

FIBONACCI HEAPS

partially based on notes by Jeff Erickson
(not CLRS)

HEAPS:

REGULAR

BINOMIAL

REPORT MIN:

$O(1)$



EXTRACT MIN:

$O(\log n)$



INSERT:

$O(\log n)$
 $O(1)$ amortized



DECREASE KEY:

$O(\log n)$



DELETE:

$O(\log n)$



MERGE/UNION:

$O(n)$

$O(\log n)$

HEAPS:	REGULAR	BINOMIAL	FIBONACCI
--------	---------	----------	-----------

REPORT MIN:

$O(1)$

←

←

EXTRACT MIN:

$O(\log n)$

←

$O(n)$
 $O(\log n)$ amortized

INSERT:

$O(\log n)$
 $O(1)$ amortized

←

$O(1)$

DECREASE KEY:

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DELETE:

$O(\log n)$

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$O(n)$
 $O(\log n)$ amortized

MERGE/UNION:

$O(n)$

$O(\log n)$

$O(1)$

HEAPS:

REGULAR

BINOMIAL

FIBONACCI

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EXTRACT MIN:

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$O(n)$
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INSERT:

$O(\log n)$
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DECREASE KEY:

$O(\log n)$

←

$O(n)$
* $O(1)$ amortized

DELETE:

$O(\log n)$

←

$O(n)$
 $O(\log n)$ amortized

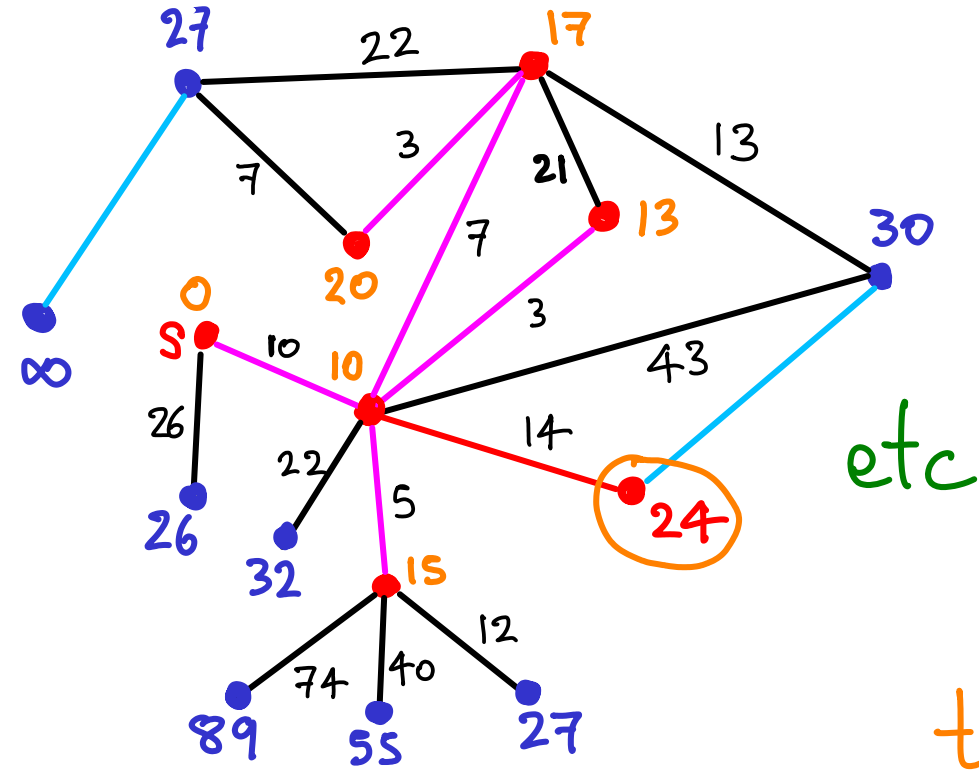
MERGE/UNION:

$O(n)$

$O(\log n)$

* $O(1)$

DIJKSTRA'S ALGORITHM for SSSP



(after initializing)

while pr.queue not empty

— x: extract-min & add edge to T
mark $x \rightarrow$ in T.

for each neighbor v of x
RELAX(x, v)

time : $O(V^2)$ or $O(E \log V)$

Fibonacci heap: $E * \text{decrease-key} + V * \text{extract} = E * O(1) + V * \log V$ [amortized]

HEAPS:	REGULAR	BINOMIAL	FIBONACCI
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REPORT MIN:	$O(1)$	←	←
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EXTRACT MIN:	$O(\log n)$	←	$O(n)$ $O(\log n)$ amortized
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INSERT:	$O(\log n)$ $O(1)$ amortized	←	$O(1)$
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DECREASE KEY:	$O(\log n)$	←	$O(n)$ $O(1)$ amortized
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DELETE:	$O(\log n)$	←	$O(n)$ $O(\log n)$ amortized
---------	-------------	---	---------------------------------

MERGE/UNION:	$O(n)$	$O(\log n)$	$O(1)$
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HEAPS:	REGULAR	BINOMIAL	FIBONACCI
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REPORT MIN:

$O(1)$

←

←

EXTRACT MIN:

$O(\log n)$

←

$O(n)$
 $O(\log n)$ amortized

INSERT:

$O(\log n)$
 $O(1)$ amortized

←

$O(1)$

DECREASE KEY:

$O(\log n)$

←

$O(n)$
 $O(1)$ amortized

MERGE/UNION:

$O(n)$

$O(\log n)$

$O(1)$

FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- } $O(1)$
-

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT $O(n)$
MIN $O(\log n)$ am.

FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

} What if we only needed these?

FIBONACCI HEAPS

• MIN
• INSERT } $O(1)$
• MERGE }

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT $O(n)$
MIN $O(\log n)$ am.

What if we only needed these?

maintain pointer MIN



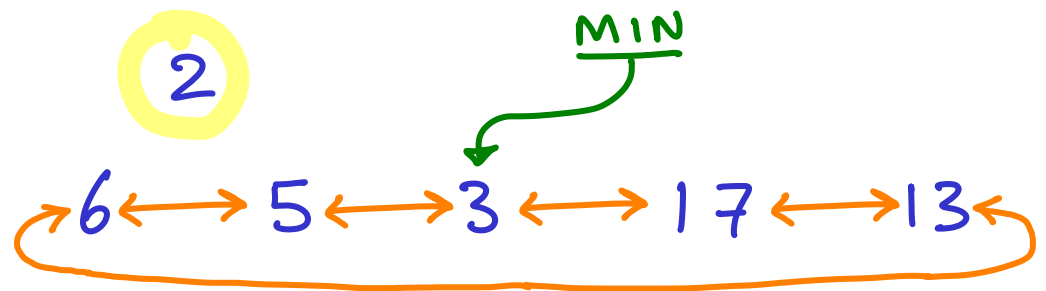
FIBONACCI HEAPS

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DECREASE $O(n)$
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EXTRACT MIN $O(n)$
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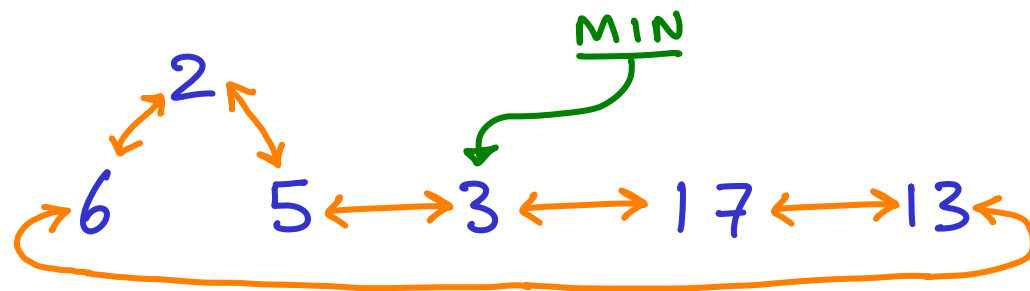
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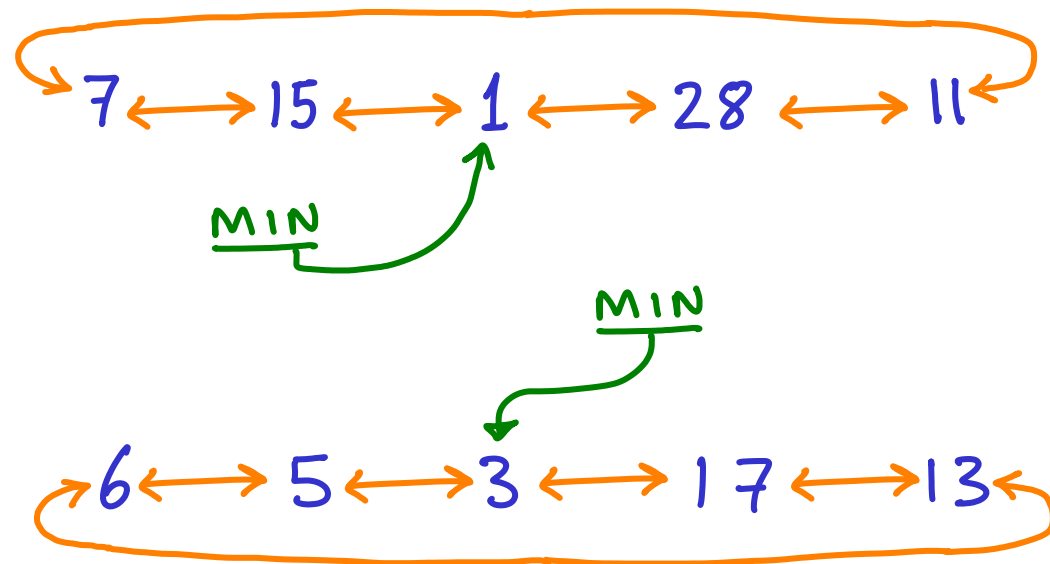
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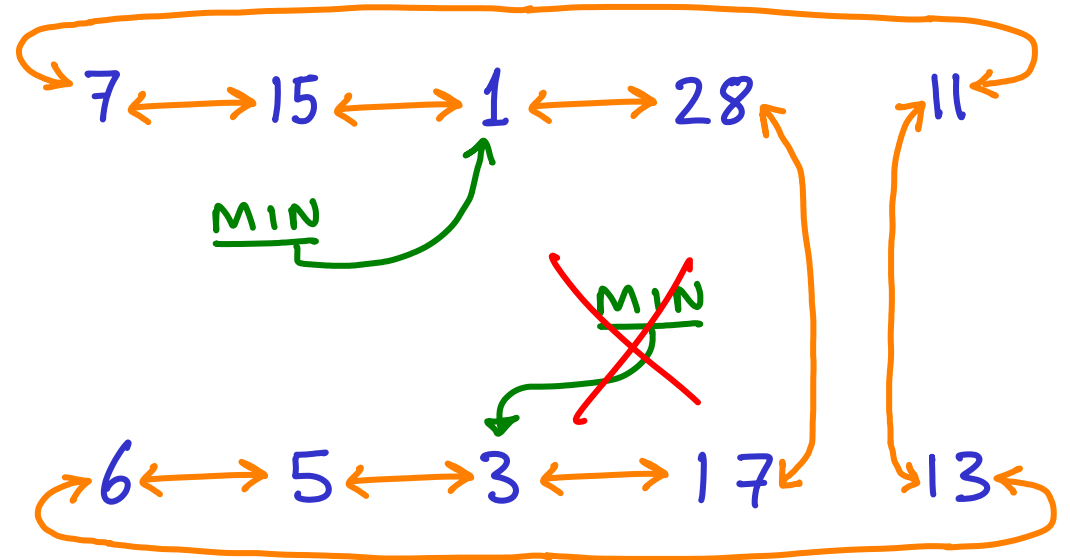
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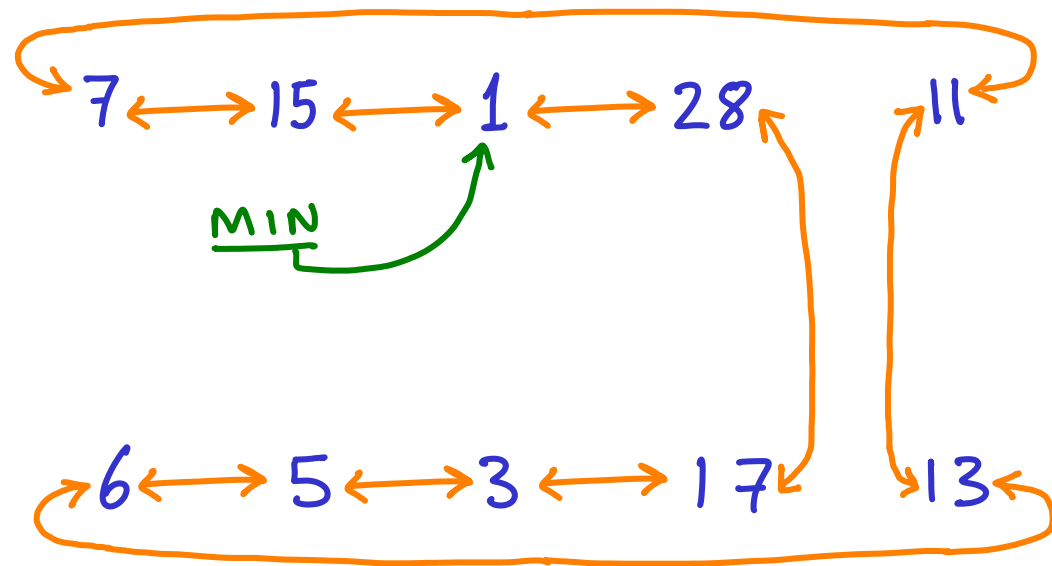
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DECREASE $O(n)$
 $O(1)$ am.

EXTRACT
MIN $O(n)$
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What if we only needed these?

In fact we get DECREASE in $O(1)$
and EXTRACT MIN in $O(n)$



FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT
MIN $O(n)$
 $O(\log n)$ am.

What if we only needed

MIN, INSERT, MERGE, EXTRACT
 $O(1)$ $O(1)$ $O(1)$ $O(\log n)$ am.

FIBONACCI HEAPS

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 - INSERT
 - MERGE
- $O(1)$

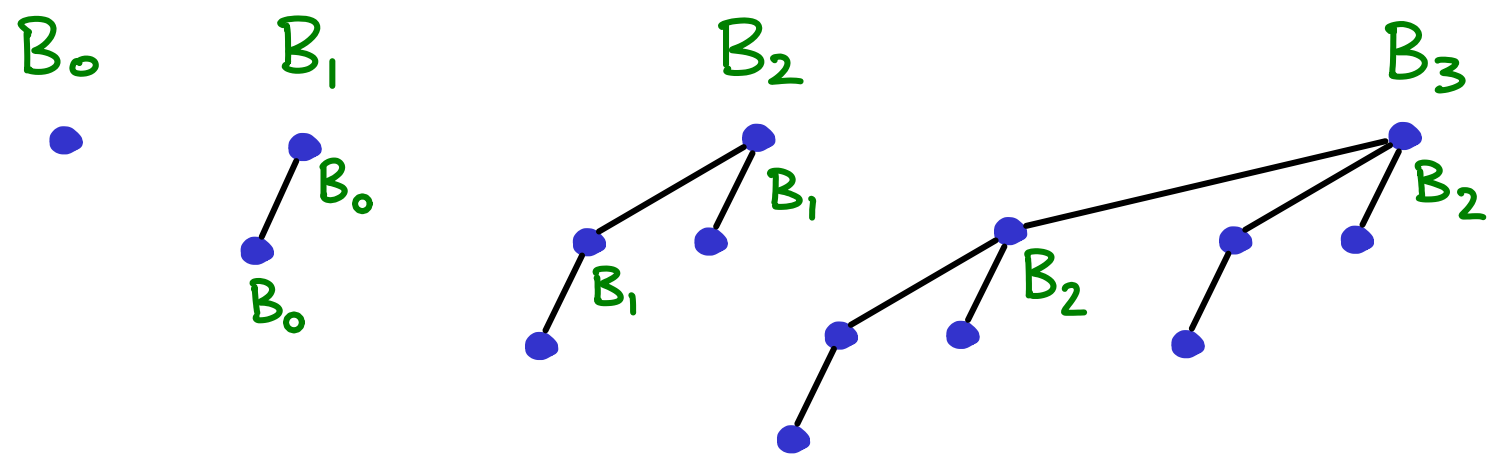
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What if we only needed MIN, INSERT, MERGE, EXTRACT
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Recall, Binomial heap: $O(1)$, $O(1)$ am., $O(\log n)$, $O(\log n)$
rigid structure, maintained asap

binomial trees:

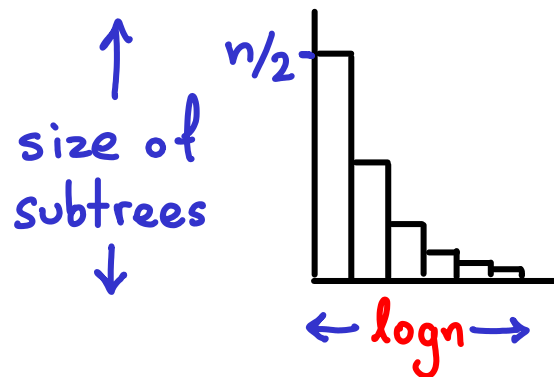


FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

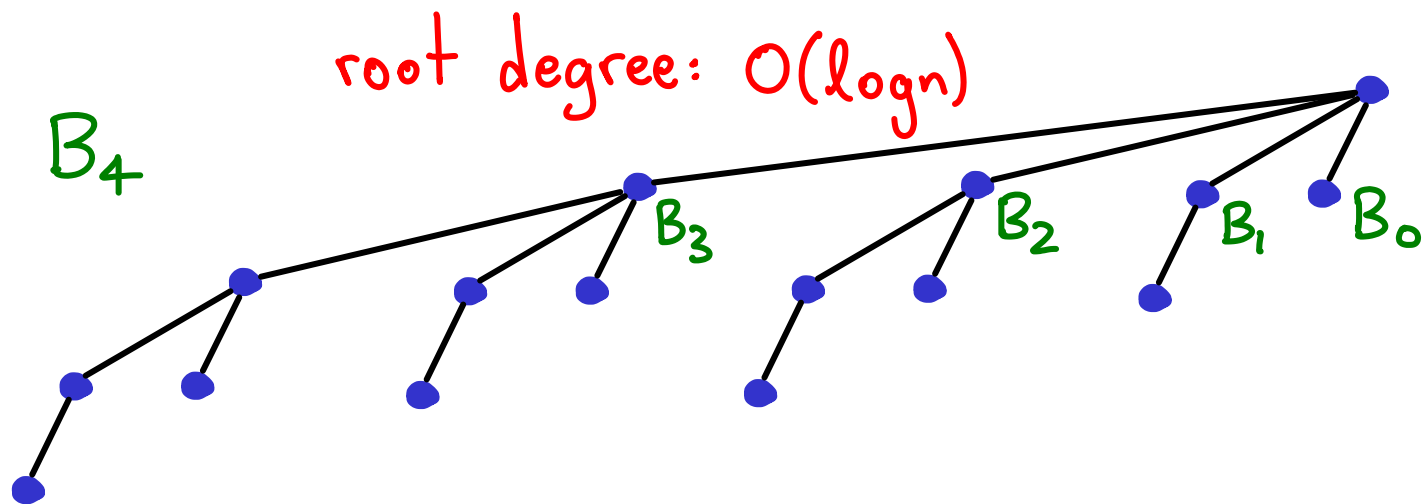
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FIBONACCI HEAPS

