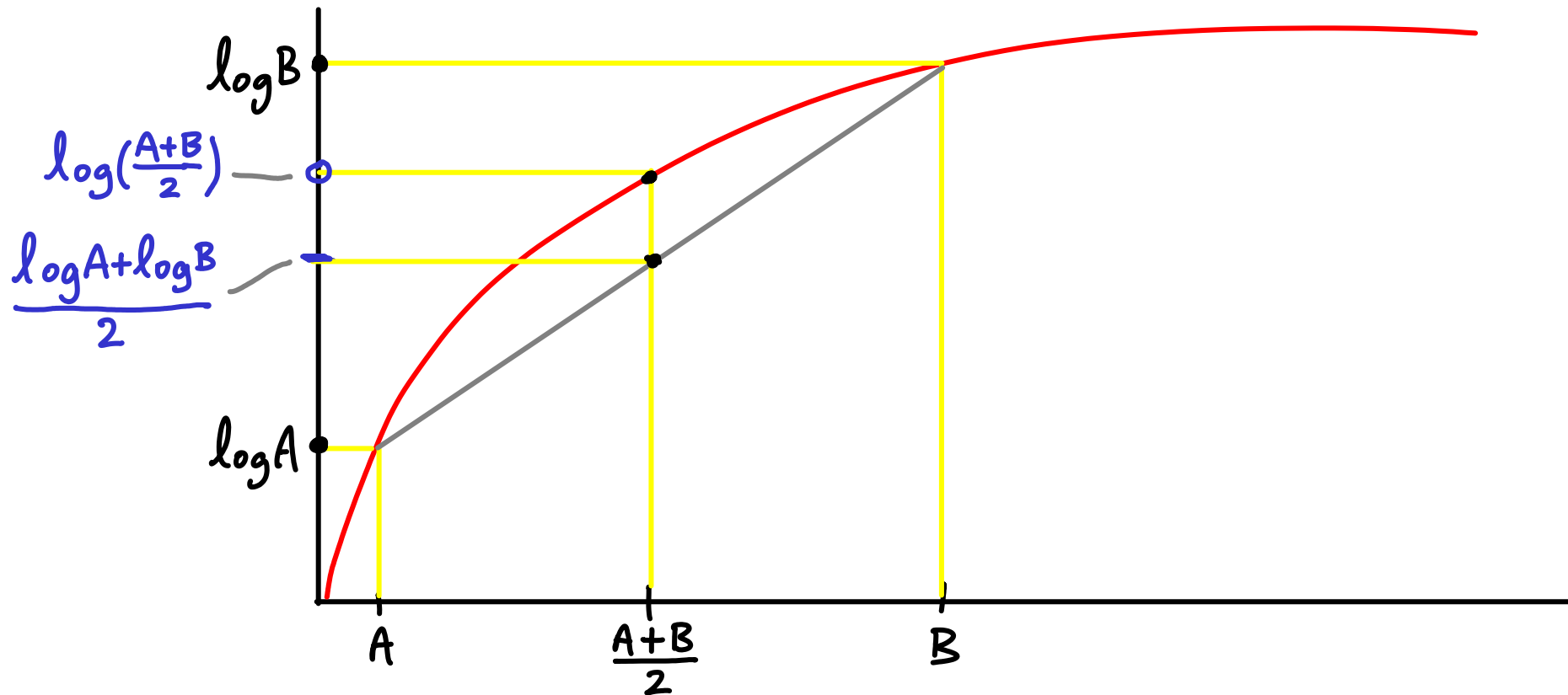
$$\frac{\log A + \log B}{2}$$







$$\frac{\log A + \log B}{2} \leq \log\left(\frac{A+B}{2}\right)$$

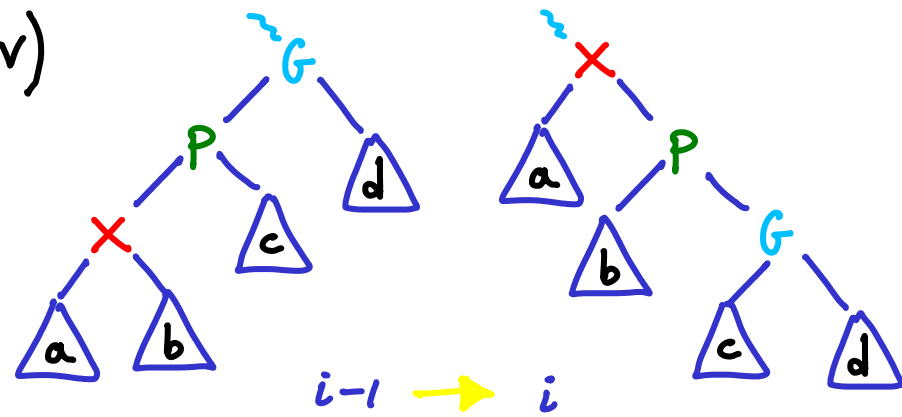


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What happens when we zig-zig x ?