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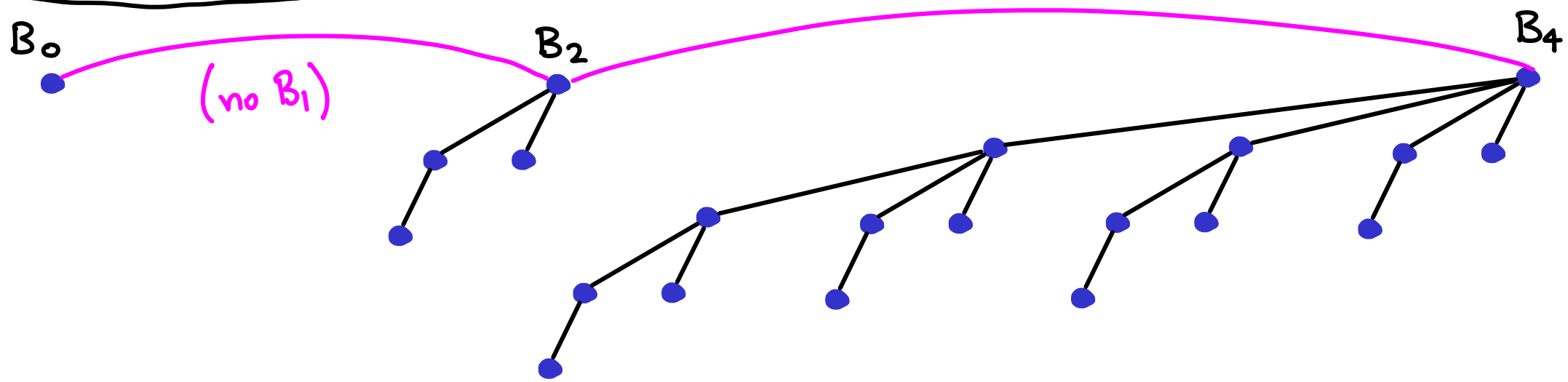
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DECREASE $O(n)$
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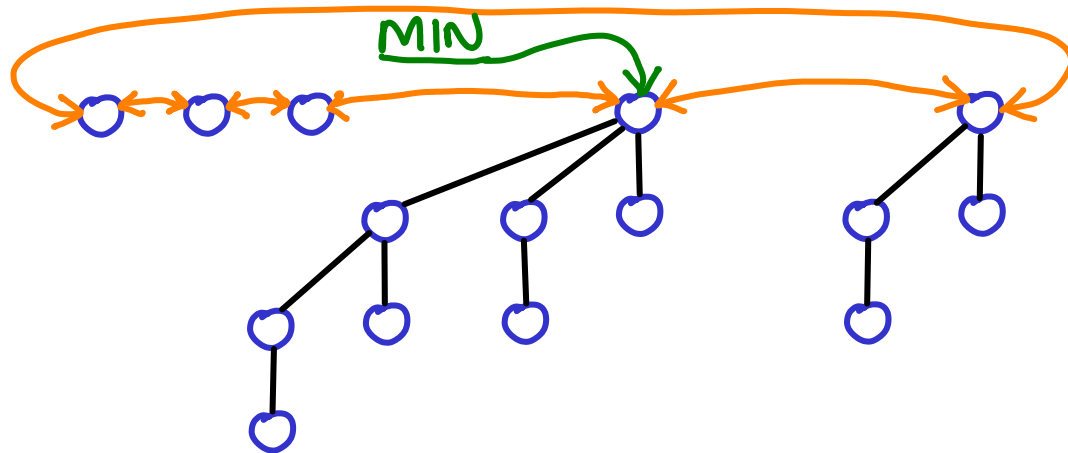
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Recall, Binomial heap: $O(1)$, $O(1)$ am., $O(\log n)$, $O(\log n)$

~~rigid structure,
maintained as ap~~

Instead:



• list of binomial trees

• relaxed structure
(allow > 1 of each)
(not sorted by size)

FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

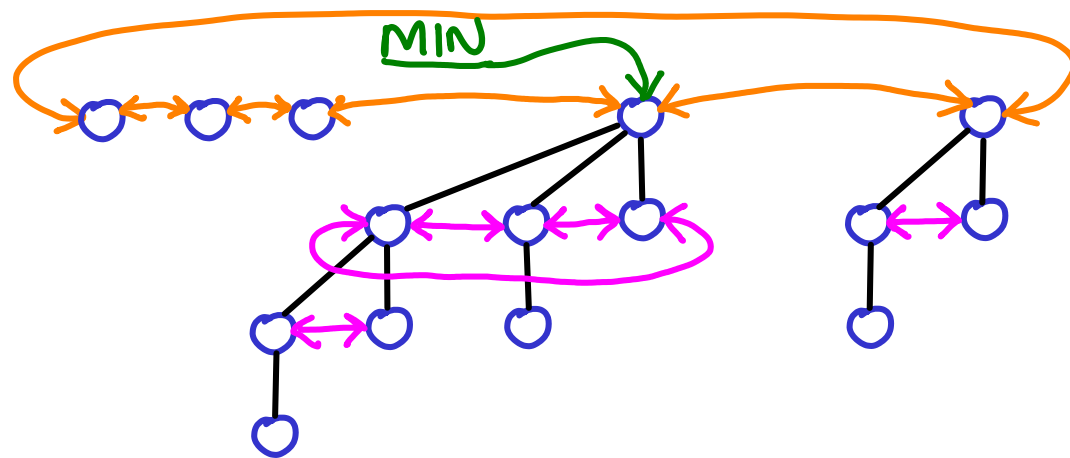
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• list of binomial trees

• relaxed structure
(allow > 1 of each)
(not sorted by size)

• extra pointers

FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

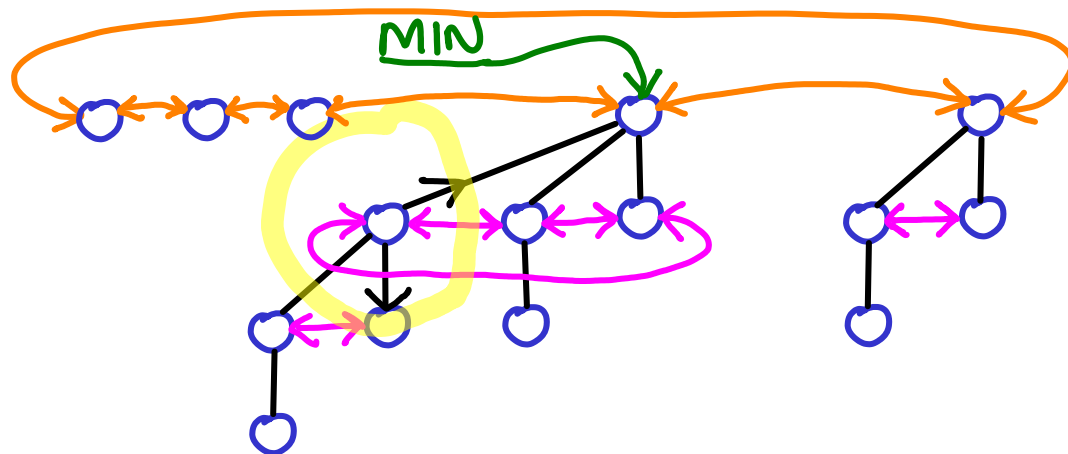
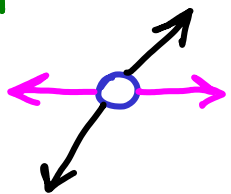
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4 pointers
per node



• list of binomial trees

• relaxed structure
(allow > 1 of each)
(not sorted by size)

• extra pointers

FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

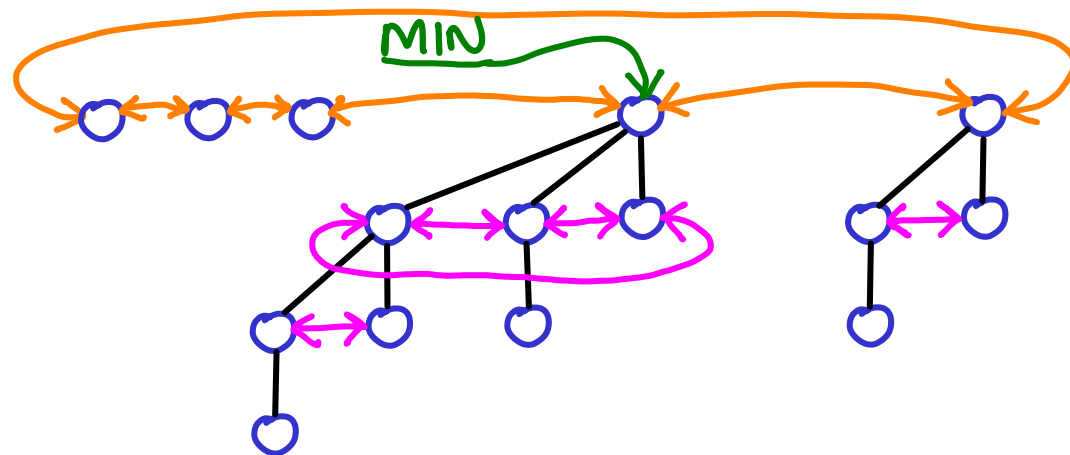
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What if we only needed MIN, INSERT, MERGE, EXTRACT
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* MIN, INSERT, MERGE

↳ like linked list solution

↳ be lazy: allow relaxed structure



• list of binomial trees

• relaxed structure
(allow > 1 of each)
(not sorted by size)

• extra pointers

FIBONACCI HEAPS

- MIN
 - INSERT
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* MIN, INSERT, MERGE

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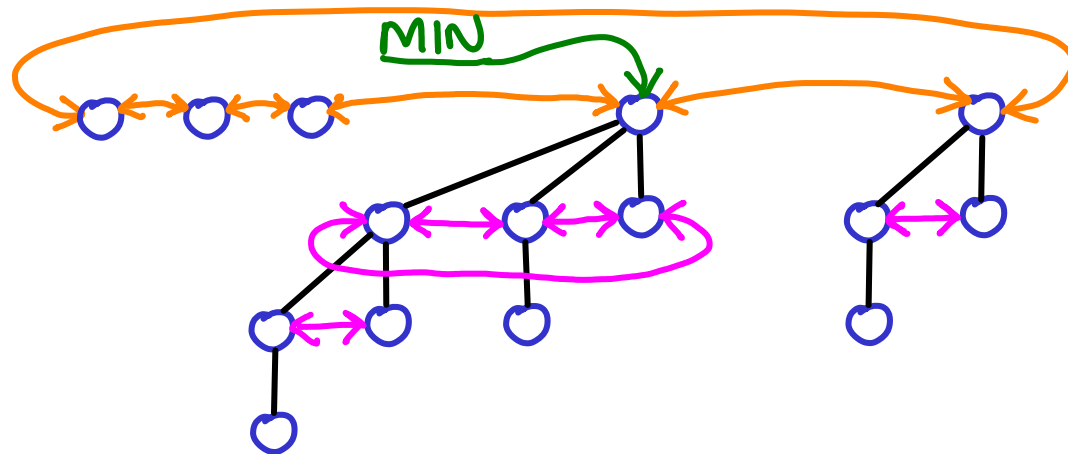
↳ be lazy: allow relaxed structure

* EXTRACT → pay for laziness: unrelax
↳ ensure ≤ 1 of each binomial tree size

• list of binomial trees

• relaxed structure
(allow > 1 of each)
(not sorted by size)

• extra pointers



FIBONACCI HEAPS

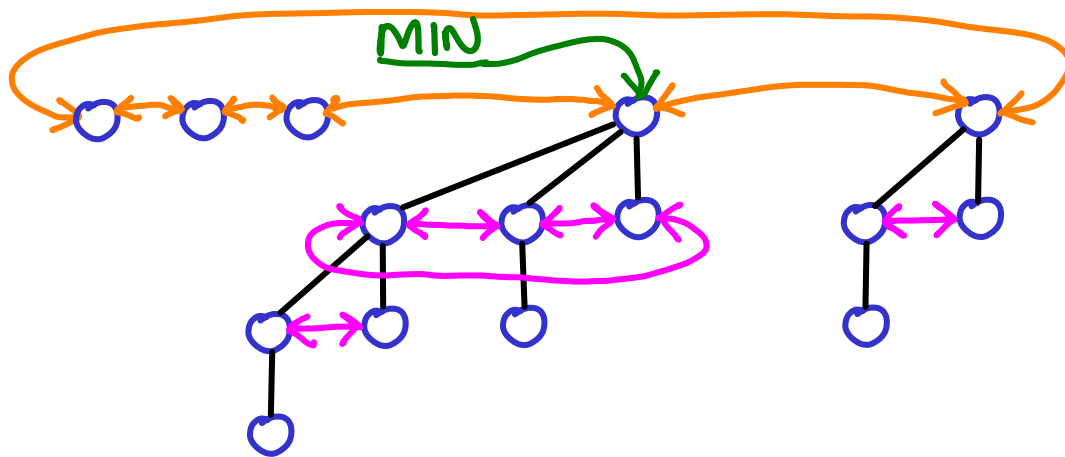
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DECREASE $O(n)$
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EXTRACT MIN $O(n)$
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EXTRACT MIN

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL



FIBONACCI HEAPS

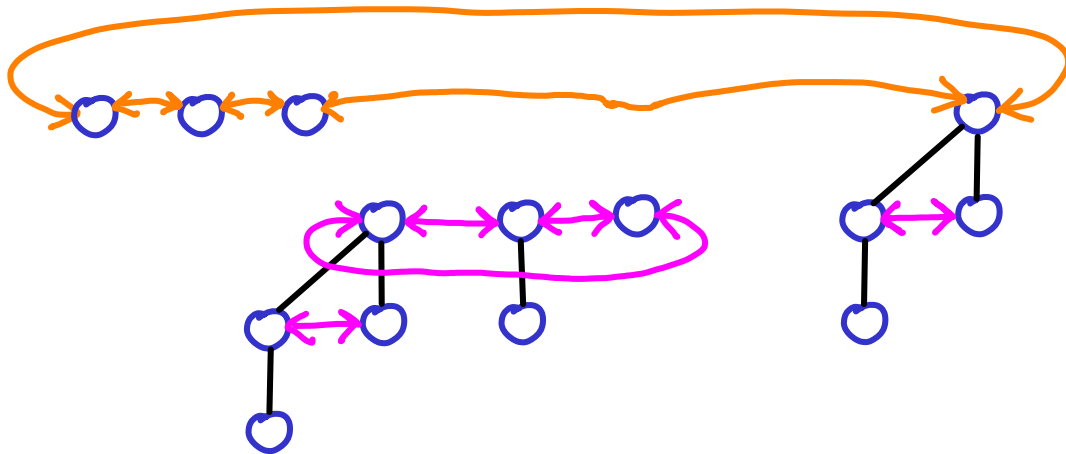
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EXTRACT MIN

- 1) Delete MIN node
 - 2) Set children's parent pointers to NULL
- $O(\deg(\text{MIN}))$
 $= ?$



FIBONACCI HEAPS

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- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

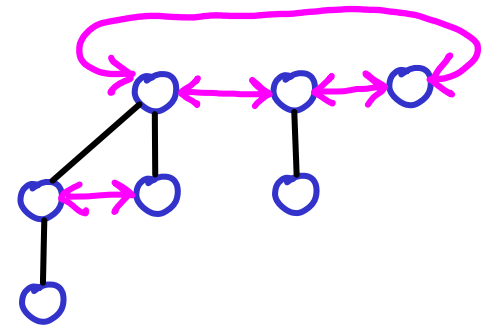
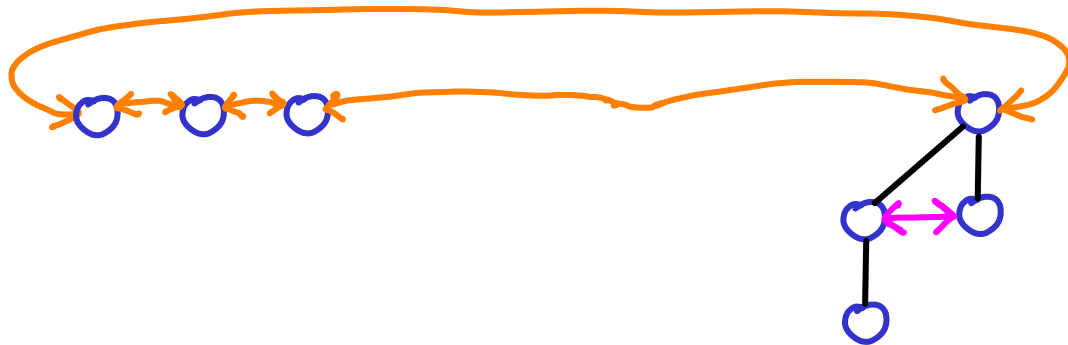
EXTRACT MIN $O(n)$
 $O(\log n)$ am.

EXTRACT MIN

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL

$O(\log n)$

binomial heap



FIBONACCI HEAPS

- MIN
 - INSERT
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- $O(1)$

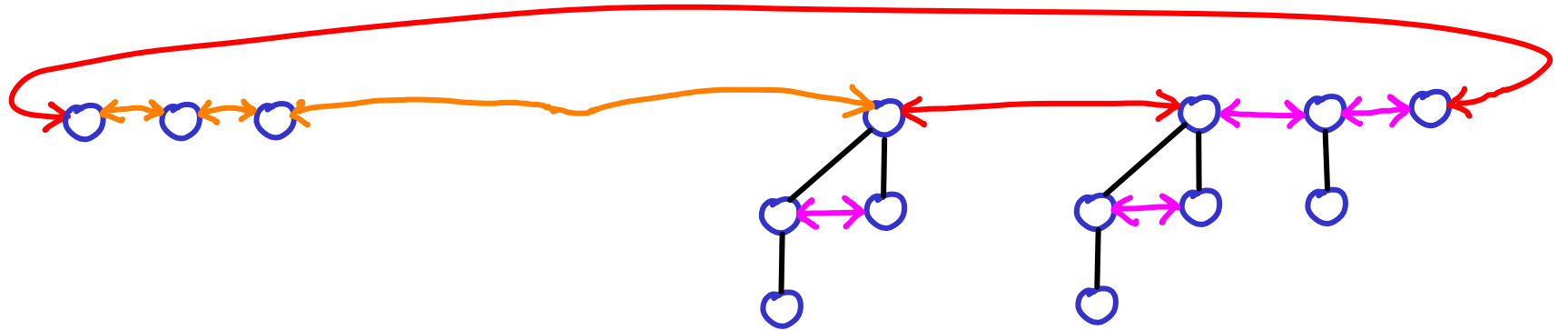
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EXTRACT MIN

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL

3) Link root lists: $O(1)$ $O(\log n)$



FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

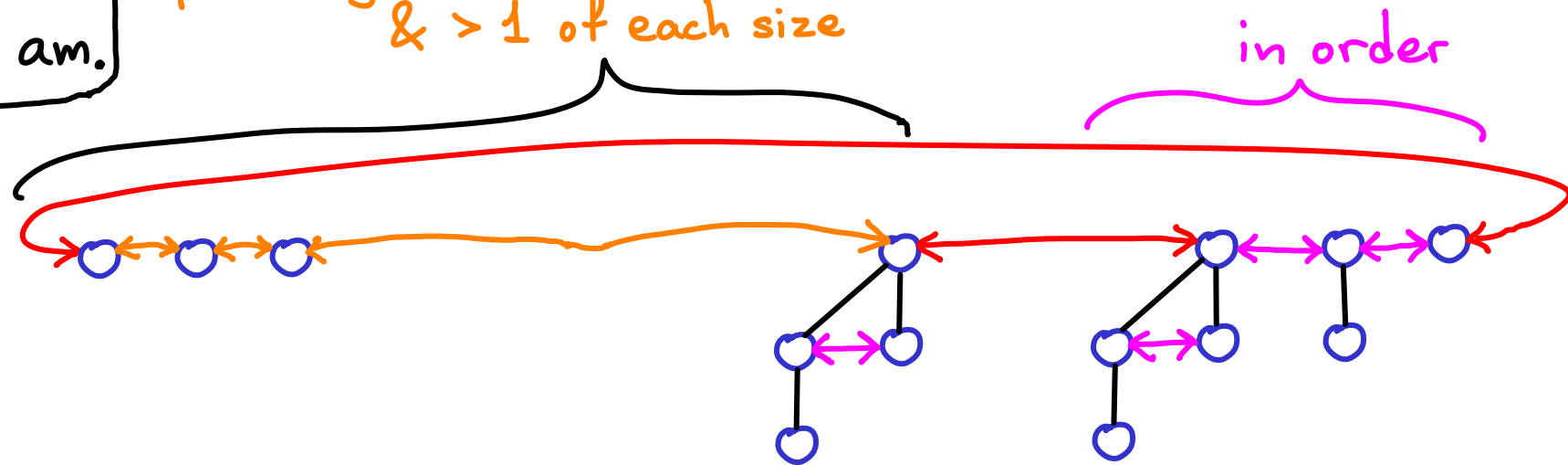
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EXTRACT MIN

- 1) Delete MIN node
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- 3) Link root lists: $O(1)$ $O(\log n)$

possibly unordered binomial trees
& > 1 of each size



FIBONACCI HEAPS

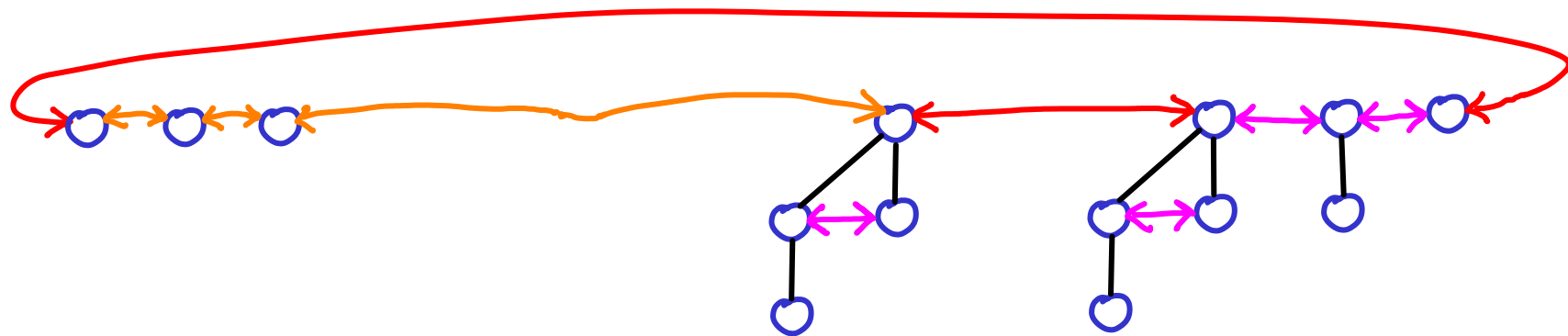
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EXTRACT MIN

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL
- 3) Link root lists: $O(1)$ $O(\log n)$
- 4) Make sure ≤ 1 binomial tree of each size



FIBONACCI HEAPS

- MIN
- INSERT
- MERGE

$$\left. \begin{array}{l} \bullet \text{MIN} \\ \bullet \text{INSERT} \\ \bullet \text{MERGE} \end{array} \right\} O(1)$$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

4) Make sure ≤ 1 binomial tree of each size.

This is like merging two binomial heaps
except we have less structure

FIBONACCI HEAPS

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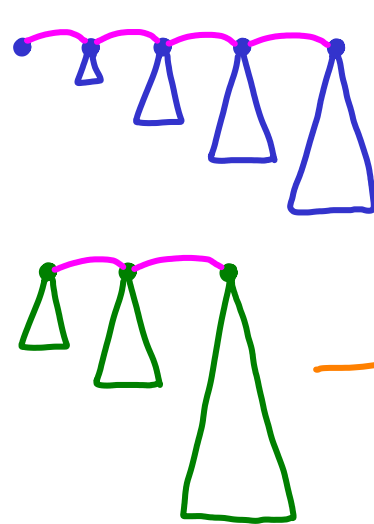
$O(1)$

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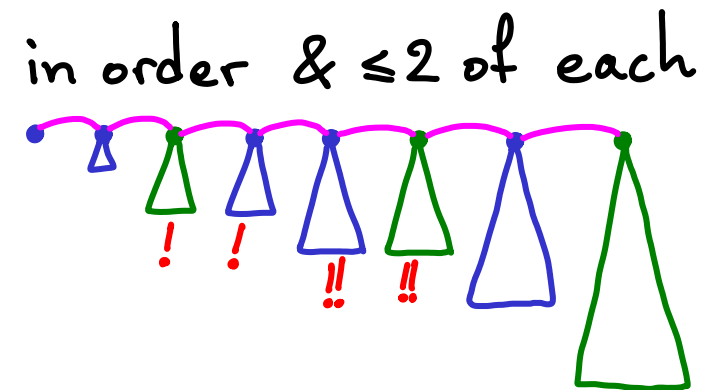
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Recall binomial heap merge:



(1) zip



FIBONACCI HEAPS

- MIN
- INSERT
- MERGE

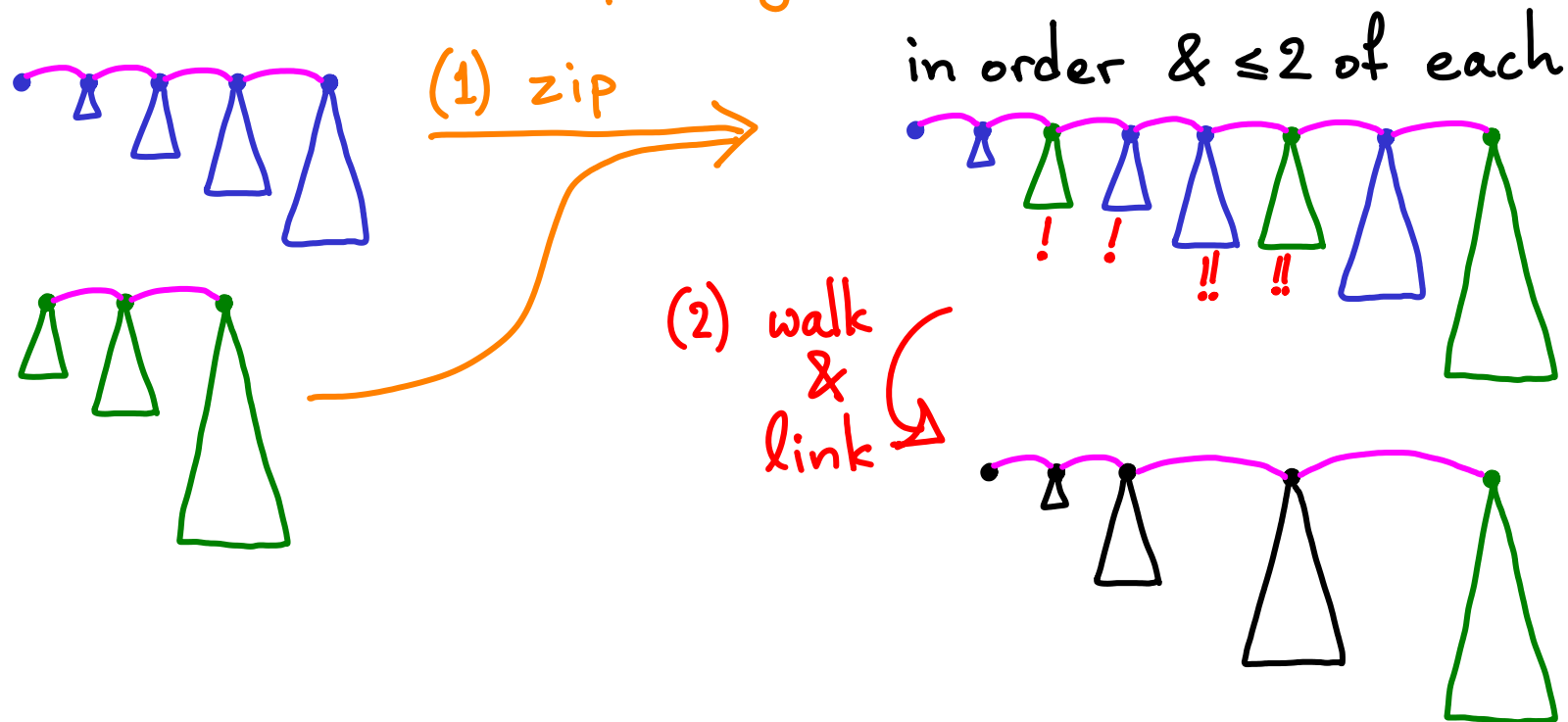
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Recall binomial heap merge:



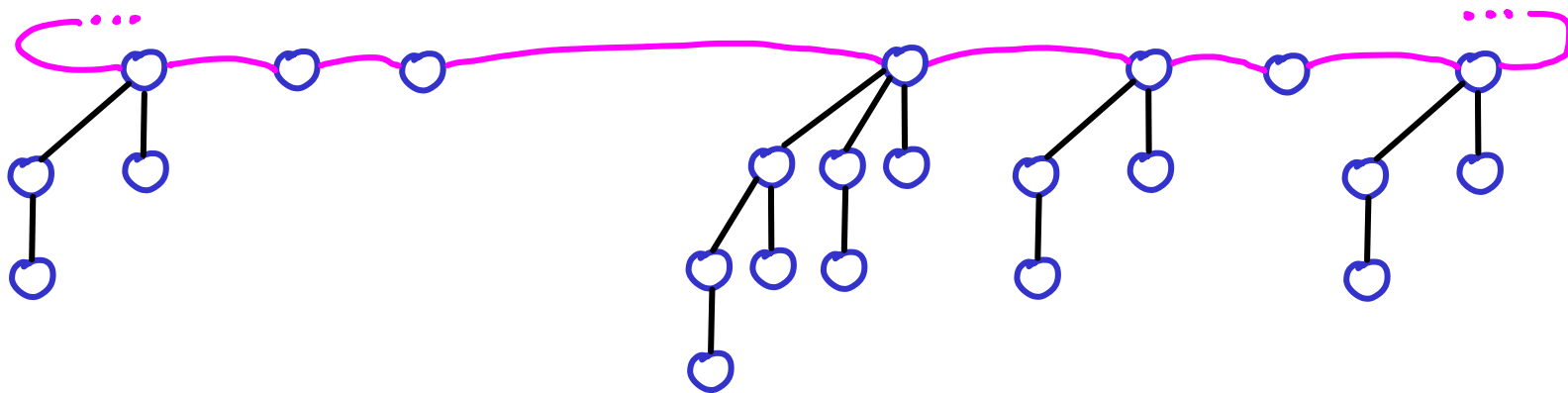
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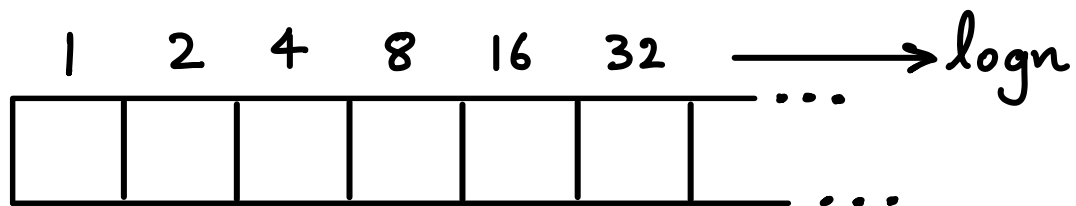
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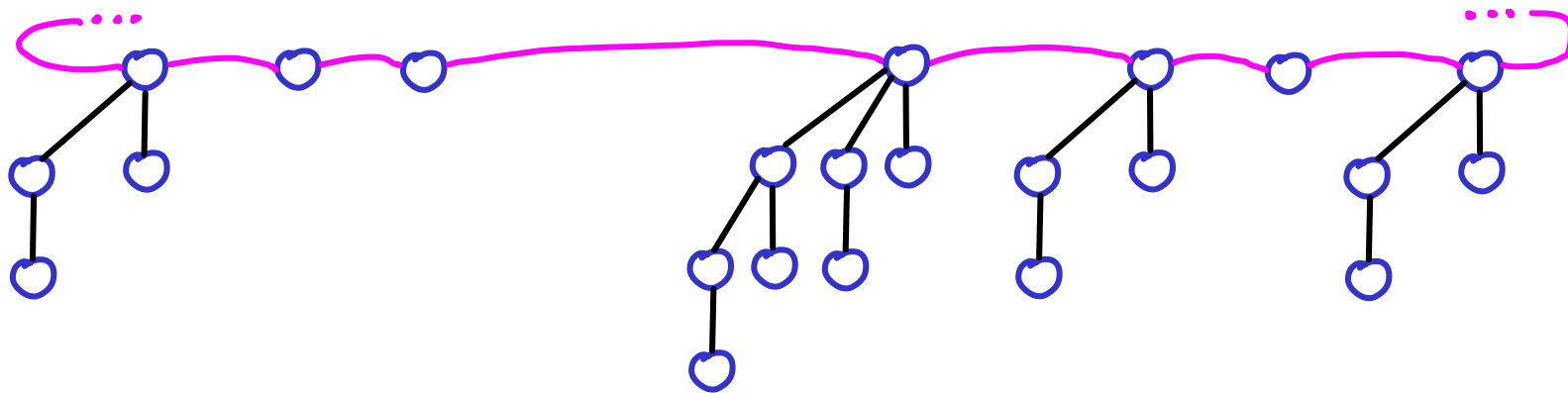
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Make array of size $\log n = \text{max degree}$



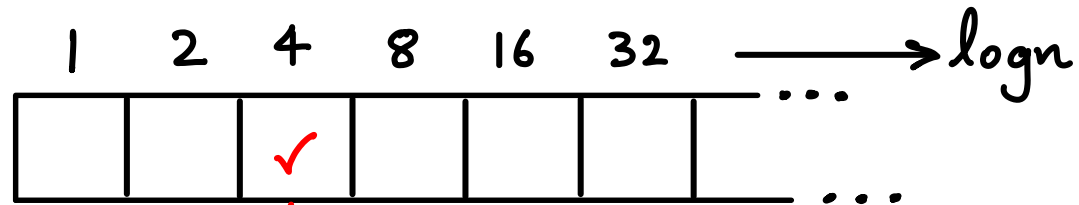
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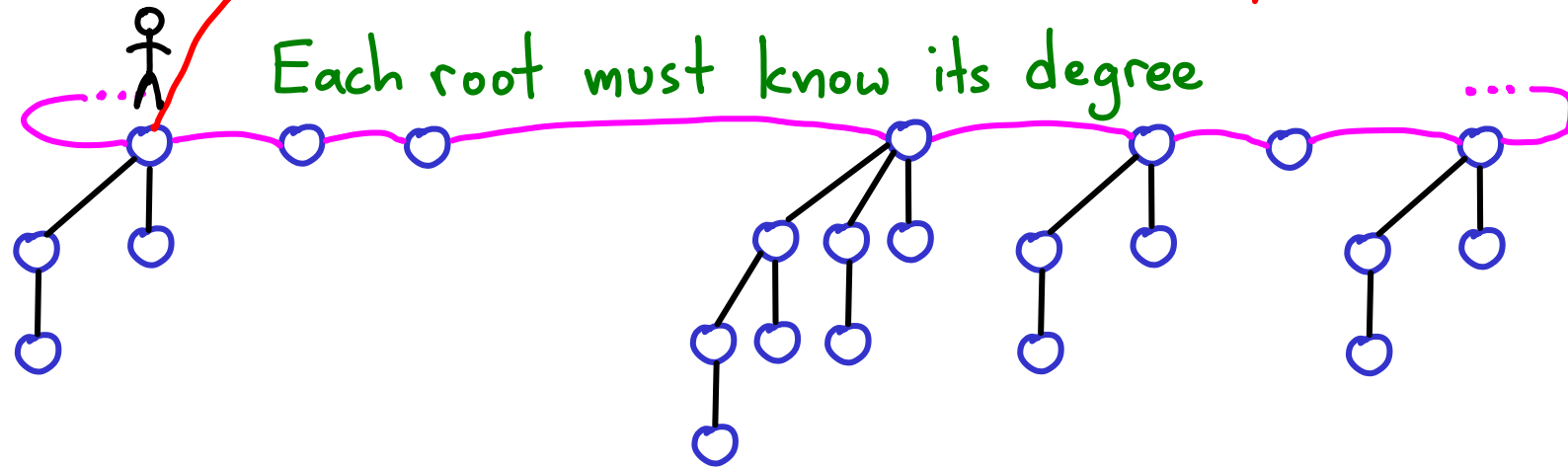
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4) Make sure ≤ 1 binomial tree of each size.



Traverse root list & link to array.
Also update MIN.