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$$s_i(G) + s_{i-1}(x) = 2 \cdot \frac{\log(\text{size}_i(G)) + \log(\text{size}_{i-1}(x))}{2}$$



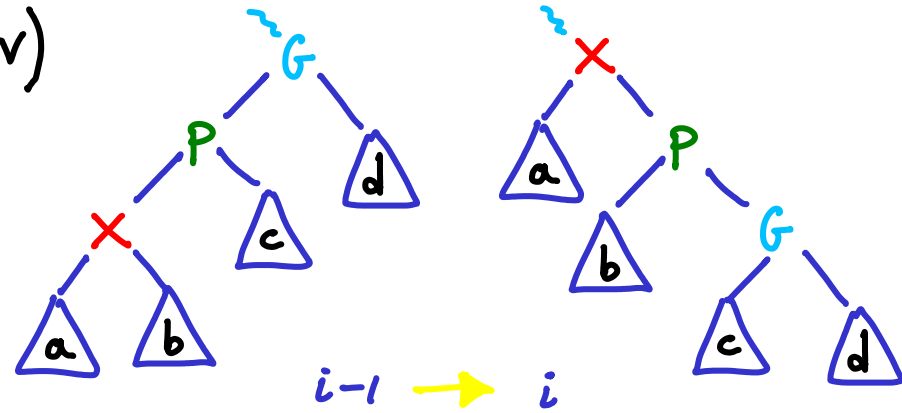
$$\frac{\log A + \log B}{2} \leq \log\left(\frac{A+B}{2}\right)$$

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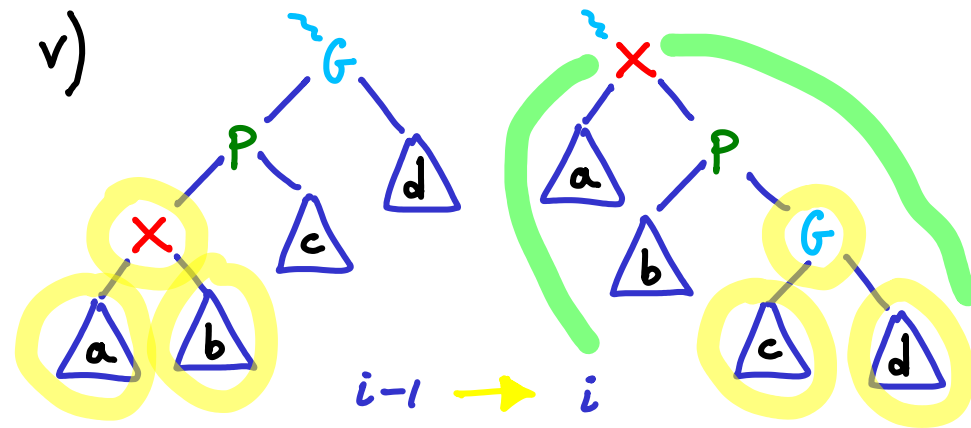
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$$s_i(G) + s_{i-1}(x) = 2 \cdot \frac{\log(\text{size}_i(G)) + \log(\text{size}_{i-1}(x))}{2} \leq 2 \cdot \log\left(\frac{\text{size}_i(G) + \text{size}_{i-1}(x)}{2}\right) < 2 \cdot \log\left(\frac{\text{size}_i(x)}{2}\right)$$



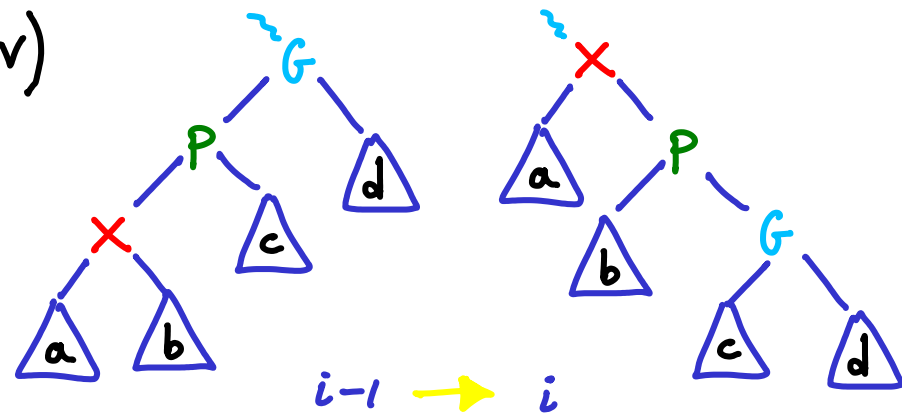
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$$\begin{aligned} s_i(G) + s_{i-1}(x) &= 2 \cdot \frac{\log(\text{size}_i(G)) + \log(\text{size}_{i-1}(x))}{2} \\ &\leq 2 \cdot \log\left(\frac{\text{size}_i(G) + \text{size}_{i-1}(x)}{2}\right) \\ &< 2 \cdot \log\left(\frac{\text{size}_i(x)}{2}\right) = 2 \cdot [s_i(x) - 1] \end{aligned}$$

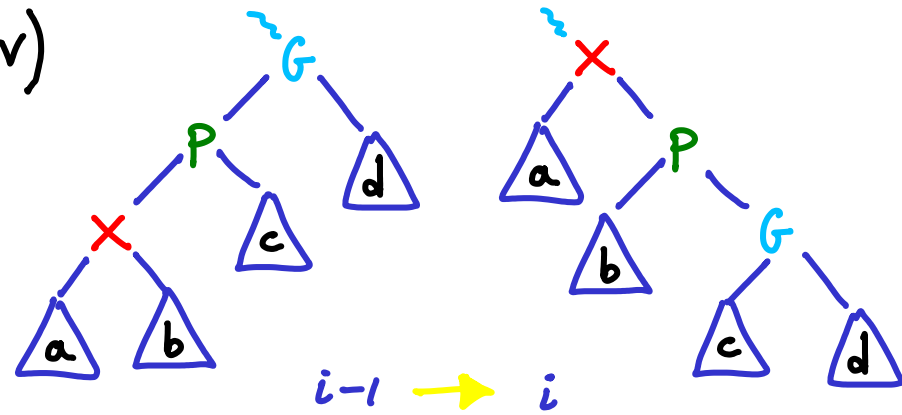


$$\frac{\log A + \log B}{2} \leq \log\left(\frac{A+B}{2}\right)$$

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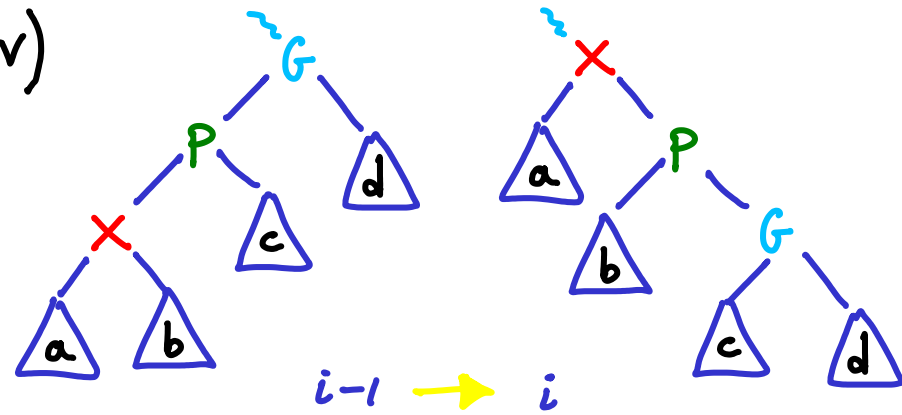
$\frac{\log A + \log B}{2} \leq \log\left(\frac{A+B}{2}\right)$

$s_i(G) + s_{i-1}(x) < 2s_i(x) - 2$

$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zig x ?

$$\begin{aligned} \Delta\Phi &< s_i(G) + s_i(x) - 2s_{i-1}(x) \\ &= s_i(G) + s_{i-1}(x) + s_i(x) - 3s_{i-1}(x) \end{aligned}$$



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$\frac{\log A + \log B}{2} \leq \log\left(\frac{A+B}{2}\right)$

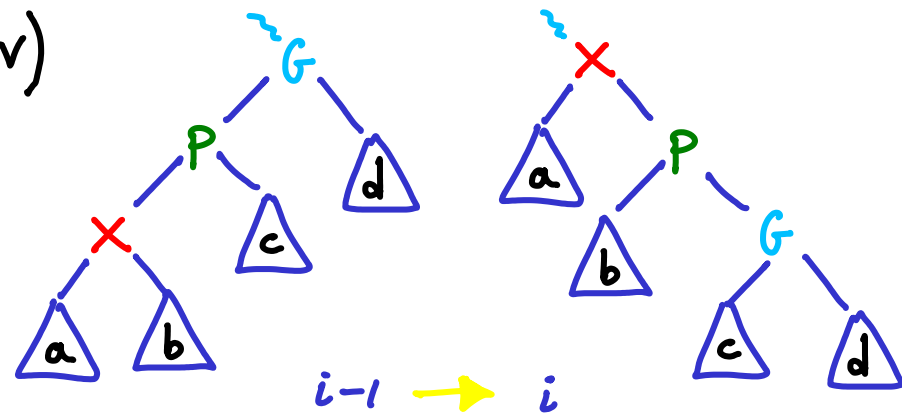
$s_i(G) + s_{i-1}(x) < 2s_i(x) - 2$

$$\Delta\Phi < [2s_i(x) - 2] + s_i(x) - 3s_{i-1}(x)$$

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$\frac{\log A + \log B}{2} \leq \log\left(\frac{A+B}{2}\right)$

$s_i(G) + s_{i-1}(x) < 2s_i(x) - 2$

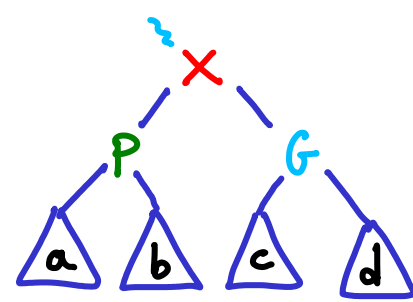
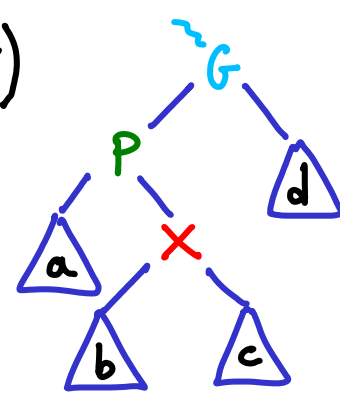
$$\Delta\Phi < [2s_i(x) - 2] + s_i(x) - 3s_{i-1}(x) = \underline{3[s_i(x) - s_{i-1}(x)] - 2}$$