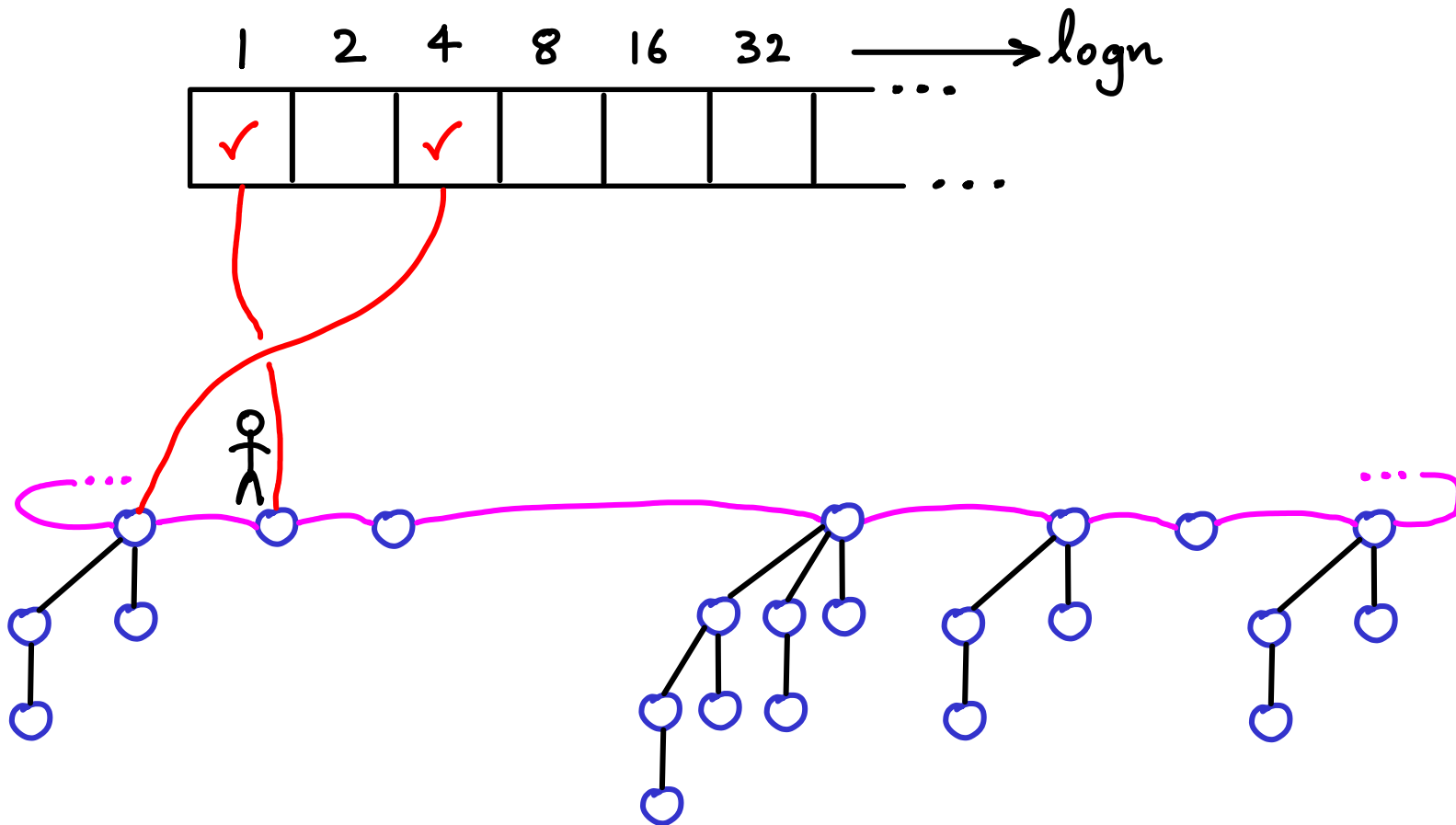
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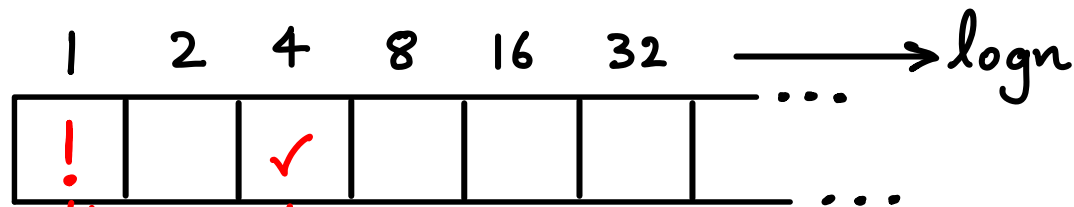
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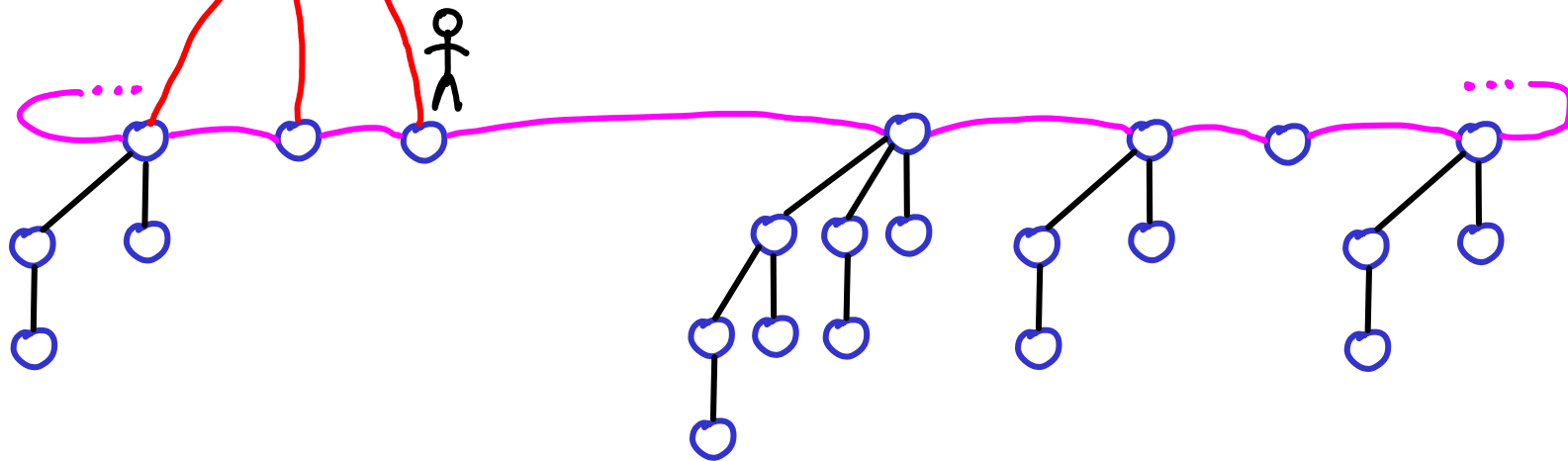
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If conflict, merge 2 binomial heaps



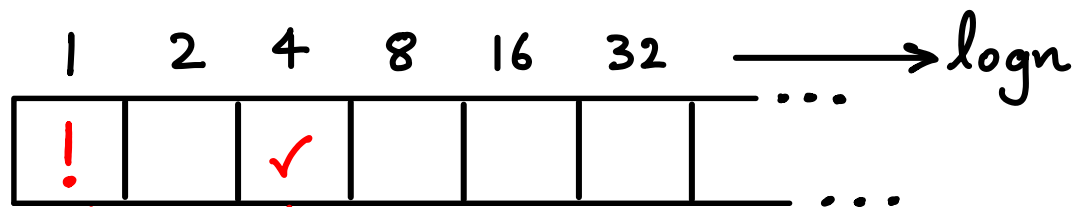
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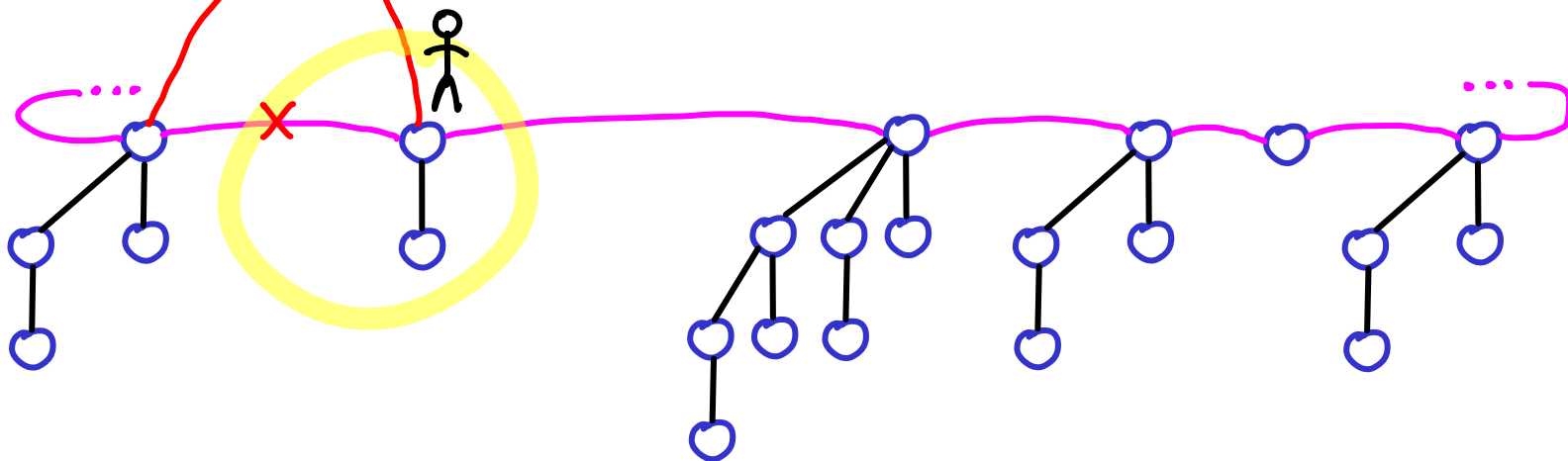
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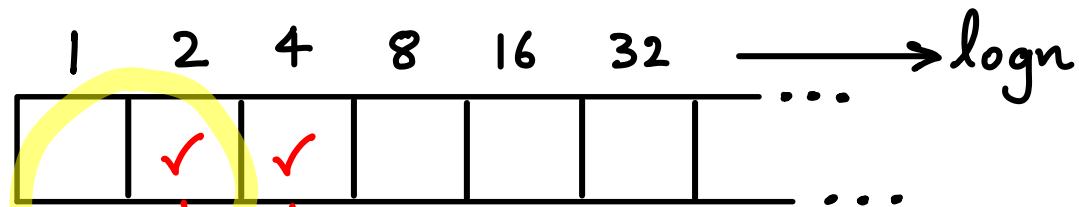
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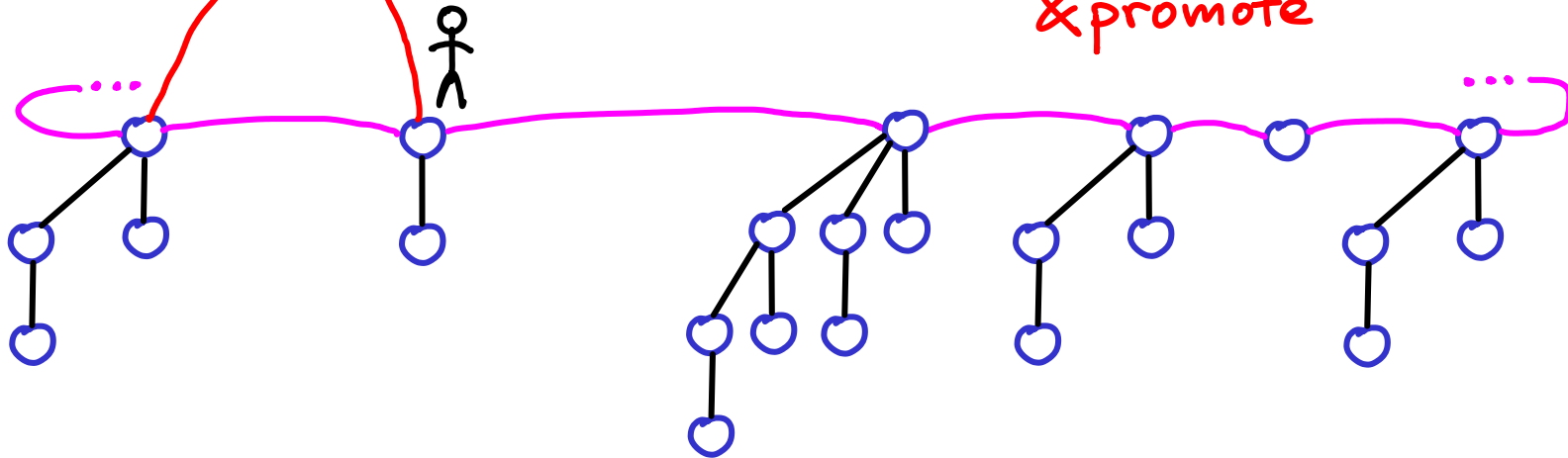
DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

4) Make sure ≤ 1 binomial tree of each size.



If conflict, merge 2 binomial heaps & promote



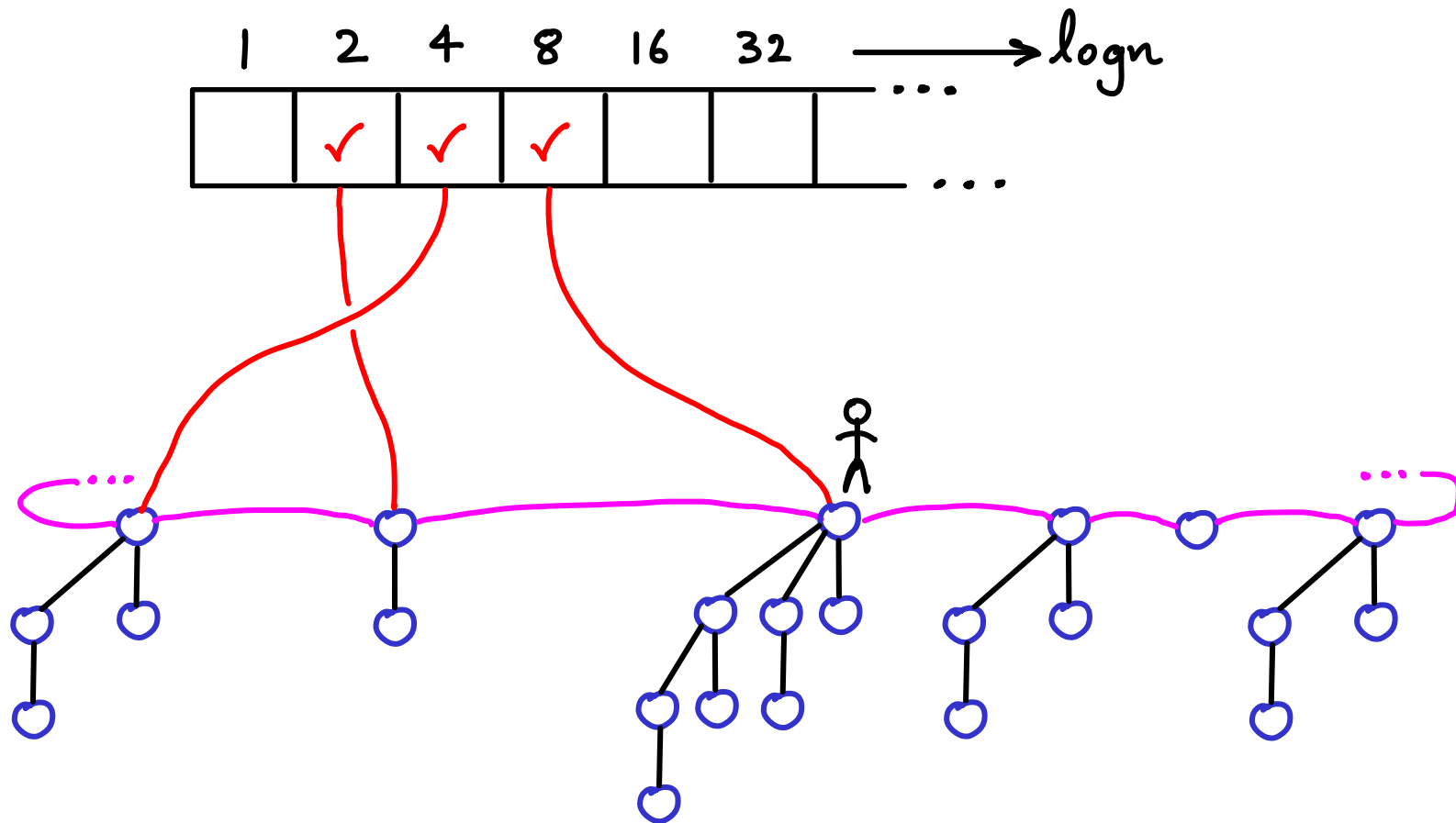
FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE ~~$O(n)$~~
 $O(1)$ am.

EXTRACT MIN ~~$O(n)$~~
 $O(\log n)$ am.

4) Make sure ≤ 1 binomial tree of each size.



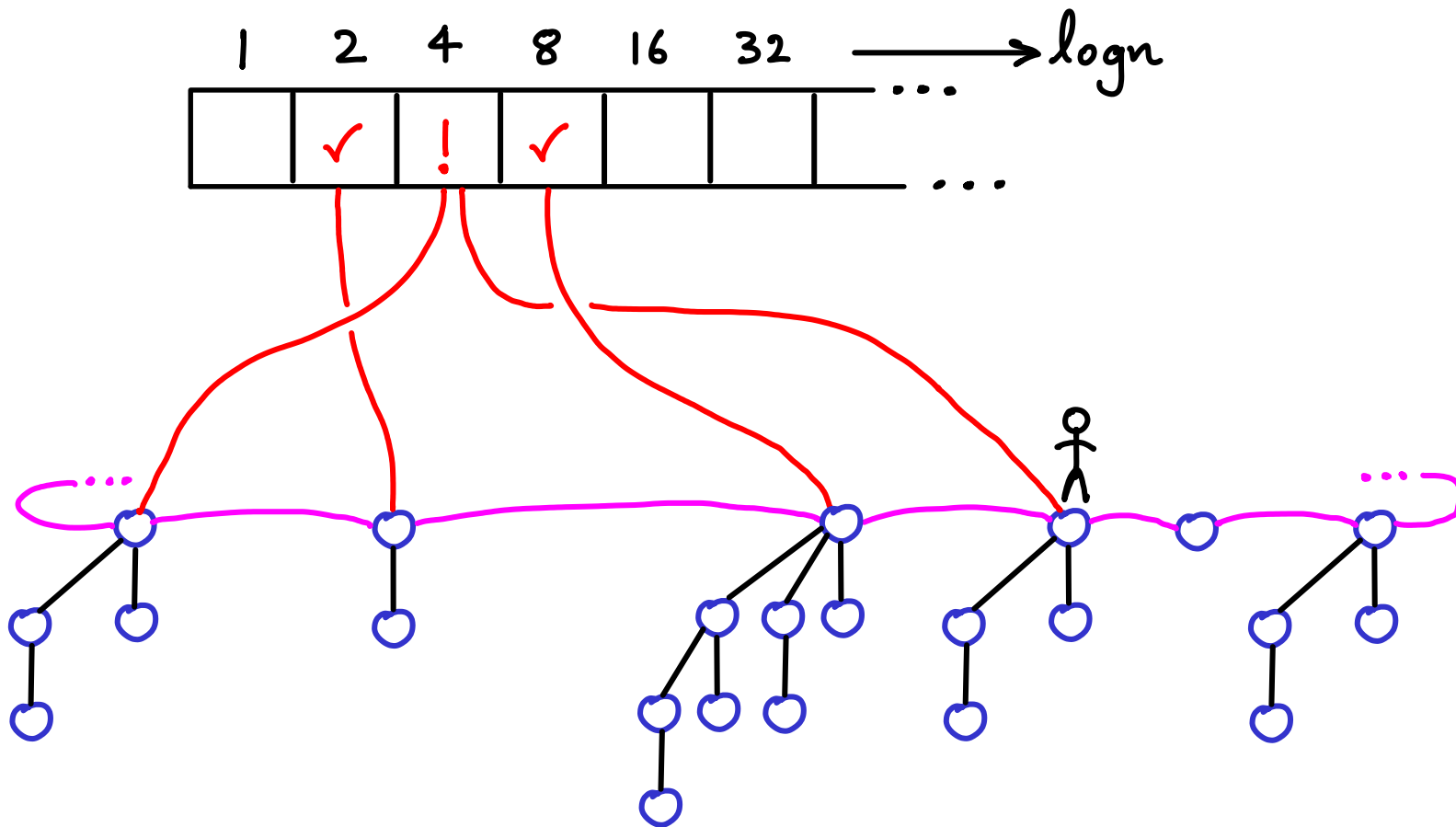
FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE ~~$O(n)$~~
 $O(1)$ am.

EXTRACT MIN ~~$O(n)$~~
 $O(\log n)$ am.

4) Make sure ≤ 1 binomial tree of each size.