no g : $\Delta\Phi < s_i(x) - s_{i-1}(x)$

zig-zig : $\Delta\Phi < 3[s_i(x) - s_{i-1}(x)] - 2$ per step

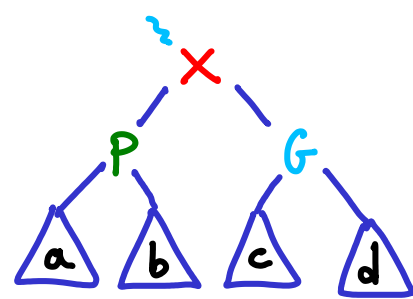
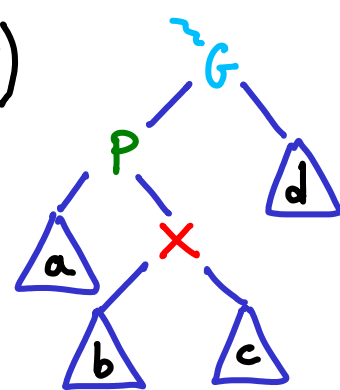
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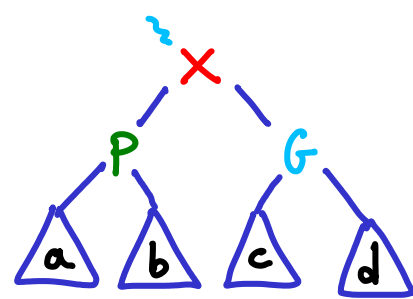
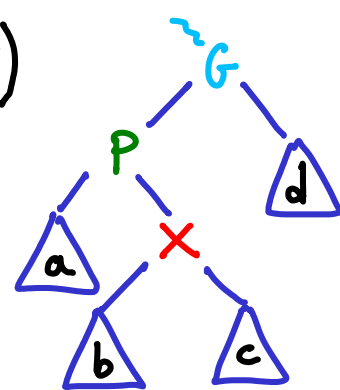


$i-1 \rightarrow i$

$$\begin{aligned} \Delta\Phi &= \Delta s(G) + \Delta s(P) + \Delta s(x) \\ &= \underbrace{s_i(G) - s_{i-1}(G)}_{\text{blue}} + \underbrace{s_i(P) - s_{i-1}(P)}_{\text{green}} + \underbrace{s_i(x) - s_{i-1}(x)}_{\text{red}} \end{aligned}$$

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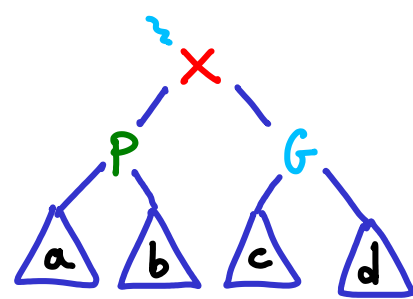
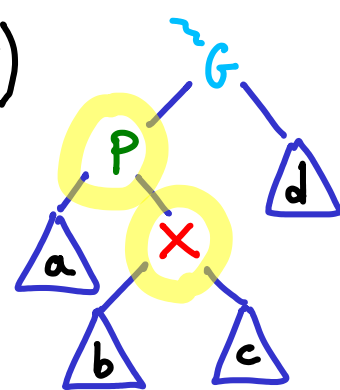
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$$= \underbrace{s_i(G) - \cancel{s_{i-1}(G)}}_{\text{blue}} + \underbrace{s_i(P) - \cancel{s_{i-1}(P)}}_{\text{green}} + \underbrace{\cancel{s_i(x)} - s_{i-1}(x)}_{\text{red}}$$

$$= s_i(G) + s_i(P) - s_{i-1}(P) - s_{i-1}(x)$$

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$$\Delta\Phi = \Delta s(G) + \Delta s(P) + \Delta s(x)$$

$$= \cancel{s_i(G)} - \cancel{s_{i-1}(G)} + \cancel{s_i(P)} - \cancel{s_{i-1}(P)} + \cancel{s_i(x)} - \cancel{s_{i-1}(x)}$$

$$= s_i(G) + s_i(P) - s_{i-1}(P) - s_{i-1}(x)$$

$$< s_i(G) + s_i(P)$$

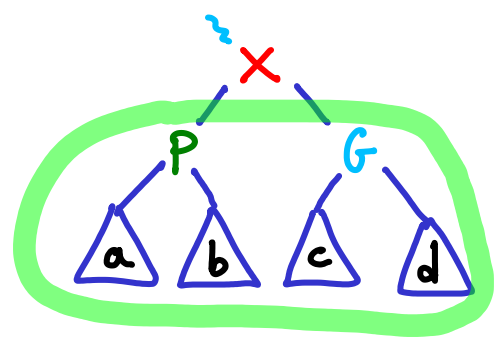
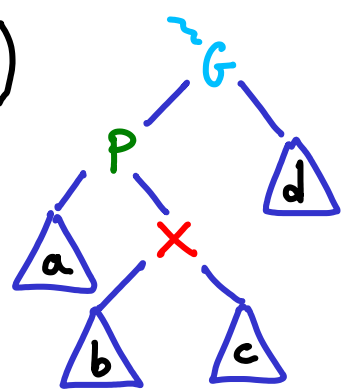
$$- 2s_{i-1}(x)$$

$$s_{i-1}(x) < s_{i-1}(P)$$

$i-1 \rightarrow i$

$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zag x ?