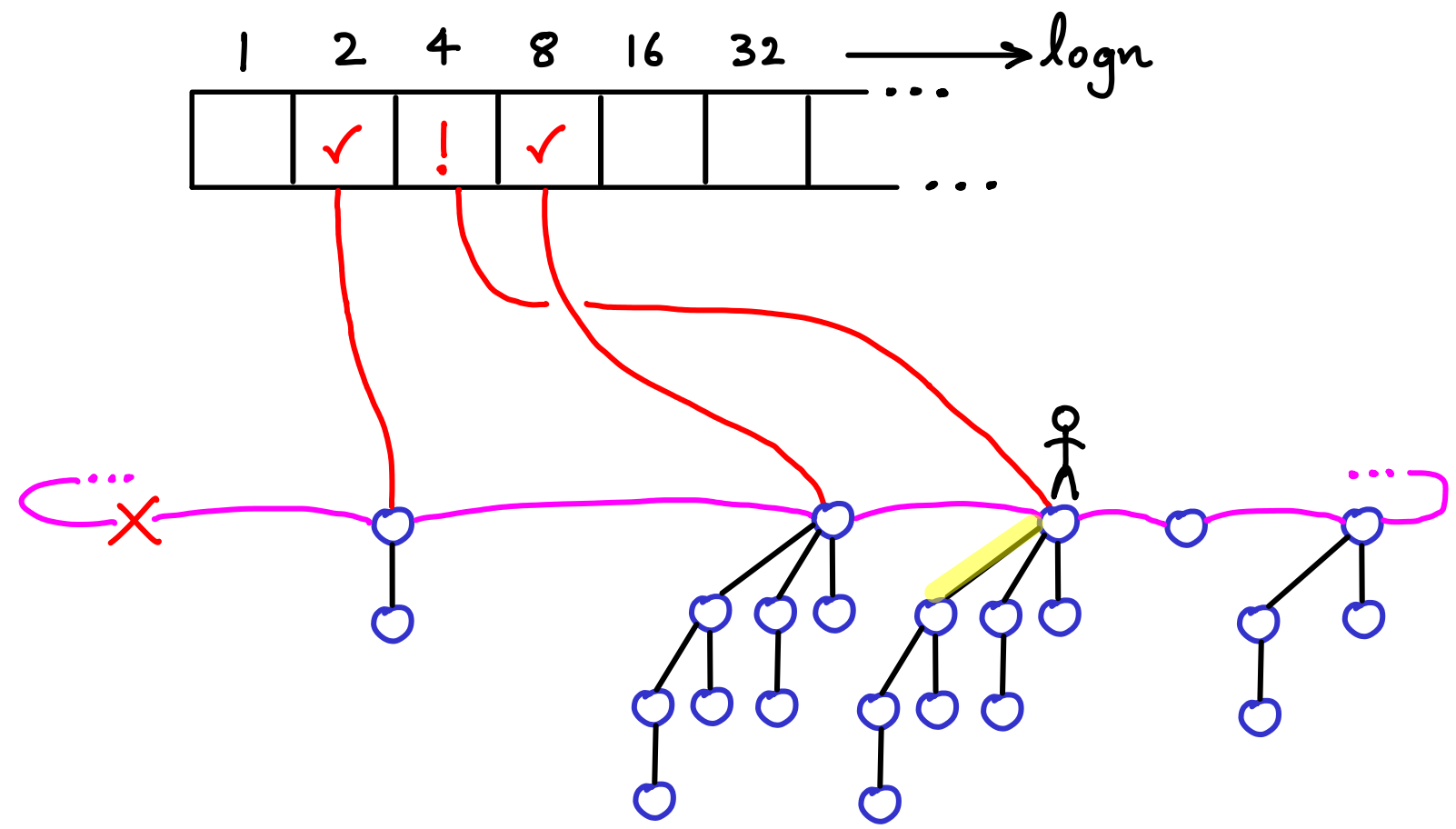
FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE ~~$O(n)$~~
 $O(1)$ am.

EXTRACT MIN ~~$O(n)$~~
 $O(\log n)$ am.

4) Make sure ≤ 1 binomial tree of each size.



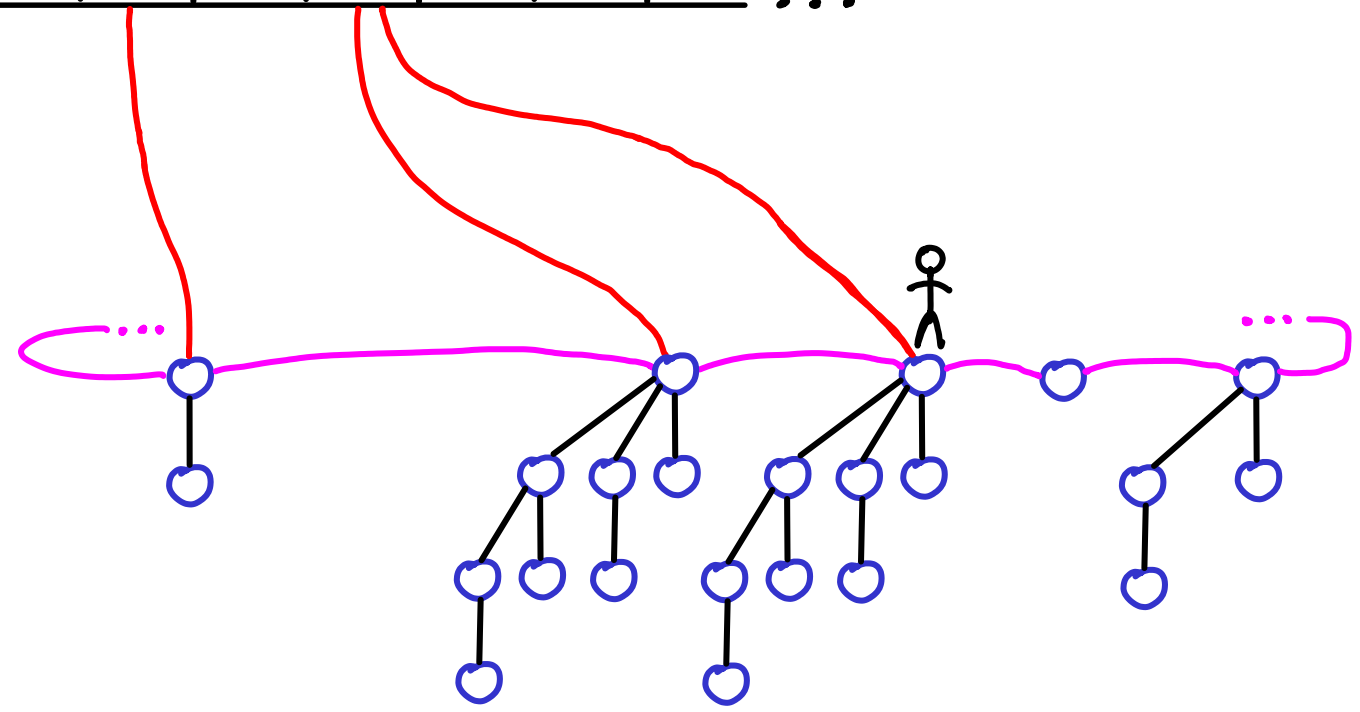
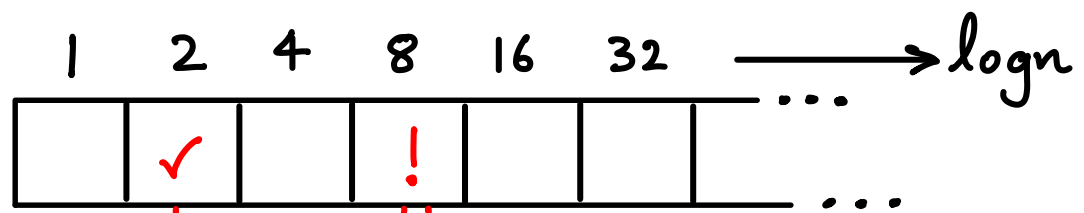
FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE ~~$O(n)$~~
 $O(1)$ am.

EXTRACT MIN ~~$O(n)$~~
 $O(\log n)$ am.

4) Make sure ≤ 1 binomial tree of each size.



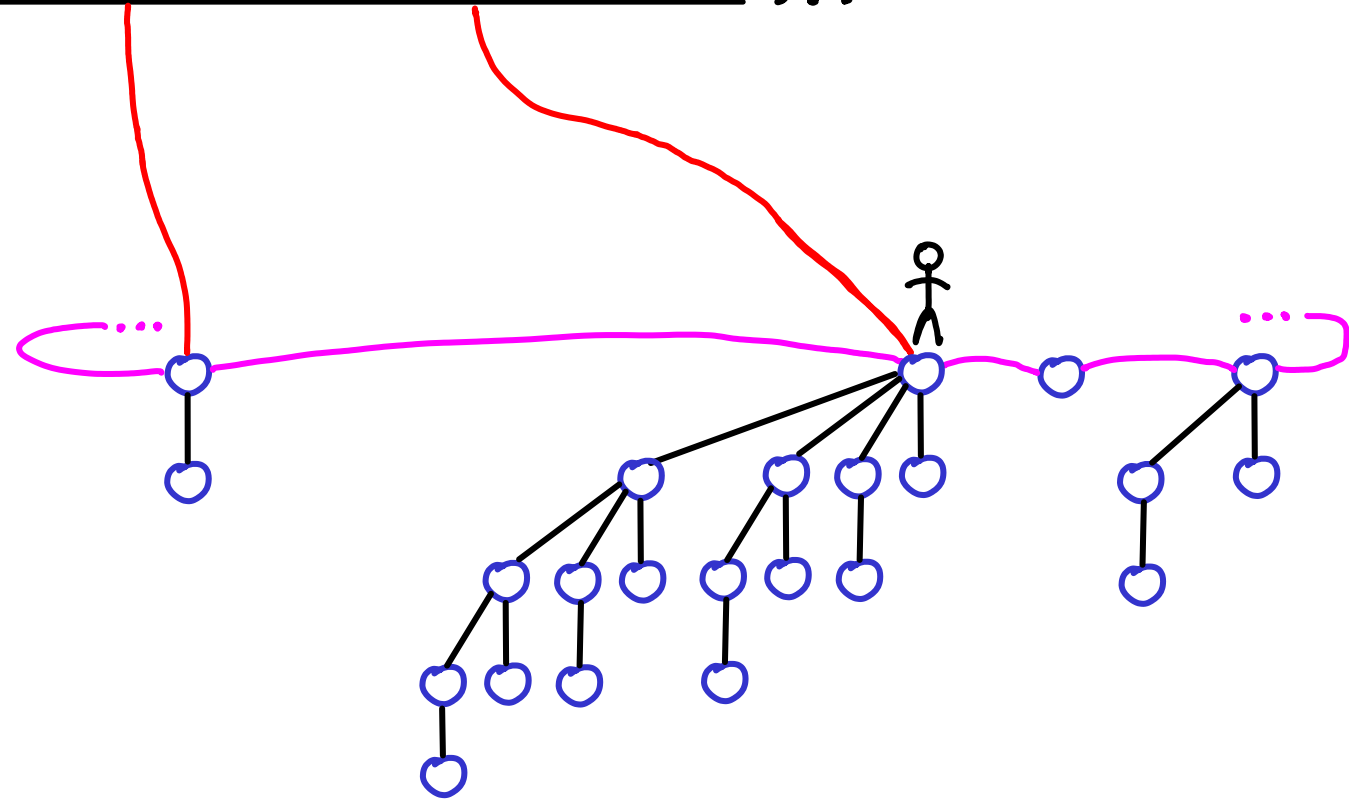
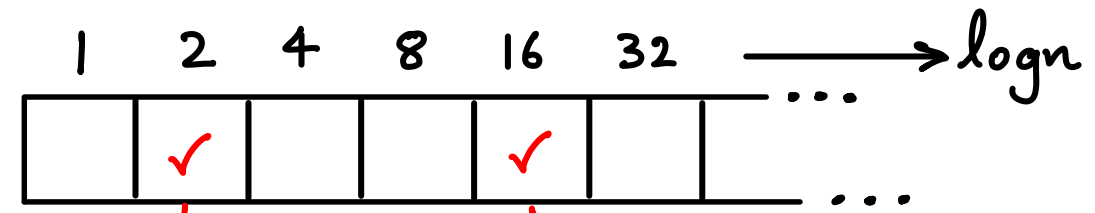
FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

4) Make sure ≤ 1 binomial tree of each size.



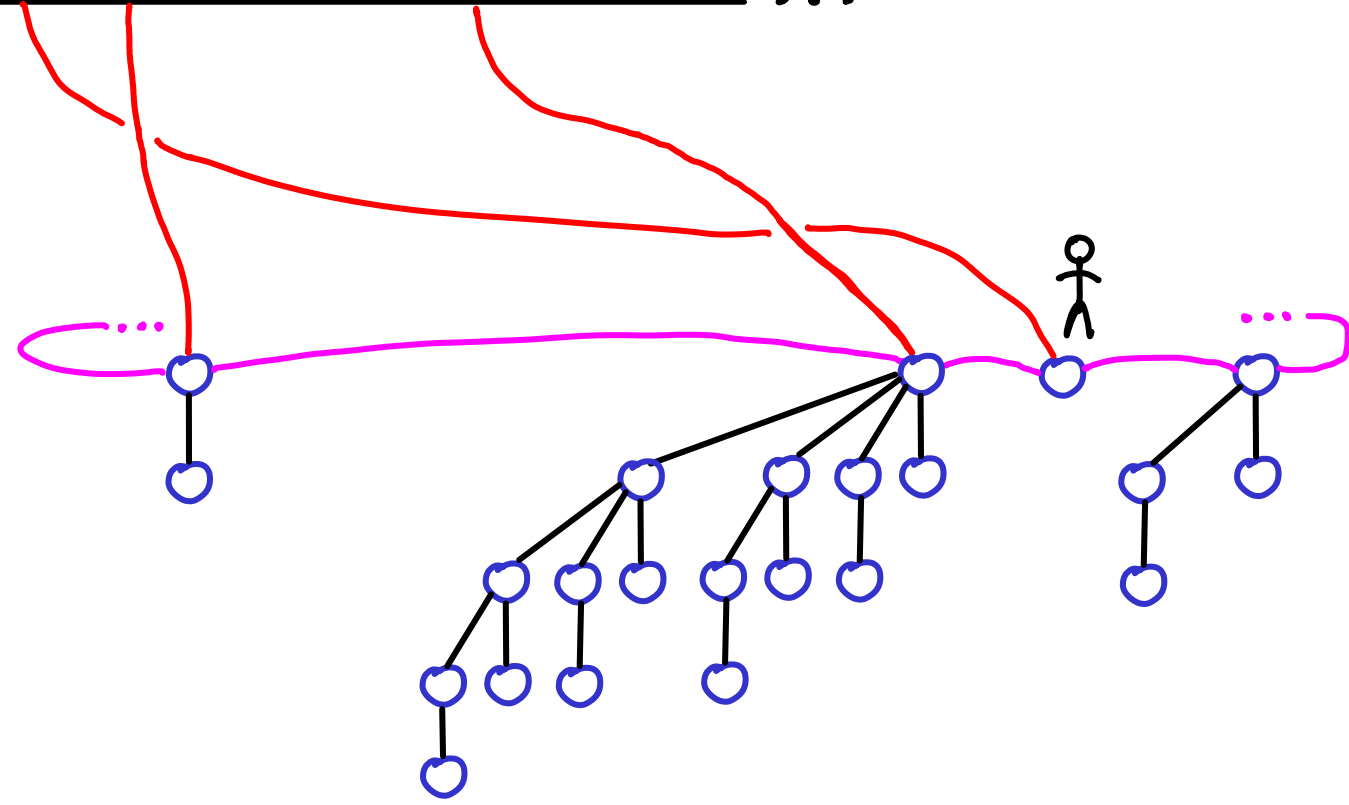
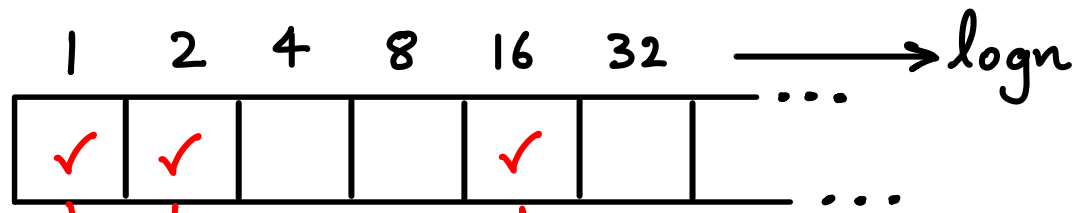
FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

4) Make sure ≤ 1 binomial tree of each size.



FIBONACCI HEAPS

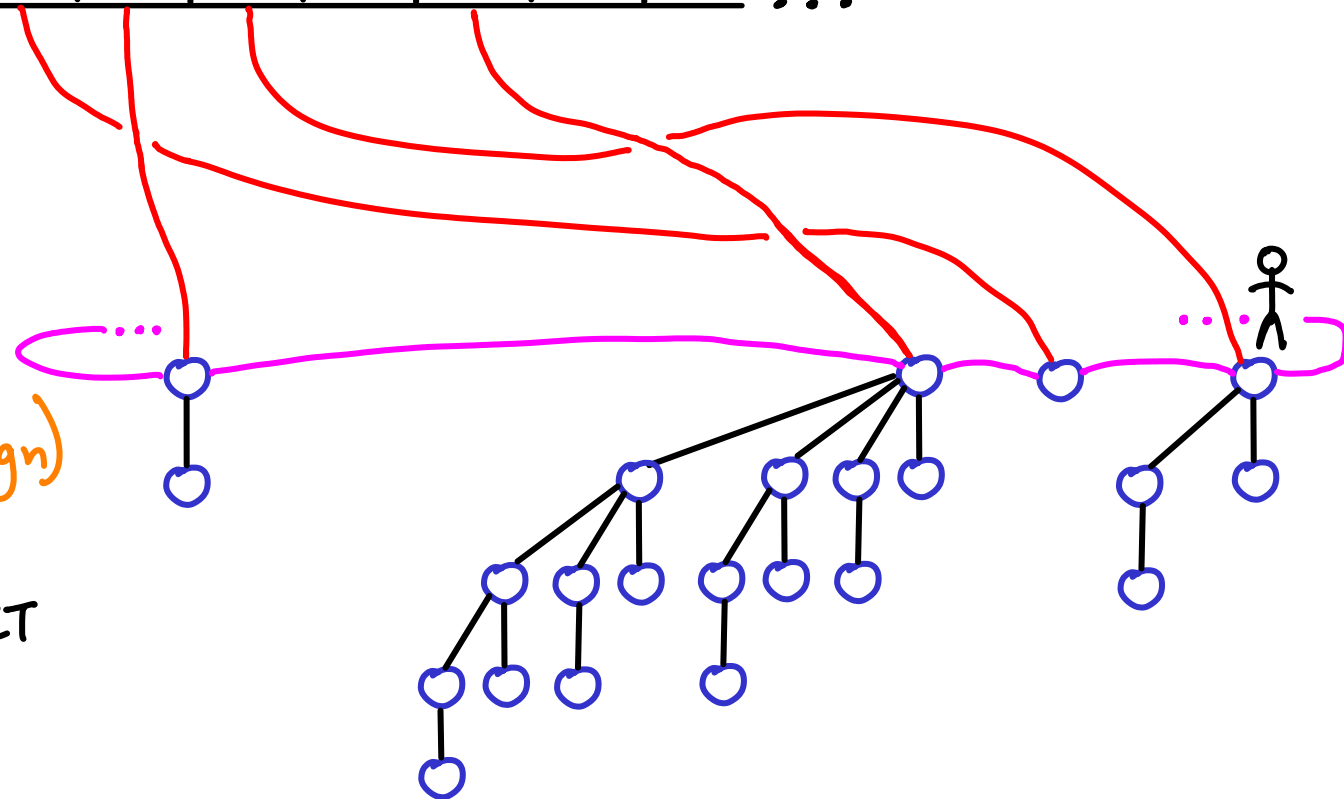
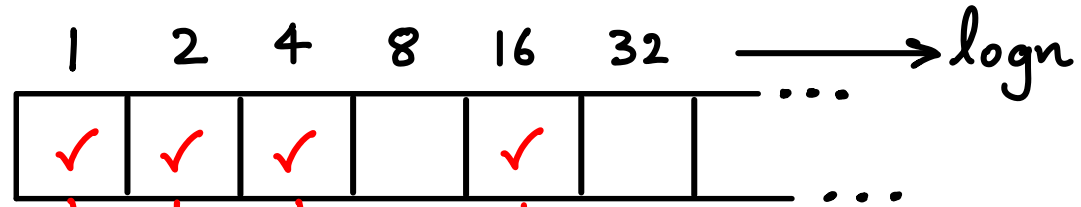
- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE ~~$O(n)$~~
 $O(1)$ am.

EXTRACT MIN ~~$O(n)$~~
 $O(\log n)$ am.

Traversal: $\Theta(\underbrace{\text{root list size}}_{\text{before EXTRACT}} + \log n)$

4) Make sure ≤ 1 binomial tree of each size



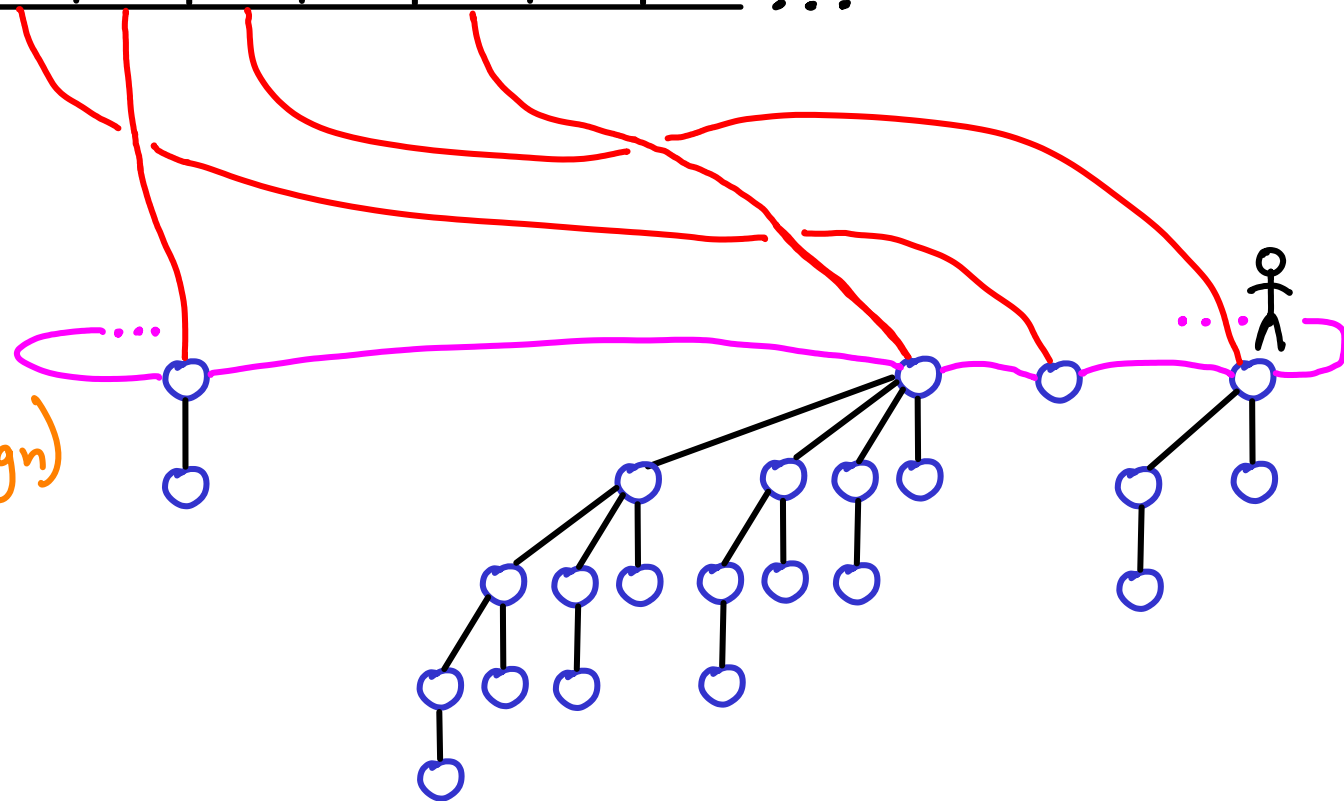
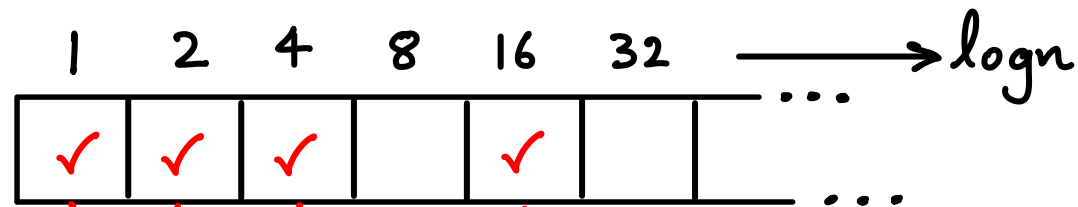
FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE ~~$O(n)$~~
 $O(1)$ am.

EXTRACT MIN ~~$O(n)$~~
 $O(\log n)$ am.

4) Make sure ≤ 1 binomial tree of each size



Traversal: $\Theta(\text{root list size} + \log n)$

Conflict

↳ link 2 trees, $O(1)$

FIBONACCI HEAPS

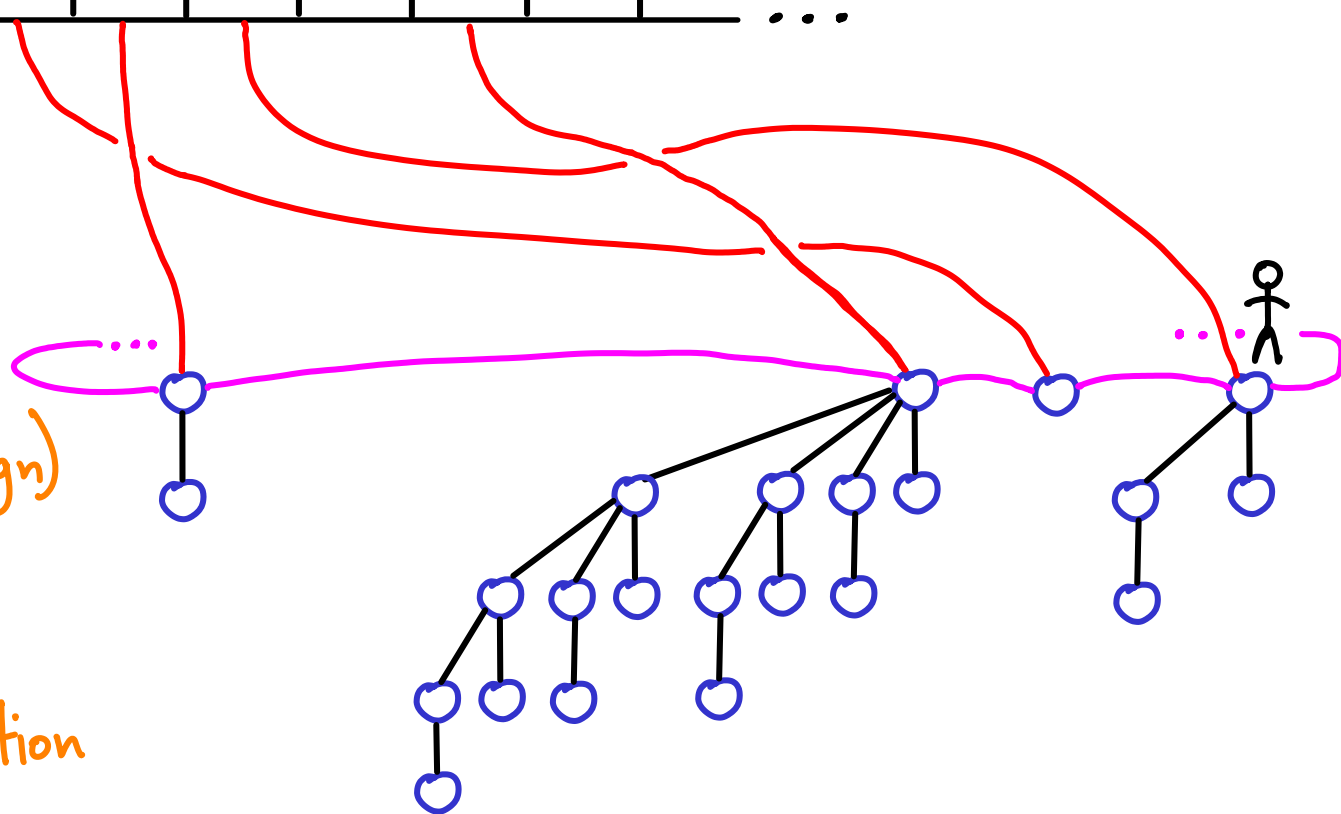
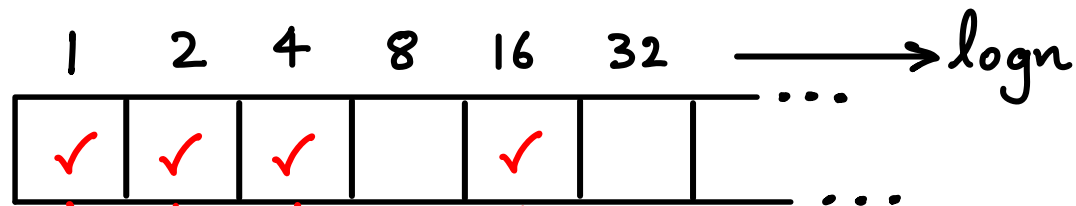
- MIN
- INSERT
- MERGE

 $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

4) Make sure ≤ 1 binomial tree of each size



Traversal: $\Theta(\text{root list size} + \log n)$

Conflict

link 2 trees, $O(1)$ per iteration
promotions: $O(\log n)$ per iteration

FIBONACCI HEAPS

- MIN
- INSERT
- MERGE

 $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

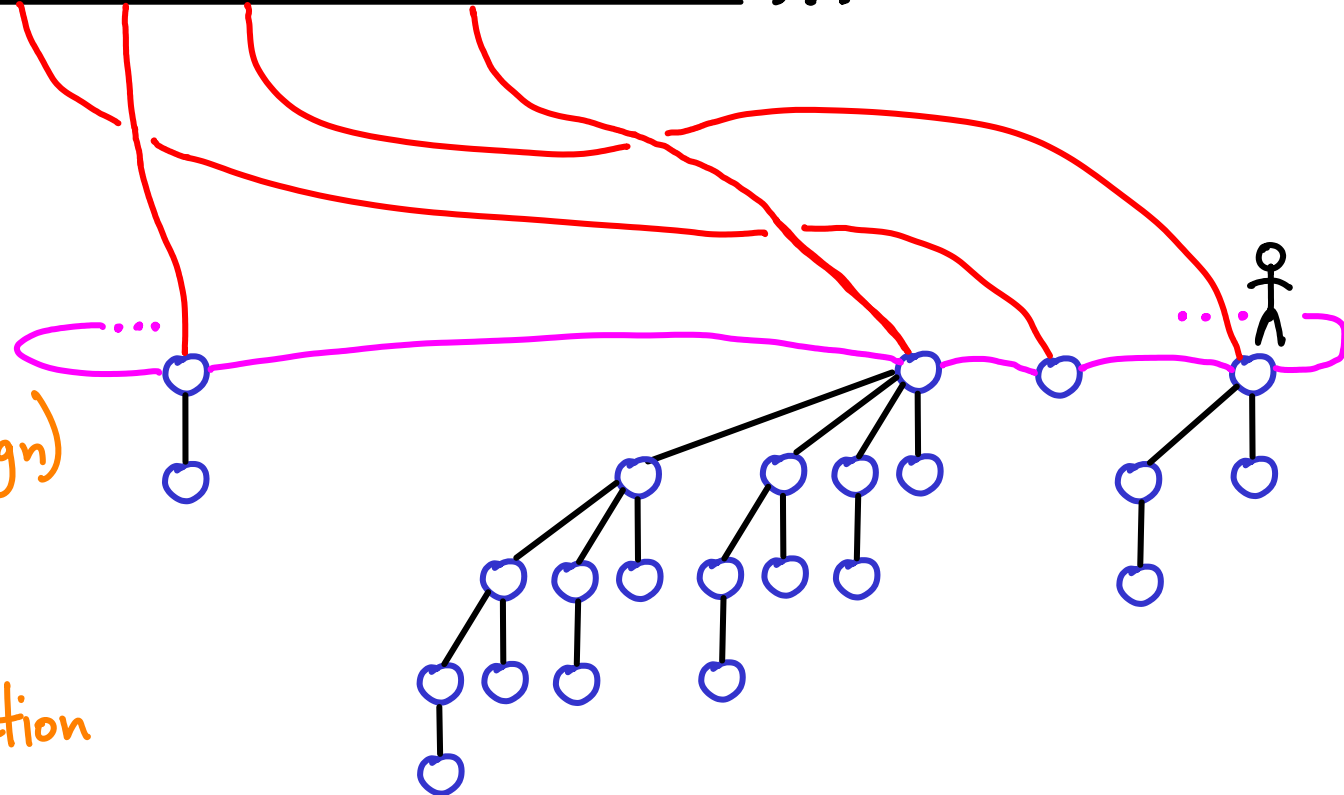
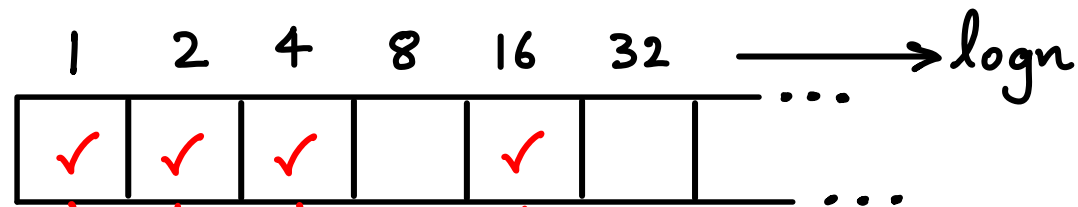
EXTRACT MIN $O(n)$
 $O(\log n)$ am.

Traversal: $\Theta(\text{root list size} + \log n)$

Conflict

link 2 trees, $O(1)$ per iteration
promotions: $O(\log n)$ per iteration

4) Make sure ≤ 1 binomial tree of each size



* but each promotion removes one element

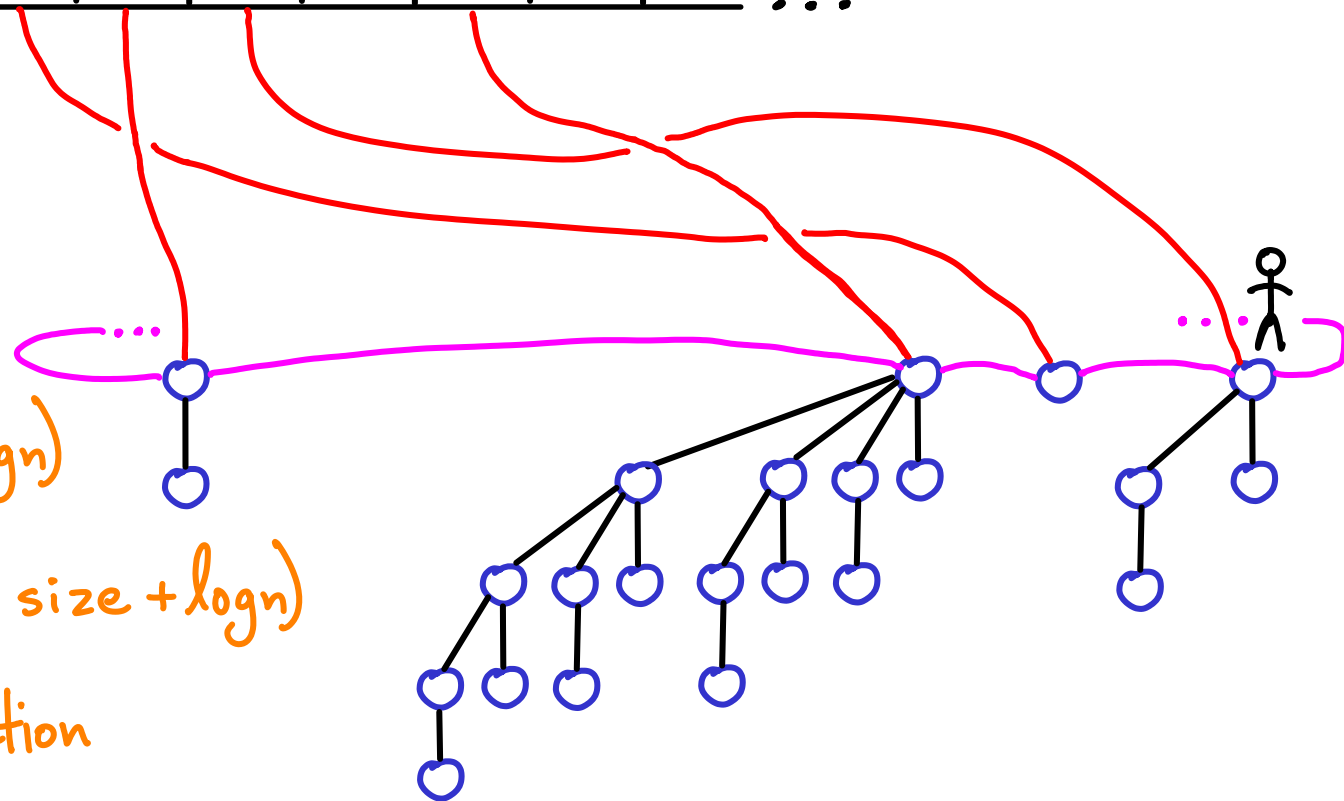
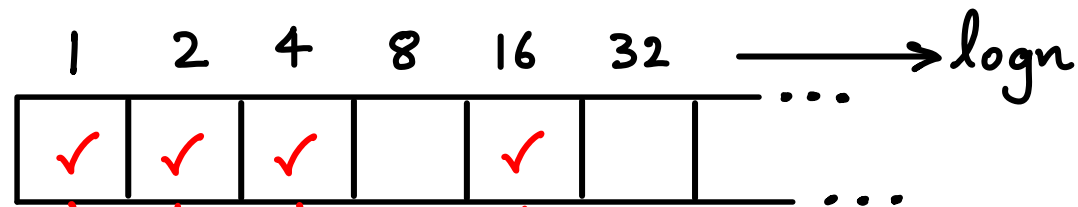
FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

4) Make sure ≤ 1 binomial tree of each size



Traversal: $\Theta(\text{root list size} + \log n)$

Conflict * overall: $\Theta(\text{root list size} + \log n)$

link 2 trees, $O(1)$ per iteration

promotions: $O(\log n)$ per iteration

* but each promotion removes one element

FIBONACCI HEAPS

• MIN
• INSERT
• MERGE } $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

EXTRACT MIN :

1) Delete MIN node

2) Set children's parent pointers to NULL $O(\log n)$

3) Link root lists: $O(1)$

4) Make sure ≤ 1 binomial tree of each size
(& update MIN) $\Theta(\log n + \text{root list size})$

FIBONACCI HEAPS

• MIN
• INSERT
• MERGE

$O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

EXTRACT MIN: $O(\log n + \text{root list size})$

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL $O(\log n)$
- 3) Link root lists: $O(1)$
- 4) Make sure ≤ 1 binomial tree of each size
(& update MIN) $\Theta(\log n + \text{root list size})$

FIBONACCI HEAPS

• MIN
• INSERT
• MERGE

$O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

EXTRACT MIN: $O(\log n + \text{root list size})$

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL $O(\log n)$
- 3) Link root lists: $O(1)$
- 4) Make sure ≤ 1 binomial tree of each size
(& update MIN) $\Theta(\log n + \text{root list size})$

root list size $\rightarrow$ starts = 0, gets reset to $O(\log n)$ after EXTRACT MIN