$$\Delta\Phi = \Delta s(G) + \Delta s(P) + \Delta s(x)$$

$$= s_i(G) - s_{i-1}(G) + s_i(P) - s_{i-1}(P) + s_i(x) - s_{i-1}(x)$$

$$= s_i(G) + s_i(P) - s_{i-1}(P) - s_{i-1}(x)$$

$$< s_i(G) + s_i(P) - 2s_{i-1}(x)$$

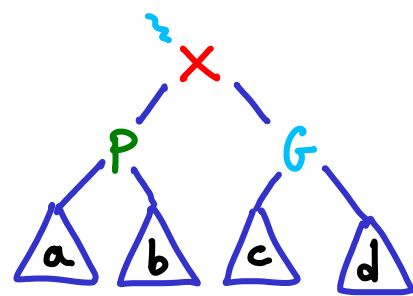
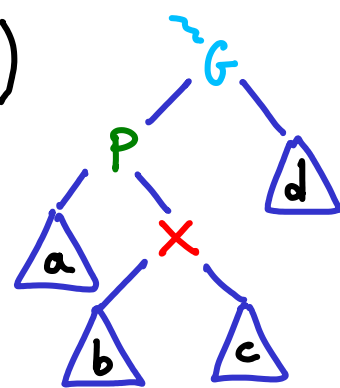
$$s_i\left(\begin{array}{c} G \\ \triangleleft \triangle c \quad \triangle d \end{array}\right) + s_i\left(\begin{array}{c} P \\ \triangleleft \triangle a \quad \triangle b \end{array}\right)$$

$$s_{i-1}(x) < s_{i-1}(P)$$

$i-1 \rightarrow i$

$$\Phi = \sum_{\text{all } v} s(v) \quad // s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zag x ?



$$\Delta\Phi = \Delta s(G) + \Delta s(P) + \Delta s(x)$$

$$= s_i(G) - \cancel{s_{i-1}(G)} + s_i(P) - \cancel{s_{i-1}(P)} + \cancel{s_i(x)} - \cancel{s_{i-1}(x)}$$

$$= s_i(G) + s_i(P) - s_{i-1}(P) - s_{i-1}(x)$$

$$s_{i-1}(x) < s_{i-1}(P)$$

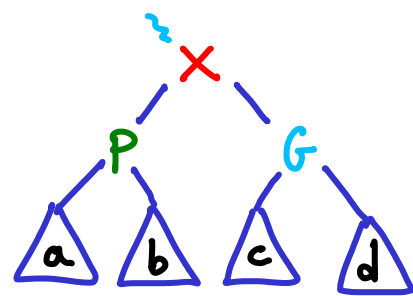
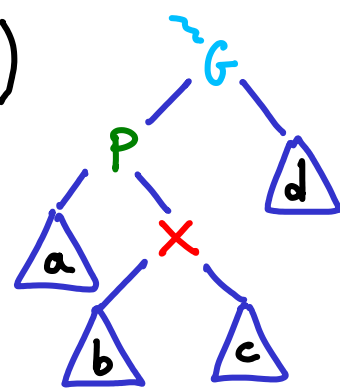
$$< s_i(G) + s_i(P) - 2s_{i-1}(x)$$

$$s_i\left(\begin{array}{c} G \\ \triangleleft \triangle d \end{array}\right) + s_i\left(\begin{array}{c} P \\ \triangleleft \triangle b \end{array}\right) \sim s_i\left(\begin{array}{c} G \\ \triangleleft \triangle d \end{array}\right) + s_{i-1}\left(\begin{array}{c} x \\ \triangleleft \triangle b \end{array}\right)$$

from zig-zig case

$$\Phi = \sum_{\text{all } v} s(v) \quad // s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zag x ?



$$\Delta\Phi = \Delta s(G) + \Delta s(P) + \Delta s(x)$$

$$= s_i(G) - \cancel{s_{i-1}(G)} + s_i(P) - \cancel{s_{i-1}(P)} + \cancel{s_i(x)} - \cancel{s_{i-1}(x)}$$

$$= s_i(G) + s_i(P) - s_{i-1}(P) - s_{i-1}(x)$$

$$< \underbrace{s_i(G) + s_i(P)}_{\text{from zig-zig case}} - 2s_{i-1}(x) \quad \text{since } s_{i-1}(x) < s_{i-1}(P)$$

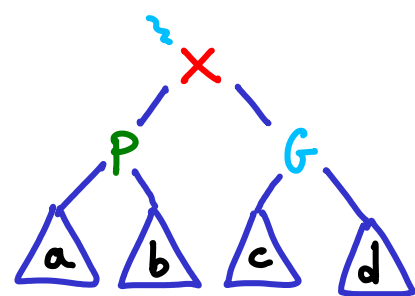
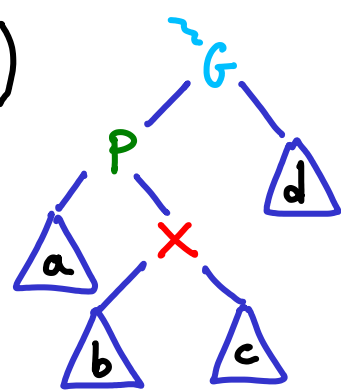
$$s_i\left(\begin{array}{c} G \\ \triangleleft \triangle d \end{array}\right) + s_i\left(\begin{array}{c} P \\ \triangleleft \triangle b \end{array}\right) \sim s_i\left(\begin{array}{c} G \\ \triangleleft \triangle d \end{array}\right) + s_{i-1}\left(\begin{array}{c} x \\ \triangleleft \triangle b \end{array}\right)$$

from zig-zig case

$$\Delta\Phi < \underbrace{2s_i(x) - 2}_{\text{from zig-zig case}} - 2s_{i-1}(x) = 2[s_i(x) - s_{i-1}(x)] - 2$$

$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zag x ?