FIBONACCI HEAPS

• MIN
• INSERT
• MERGE } $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

EXTRACT MIN: $O(\log n + \text{root list size})$

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL $O(\log n)$
- 3) Link root lists: $O(1)$
- 4) Make sure ≤ 1 binomial tree of each size
(& update MIN) $\Theta(\log n + \text{root list size})$

root list size $\rightarrow$ starts = 0, gets reset to $O(\log n)$ after EXTRACT MIN
 $\rightarrow +1$ w/ INSERT

FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

EXTRACT MIN: $O(\log n + \text{root list size})$

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL $O(\log n)$
- 3) Link root lists: $O(1)$
- 4) Make sure ≤ 1 binomial tree of each size
(& update MIN) $\Theta(\log n + \text{root list size})$

root list size $\rightarrow$ starts = 0, gets reset to $O(\log n)$ after EXTRACT MIN
 $\rightarrow +1$ w/ INSERT: pay extra for step 4 of EXTRACT MIN

FIBONACCI HEAPS

• MIN
• INSERT
• MERGE

$O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

EXTRACT MIN: $O(\log n + \text{root list size})$

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL $O(\log n)$
- 3) Link root lists: $O(1)$
- 4) Make sure ≤ 1 binomial tree of each size (& update MIN) $\Theta(\log n + \text{root list size})$

root list size → starts = 0, gets reset to $O(\log n)$ after EXTRACT MIN

→ +1 w/ INSERT: pay extra for step 4 of EXTRACT MIN

→ +0 w/ MERGE: sum of root list sizes doesn't change

FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

EXTRACT MIN: $O(\log n + \text{root list size})$

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL $O(\log n)$
- 3) Link root lists: $O(1)$
- 4) Make sure ≤ 1 binomial tree of each size (& update MIN) $\Theta(\log n + \text{root list size})$

root list size → starts = 0, gets reset to $O(\log n)$ after EXTRACT MIN

→ +1 w/ INSERT: pay extra for step 4 of EXTRACT MIN

→ +0 w/ MERGE: sum of root list sizes doesn't change

EXTRACT MIN $O(\log n)$ amortized

FIBONACCI HEAPS

• MIN
• INSERT
• MERGE

$O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

EXTRACT MIN: $O(\log n + \text{root list size})$

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL $O(\log n)$
- 3) Link root lists: $O(1)$
- 4) Make sure ≤ 1 binomial tree of each size
(& update MIN) $\Theta(\log n + \text{root list size})$

$$\Phi_i = \text{root list size} = r_i$$

$$\Phi_0 = 0 \quad \Phi_i \geq 0$$

FIBONACCI HEAPS

• MIN
• INSERT
• MERGE

$O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

EXTRACT MIN: $O(\log n + \text{root list size})$

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL $O(\log n)$
- 3) Link root lists: $O(1)$
- 4) Make sure ≤ 1 binomial tree of each size
(& update MIN) $\Theta(\log n + \text{root list size})$

$$\Phi_i = \text{root list size} = r_i$$

$$\Phi_0 = 0 \quad \Phi_i \geq 0$$

MIN $O(1)$

INSERT $O(1)$

MERGE $O(1)$

EXTRACT $O(r_i + \log n)$

FIBONACCI HEAPS

• MIN
• INSERT
• MERGE

$O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

EXTRACT MIN: $O(\log n + \text{root list size})$

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL $O(\log n)$
- 3) Link root lists: $O(1)$
- 4) Make sure ≤ 1 binomial tree of each size
(& update MIN) $\Theta(\log n + \text{root list size})$

$$\Phi_i = \text{root list size} = r_i$$

$$\Phi_0 = 0 \quad \Phi_i \geq 0$$

	c	$\Delta\Phi$
MIN	$O(1)$	0
INSERT	$O(1)$	+1
MERGE	$O(1)$	0
EXTRACT	$O(r_i + \log n)$	

FIBONACCI HEAPS

• MIN
• INSERT
• MERGE

$O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

EXTRACT MIN: $O(\log n + \text{root list size})$

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL $O(\log n)$
- 3) Link root lists: $O(1)$
- 4) Make sure ≤ 1 binomial tree of each size
(& update MIN) $\Theta(\log n + \text{root list size})$

$$\Phi_i = \text{root list size} = r_i$$

$$\Phi_0 = 0 \quad \Phi_i \geq 0$$

	c	$\Delta\Phi$
MIN	$O(1)$	0
INSERT	$O(1)$	+1
MERGE	$O(1)$	0
EXTRACT	$O(r_i + \log n)$	$\leq -r_i + \log n$

FIBONACCI HEAPS

- MIN
- INSERT
- MERGE

$O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

EXTRACT MIN: $O(\log n + \text{root list size})$

- 1) Delete MIN node
- 2) Set children's parent pointers to NULL $O(\log n)$
- 3) Link root lists: $O(1)$
- 4) Make sure ≤ 1 binomial tree of each size (& update MIN) $\Theta(\log n + \text{root list size})$

$$\Phi_i = \text{root list size} = r_i$$

$$\Phi_0 = 0 \quad \Phi_i \geq 0$$

	c	$\Delta\Phi$	$\hat{c}$
MIN	$O(1)$	0	$O(1)$
INSERT	$O(1)$	+1	$O(1)$
MERGE	$O(1)$	0	$O(1)$
EXTRACT	$O(r_i + \log n)$	$\leq -r_i + \log n$	$O(\log n)$

HEAPS:	REGULAR	BINOMIAL	halfway there
--------	---------	----------	---------------

REPORT MIN:	$O(1)$	←	✓ ←
EXTRACT MIN:	$O(\log n)$	←	✓ $O(\log n)$ ^{$O(n)$} amortized
INSERT:	$O(\log n)$ $O(1)$ amortized	←	✓ $O(1)$
DECREASE KEY:	$O(\log n)$	←	● $O(1)$ ^{$O(n)$} amortized
MERGE/UNION:	$O(n)$	$O(\log n)$	✓ $O(1)$

FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT $O(n)$
MIN $O(\log n)$ am.



We need this for Dijkstra



FIBONACCI HEAPS

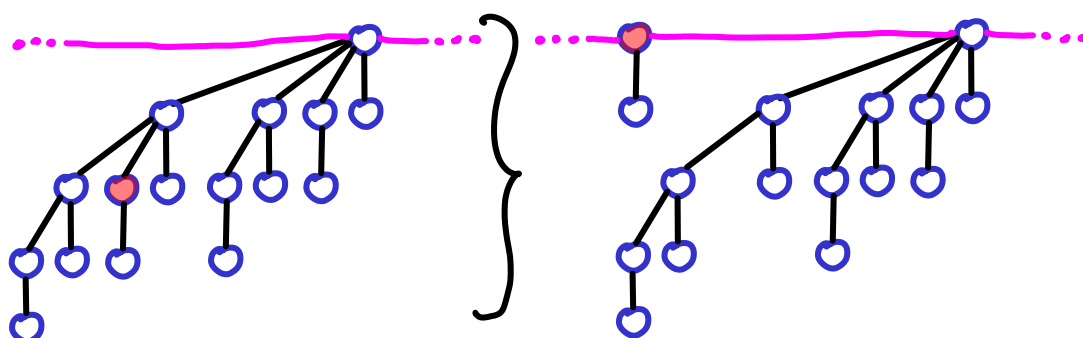
- MIN
- INSERT
- MERGE

$O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

1) Move key's subtree to root list



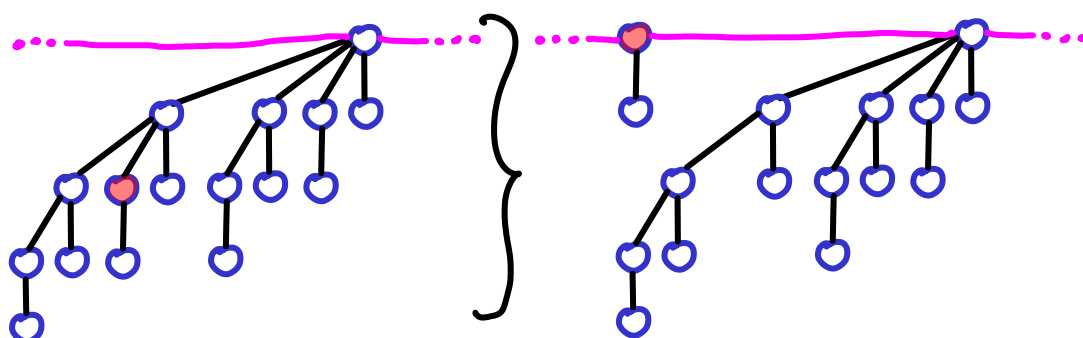
FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

- 1) Move key's subtree to root list
- 2) Hope nothing else happens



FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

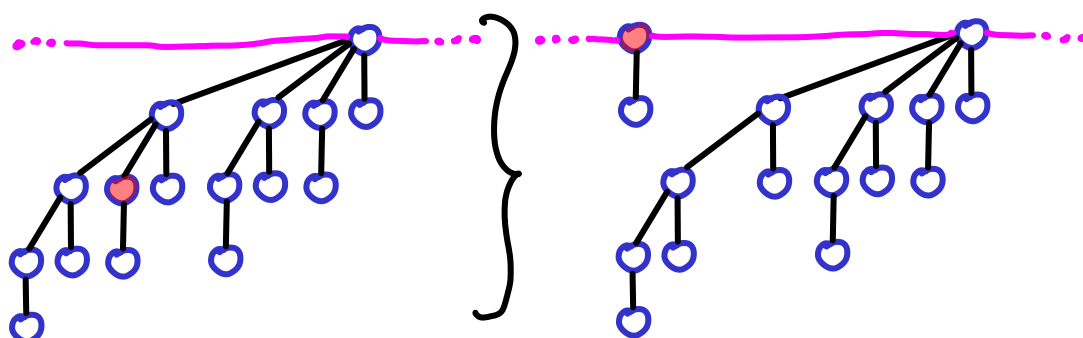
- 1) Move key's subtree to root list
- 2) Hope nothing else happens

Binomial tree structure degraded.

↳ was important for EXTRACT MIN

$\Theta(\log n + \text{root list size})$
 $\Theta(\deg(\text{MIN}) + \text{root list size})$

...



FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

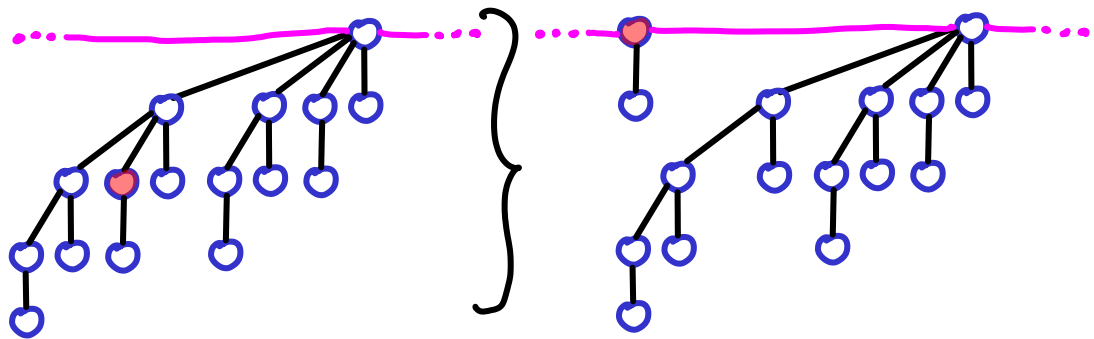
- 1) Move key's subtree to root list
- 2) Hope nothing else happens

Binomial tree structure degraded.

↳ was important for EXTRACT MIN

$\Theta(\log n + \text{root list size})$
 $\Theta(\deg(\text{MIN}) + \text{root list size})$

lost property:
 $O(\log n)$ degree values



FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

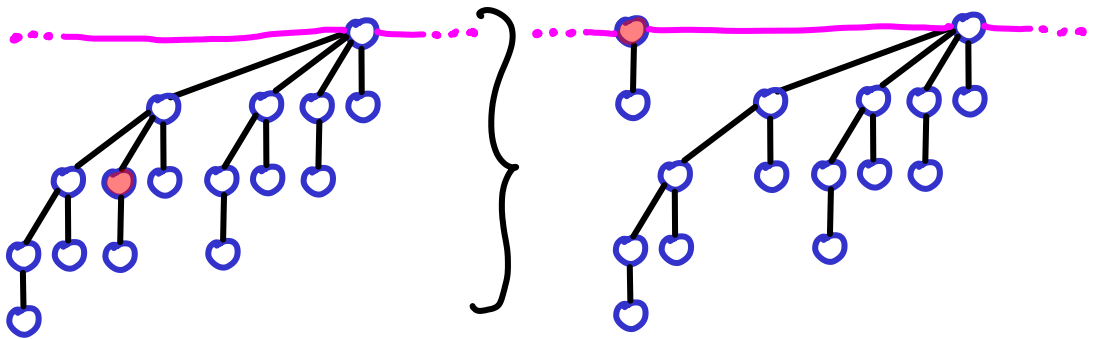
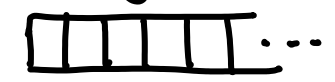
- 1) Move key's subtree to root list
- 2) Hope nothing else happens

Binomial tree structure degraded.

↳ was important for EXTRACT MIN

$\Theta(\log n + \text{root list size})$
 $\Theta(\deg(\text{MIN}) + \text{root list size})$

lost property:
 $O(\log n)$ degree values



If no (more) EXTRACT MIN, we're ok.

but then we would never make binomial trees... just use linked list

FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

1) Move key's subtree to root list

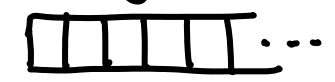
2) ~~Hope nothing else happens~~

Binomial tree structure degraded.

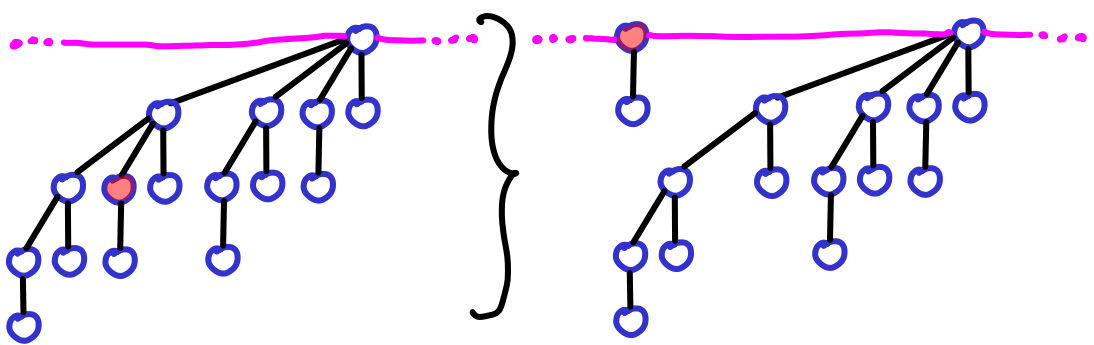
↳ was important for EXTRACT MIN

$\Theta(\log n + \text{root list size})$
 $\Theta(\deg(\text{MIN}) + \text{root list size})$

lost property:
 $O(\log n)$ degree values



Need to make sure $\deg(\text{MIN}) = O(\log n)$



FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

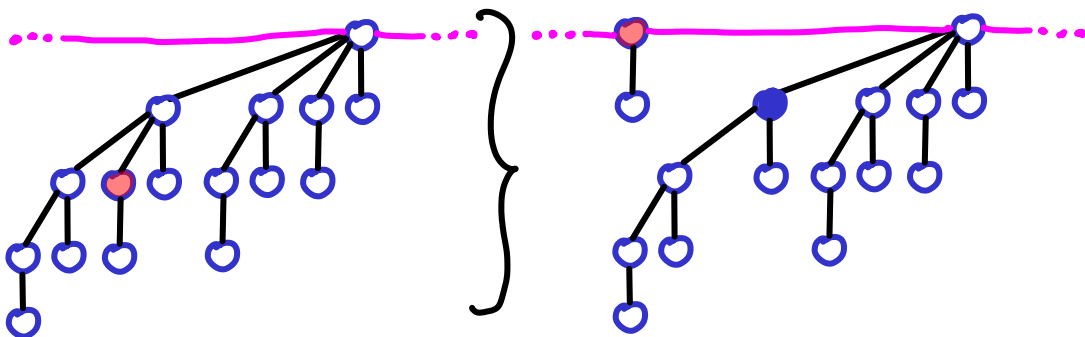
DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

1) Move key's subtree to root list

2) When a node moves to root during DECREASE op.

↳ if parent is unmarked, mark it •



FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

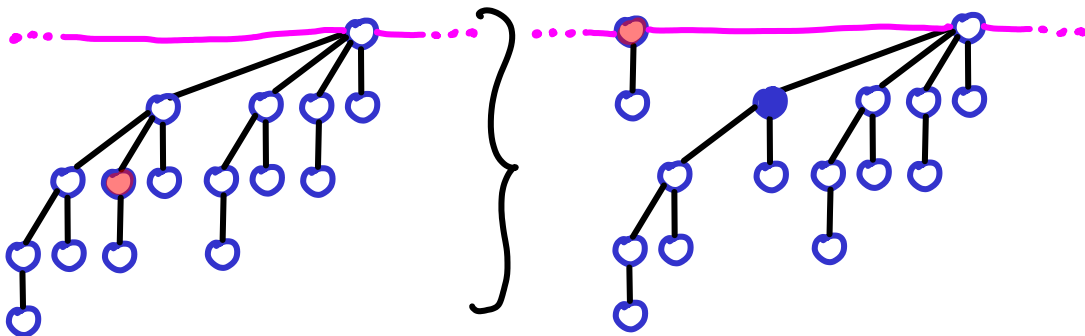
DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

1) Move key's subtree to root list

2) When a node moves to root during DECREASE op.

↳ if parent is unmarked, mark it •
else move parent's subtree to root (recurse)



FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

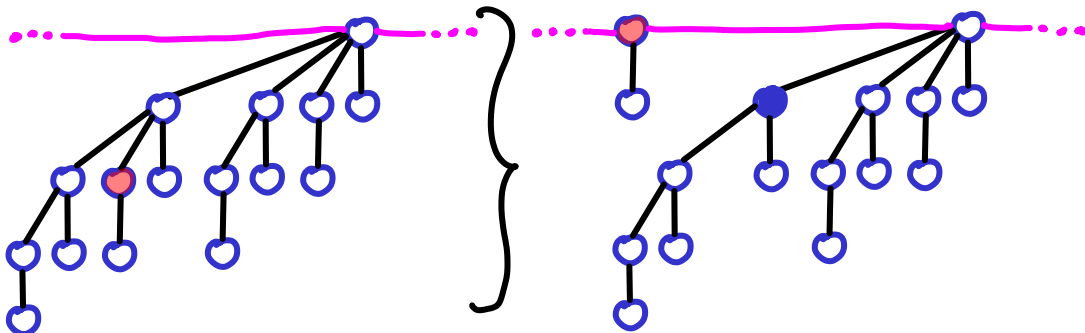
DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

1) Move key's subtree to root list

2) When a node moves to root during DECREASE op.