$$\Delta\Phi = \Delta s(G) + \Delta s(P) + \Delta s(x)$$

$$= \underbrace{s_i(G) - s_{i-1}(G)}_{\text{blue}} + \underbrace{s_i(P) - s_{i-1}(P)}_{\text{green}} + \underbrace{s_i(x) - s_{i-1}(x)}_{\text{red}}$$

$$= s_i(G) + s_i(P) - s_{i-1}(P) - s_{i-1}(x)$$

$$< s_i(G) + s_i(P)$$

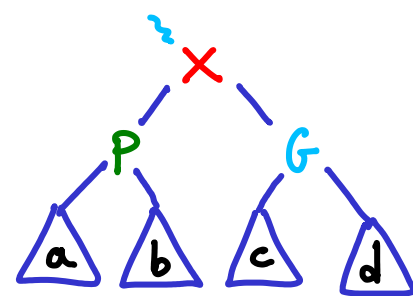
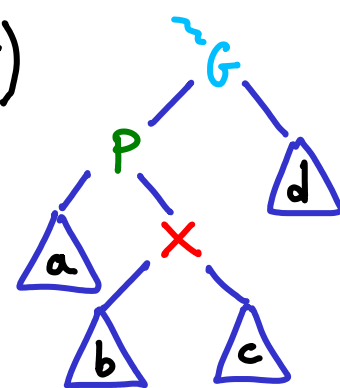
$$- 2s_{i-1}(x)$$

$$s_{i-1}(x) < s_{i-1}(P)$$

$i-1 \rightarrow i$

$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zag x ?



$$\Delta\Phi = \Delta s(G) + \Delta s(P) + \Delta s(x)$$

$$= s_i(G) - s_{i-1}(G) + s_i(P) - s_{i-1}(P) + s_i(x) - s_{i-1}(x)$$

$$= s_i(G) + s_i(P) - s_{i-1}(P) - s_{i-1}(x)$$

$$< s_i(G) + s_i(P) - 2s_{i-1}(x)$$

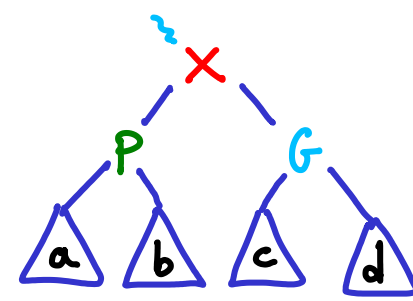
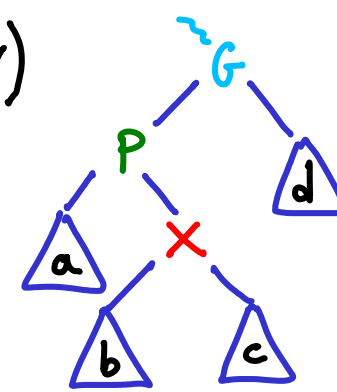
$$s_{i-1}(x) < s_{i-1}(P)$$

$$= 2 \cdot \frac{\log(\text{size}_i(G)) + \log(\text{size}_i(P))}{2}$$

$i-1 \rightarrow i$

$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zag x ?



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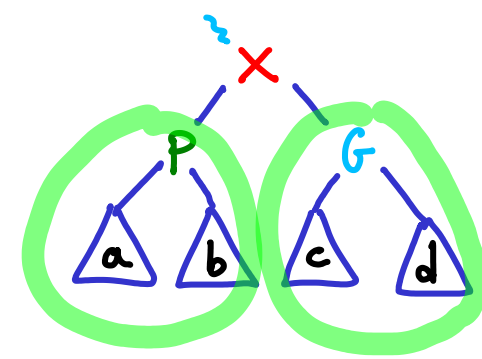
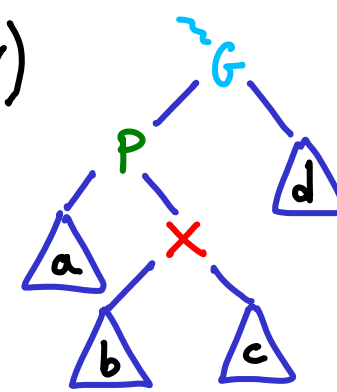
$$< s_i(G) + s_i(P) - 2s_{i-1}(x)$$

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$$= s_i(G) + s_i(P) - s_{i-1}(P) - s_{i-1}(x)$$

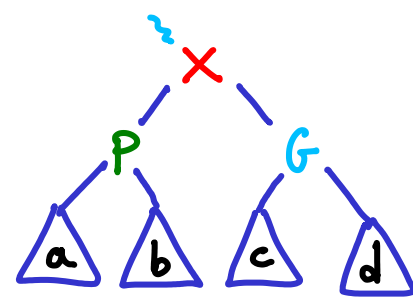
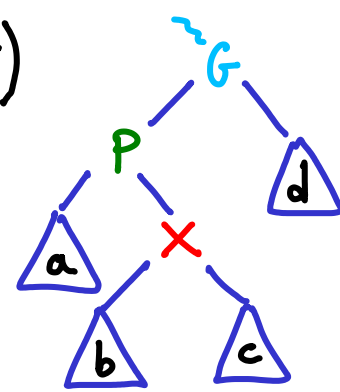
$$< s_i(G) + s_i(P) - 2s_{i-1}(x)$$

$$s_{i-1}(x) < s_{i-1}(P)$$

$$= 2 \cdot \frac{\log(\text{size}_i(G)) + \log(\text{size}_i(P))}{2} \leq 2 \log\left(\frac{\text{size}_i(G) + \text{size}_i(P)}{2}\right) < 2 \log\left(\frac{\text{size}_i(x)}{2}\right)$$

$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

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$$= s_i(G) + s_i(P) - s_{i-1}(P) - s_{i-1}(x)$$

$$< s_i(G) + s_i(P) - 2s_{i-1}(x)$$

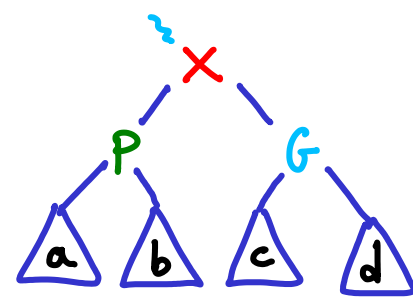
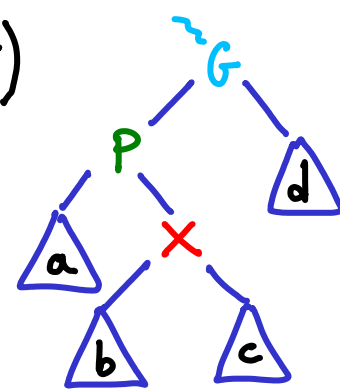
$$s_{i-1}(x) < s_{i-1}(P)$$

$$= 2 \cdot \frac{\log(\text{size}_i(G)) + \log(\text{size}_i(P))}{2} \leq 2 \log\left(\frac{\text{size}_i(G) + \text{size}_i(P)}{2}\right) < 2 \log\left(\frac{\text{size}_i(x)}{2}\right)$$

$$\Delta\Phi < 2s_i(x) - 2 - 2s_{i-1}(x)$$

$$\Phi = \sum_{\text{all } v} s(v) \quad // \quad s(v) = \log_2(\# \text{ nodes in subtree of } v)$$

What happens when we zig-zag x ?



$$\Delta\Phi = \Delta s(G) + \Delta s(P) + \Delta s(x)$$

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$$= s_i(G) + s_i(P) - s_{i-1}(P) - s_{i-1}(x)$$

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$$s_{i-1}(x) < s_{i-1}(P)$$

$$= 2 \cdot \frac{\log(\text{size}_i(G)) + \log(\text{size}_i(P))}{2} \leq 2 \log\left(\frac{\text{size}_i(G) + \text{size}_i(P)}{2}\right) < 2 \log\left(\frac{\text{size}_i(x)}{2}\right)$$

$$\Delta\Phi < 2s_i(x) - 2 - 2s_{i-1}(x) = 2[s_i(x) - s_{i-1}(x)] - 2$$

$$\begin{array}{lcl}
 \text{no g :} & \Delta\Phi < s_i(x) - s_{i-1}(x) \\
 \text{zig-zig :} & \Delta\Phi < 3[s_i(x) - s_{i-1}(x)] - 2 \\
 \text{zig-zag :} & \Delta\Phi < 2[s_i(x) - s_{i-1}(x)] - 2
 \end{array}
 \left. \vphantom{\begin{array}{l} \text{no g} \\ \text{zig-zig} \\ \text{zig-zag} \end{array}} \right\} \text{ per step}$$

$$\begin{array}{lcl}
 \text{no g : } \Delta\Phi < s_i(x) - s_{i-1}(x) & & \leftarrow \text{at most once} \\
 \text{zig-zig : } \Delta\Phi < 3[s_i(x) - s_{i-1}(x)] - 2 & & \text{per step} \\
 \text{zig-zag : } \Delta\Phi < 2[s_i(x) - s_{i-1}(x)] - 2 & &
 \end{array}$$

$$\begin{array}{l}
 \text{total } \Delta\Phi < -2k + \sum 3[s_i(x) - s_{i-1}(x)] \quad // k = \# \text{ zig-zags \& zig-zigs} \\
 \text{for one splay}
 \end{array}$$

$$\begin{array}{lcl}
 \text{no g:} & \Delta\Phi < s_i(x) - s_{i-1}(x) \\
 \text{zig-zig:} & \Delta\Phi < 3[s_i(x) - s_{i-1}(x)] - 2 \\
 \text{zig-zag:} & \Delta\Phi < 2[s_i(x) - s_{i-1}(x)] - 2
 \end{array}
 \left. \vphantom{\begin{array}{l} \text{no g:} \\ \text{zig-zig:} \\ \text{zig-zag:} \end{array}} \right\} \text{per step}$$

$$\begin{aligned}
 \text{total } \Delta\Phi &< -2k + \sum 3[s_i(x) - s_{i-1}(x)] && // k = \# \text{ zig-zags \& zig-zigs} \\
 \text{for one splay} &&& \text{telescope} \\
 &= 3[s(\text{root}) - s_o(x)] - 2k
 \end{aligned}$$

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 \text{no g : } \Delta\Phi < s_i(x) - s_{i-1}(x) \\
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 \text{zig-zag : } \Delta\Phi < 2[s_i(x) - s_{i-1}(x)] - 2
 \end{array}
 \left. \vphantom{\begin{array}{l} \text{no g} \\ \text{zig-zig} \\ \text{zig-zag} \end{array}} \right\} \text{ per step}$$

$$\begin{array}{l}
 \text{total } \Delta\Phi < -2k + \sum 3[s_i(x) - s_{i-1}(x)] \quad // k = \# \text{ zig-zags \& zig-zigs} \\
 \text{for one splay} \quad \quad \quad \text{telescope}
 \end{array}$$

$$= 3[s(\text{root}) - s_o(x)] - 2k$$

$$\leq 3 \cdot s(\text{root}) - 2k$$

$$\leq 3 \cdot \log n - 2k$$

$$\begin{aligned}
 \text{no g: } \Delta\Phi &< s_i(x) - s_{i-1}(x) \\
 \text{zig-zig: } \Delta\Phi &< 3[s_i(x) - s_{i-1}(x)] - 2 \\
 \text{zig-zag: } \Delta\Phi &< 2[s_i(x) - s_{i-1}(x)] - 2
 \end{aligned}
 \left. \vphantom{\begin{aligned} \text{no g: } \Delta\Phi &< s_i(x) - s_{i-1}(x) \\ \text{zig-zig: } \Delta\Phi &< 3[s_i(x) - s_{i-1}(x)] - 2 \\ \text{zig-zag: } \Delta\Phi &< 2[s_i(x) - s_{i-1}(x)] - 2 \end{aligned}} \right\} \text{ per step}$$

$$\begin{aligned}
 \text{total } \Delta\Phi &< -2k + \sum 3[s_i(x) - s_{i-1}(x)] \quad // k = \# \text{ zig-zags \& zig-zigs} \\
 \text{for one splay} & \quad \text{telescope}
 \end{aligned}$$

$$\begin{aligned}
 &= 3[s(\text{root}) - s_o(x)] - 2k \\
 &\leq 3 \cdot s(\text{root}) - 2k \\
 &\leq 3 \cdot \log n - 2k
 \end{aligned}$$

$$\text{Amortized cost } \hat{c} = c + \Delta\Phi$$

$$\begin{aligned}
 \text{no g: } \Delta\Phi &< s_i(x) - s_{i-1}(x) \\
 \text{zig-zig: } \Delta\Phi &< 3[s_i(x) - s_{i-1}(x)] - 2 \\
 \text{zig-zag: } \Delta\Phi &< 2[s_i(x) - s_{i-1}(x)] - 2
 \end{aligned}
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total $\Delta\Phi$ for one splay

$$< -2k + \sum 3[s_i(x) - s_{i-1}(x)] \quad // k = \# \text{ zig-zags \& zig-zigs}$$

telescope

$$= 3[s(\text{root}) - s_o(x)] - 2k$$

$$\leq 3 \cdot s(\text{root}) - 2k$$

$$\leq 3 \cdot \log n - 2k$$

$\left[\begin{array}{l} \leq 1 \text{ rotation at top} \\ + 2 \text{ rotations for all other steps} \end{array} \right]$

Amortized cost $\hat{c} = c + \Delta\Phi \leq \underbrace{1 + 2k}_{\substack{\leq 1 \text{ rotation at top} \\ + 2 \text{ rotations for all other steps}}} + 3 \cdot \log n - 2k$

$$\begin{aligned}
 \text{no g: } \Delta\Phi &< s_i(x) - s_{i-1}(x) \\
 \text{zig-zig: } \Delta\Phi &< 3[s_i(x) - s_{i-1}(x)] - 2 \\
 \text{zig-zag: } \Delta\Phi &< 2[s_i(x) - s_{i-1}(x)] - 2
 \end{aligned}
 \left. \vphantom{\begin{aligned} \text{no g: } \Delta\Phi &< s_i(x) - s_{i-1}(x) \\ \text{zig-zig: } \Delta\Phi &< 3[s_i(x) - s_{i-1}(x)] - 2 \\ \text{zig-zag: } \Delta\Phi &< 2[s_i(x) - s_{i-1}(x)] - 2 \end{aligned}} \right\} \text{ per step}$$

$$\begin{aligned}
 \text{total } \Delta\Phi &< -2k + \sum 3[s_i(x) - s_{i-1}(x)] \quad // k = \# \text{ zig-zags \& zig-zigs} \\
 \text{for one splay} & \quad \text{telescope}
 \end{aligned}$$

$$= 3[s(\text{root}) - s_o(x)] - 2k$$

$$\leq 3 \cdot s(\text{root}) - 2k$$

$$\leq 3 \cdot \log n - 2k$$

$\left[\begin{aligned} &\leq 1 \text{ rotation at top} \\ &+ 2 \text{ rotations for all other steps} \end{aligned} \right.$

$$\text{Amortized cost } \hat{c} = c + \Delta\Phi \leq \underbrace{1 + 2k}_{\substack{\leq 1 \text{ rotation at top} \\ + 2 \text{ rotations for all other steps}}} + 3 \cdot \log n - 2k = O(\log n)$$

Summary: one splay (to top) costs $O(\log n)$ amortized
↳ $\Delta\Phi$ of splay pays for rotations during splay

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insert causes $0 < \Delta\Phi \leq \log n$ but also costs up to n

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↳ can adjust (multiply) Φ so that splay pays for this cost too
splay path = search path

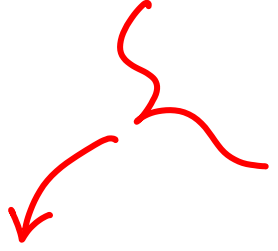
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Amortized cost $\sum_{j=1}^m \hat{c}_j$ of m operations on n elements = $O(m \log n)$

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Amortized cost $\sum_{j=1}^m \hat{c}_j$ of m operations on n elements = $O(m \log n)$

If tree doesn't start empty,

each node could contribute $\leq \log n$ to

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$$\sum_{j=1}^m \hat{c}_j = \sum_{j=1}^m c + \Phi_n - \Phi_0$$

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$$\sum_{j=1}^m \hat{c}_j = \sum_{j=1}^m c + \Phi_n - \Phi_0 \Rightarrow \sum_{j=1}^m c = \sum_{j=1}^m \hat{c}_j + \Phi_0 - \Phi_n = O(m \log n) + O(n \log n)$$