- if parent is unmarked, mark it •
- else move parent's subtree to root (recurse)
- unmark node



FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

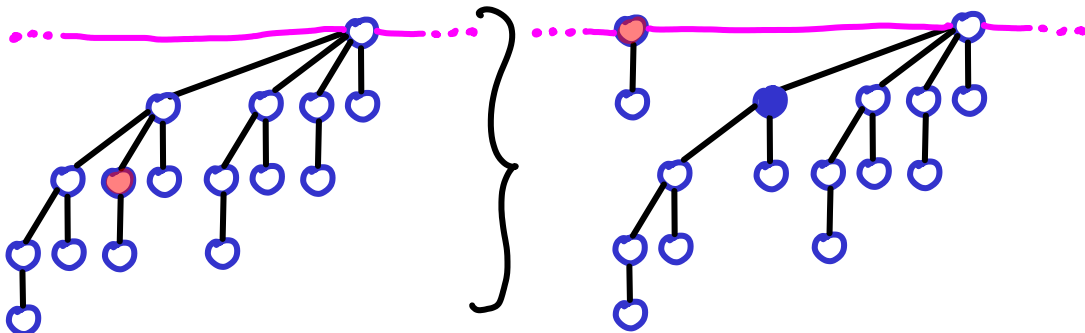
EXTRACT MIN $O(n)$
 $O(\log n)$ am.

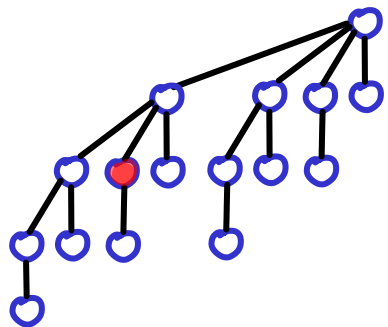
1) Move key's subtree to root list

2) When a node moves to root during DECREASE op.

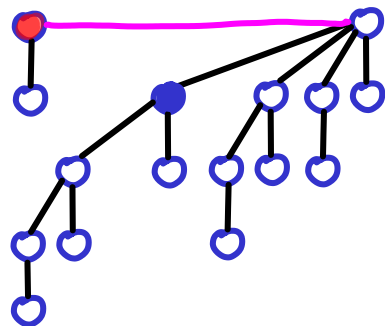
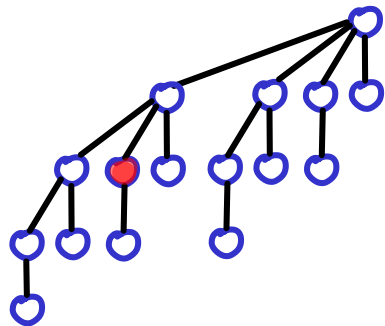
- if parent is unmarked, mark it •
- * else move parent's subtree to root (recurse)
- unmark node

(* parent just lost 2nd child since being unmarked)

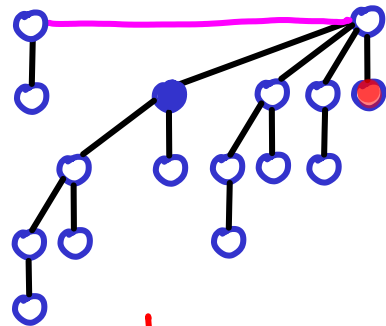
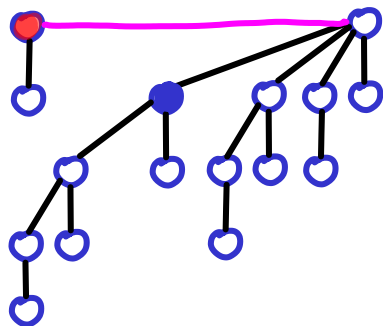
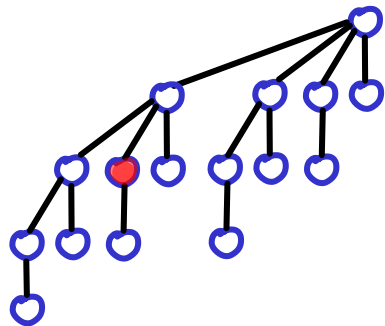




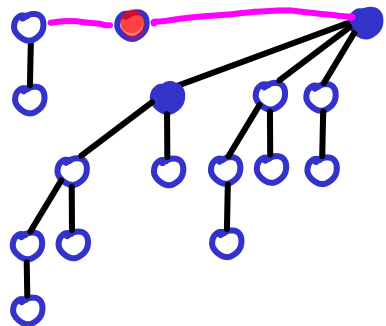
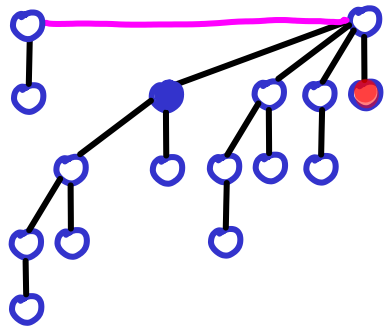
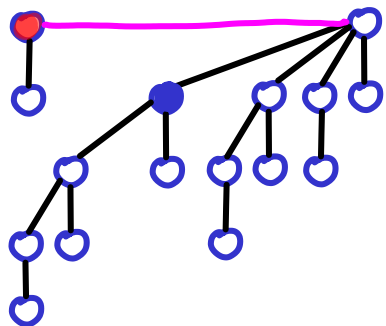
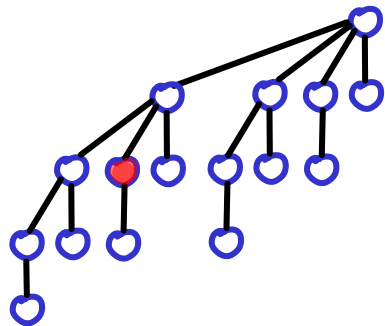
decrease key



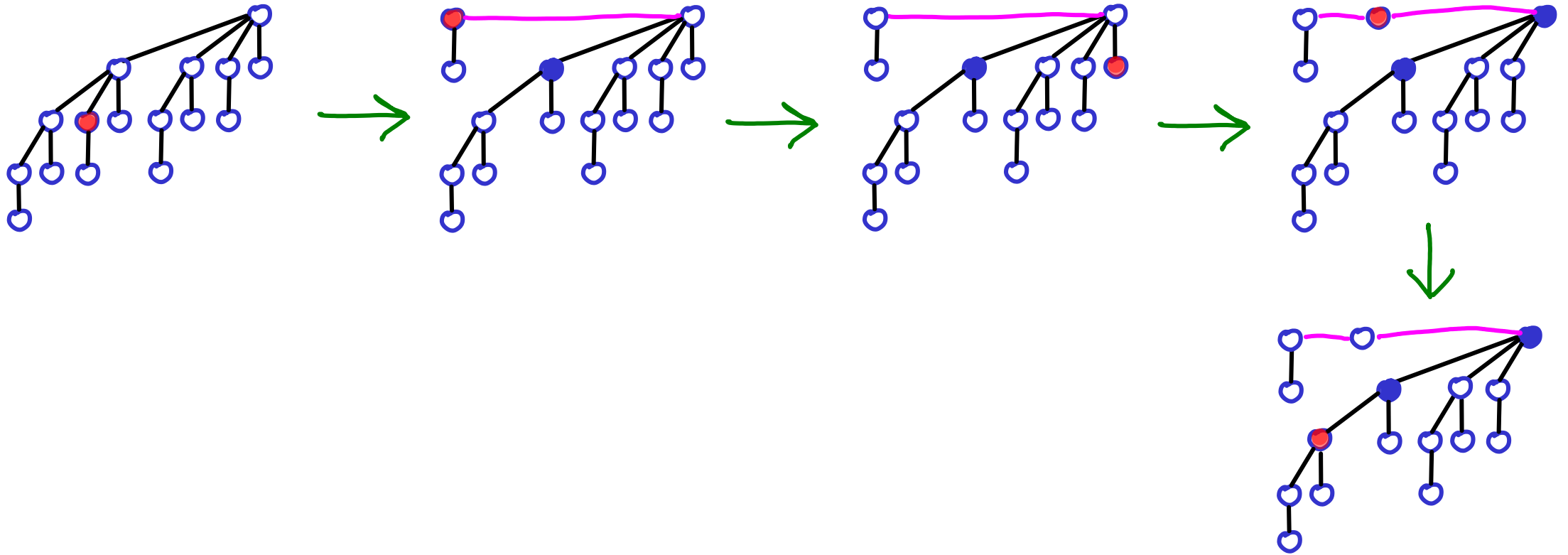
move to root
mark parent

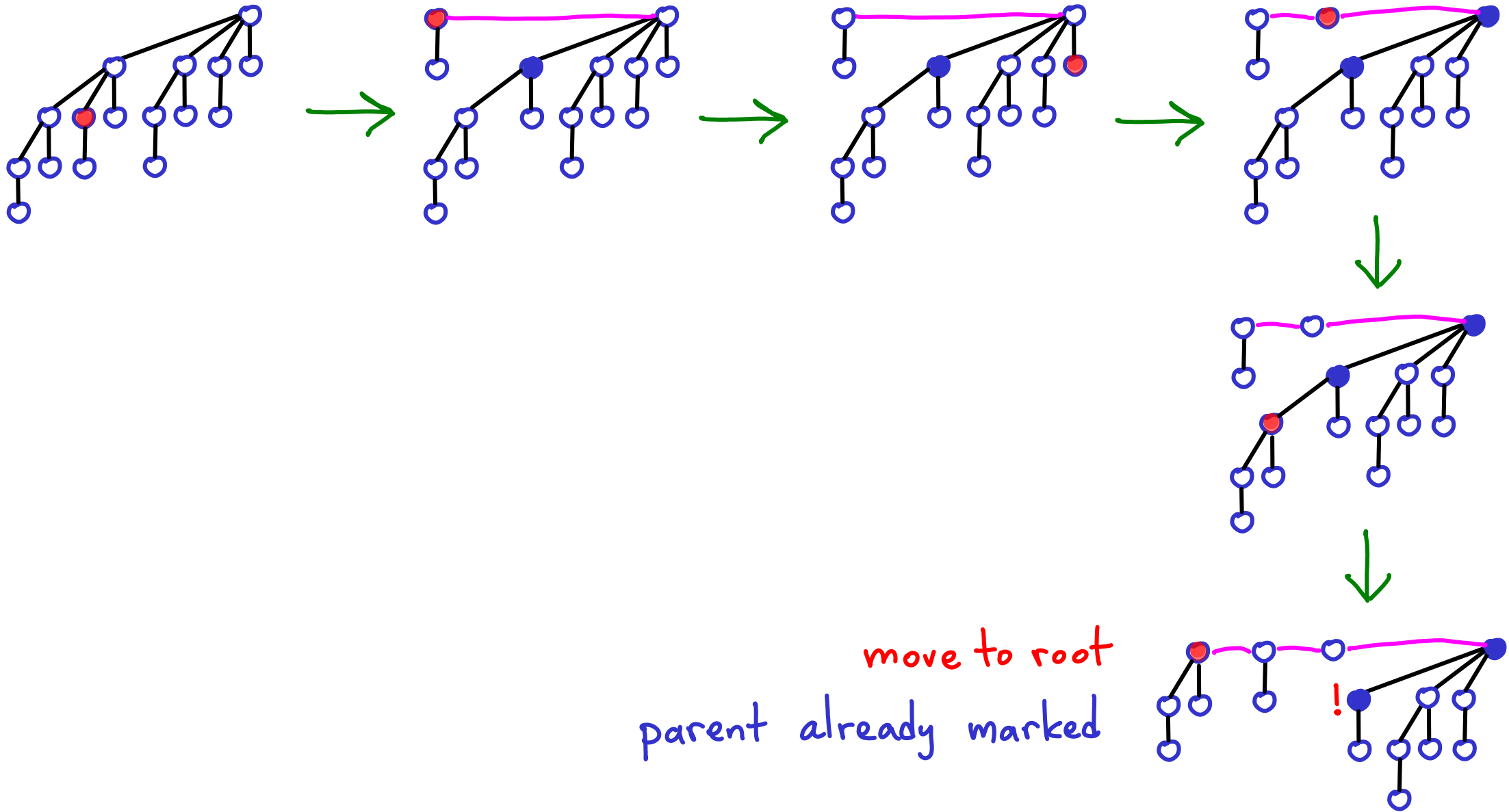


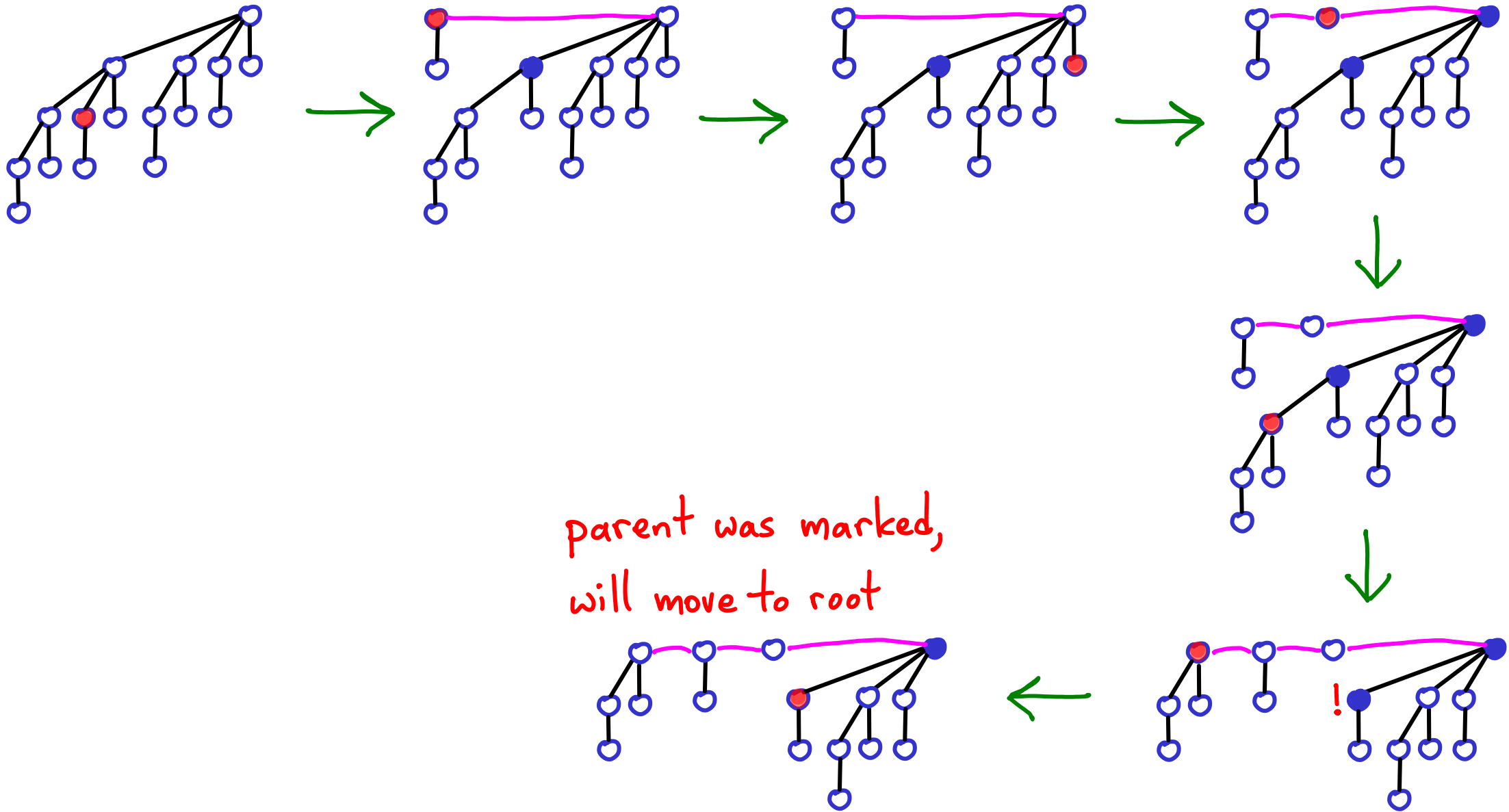
decrease key

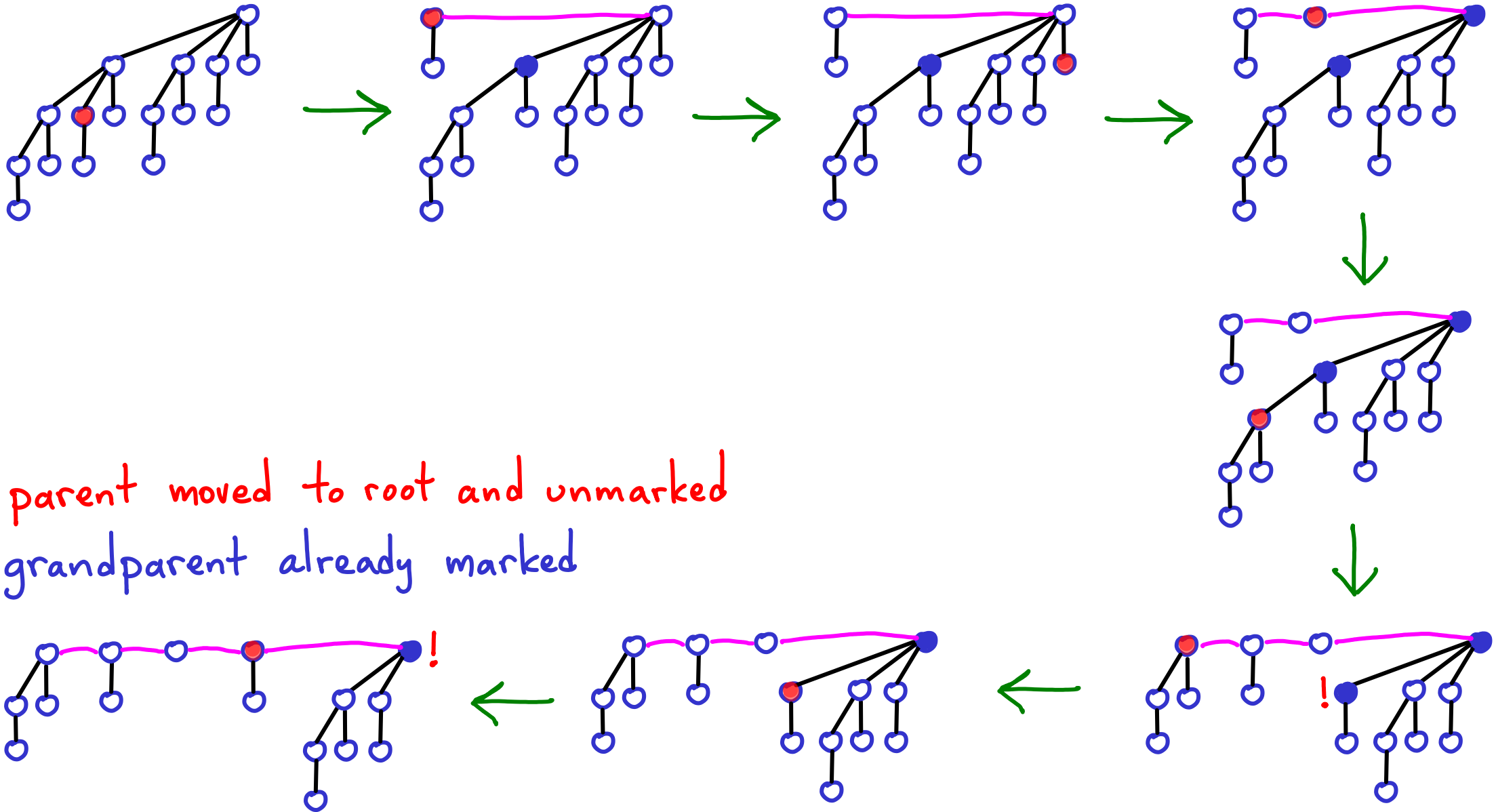


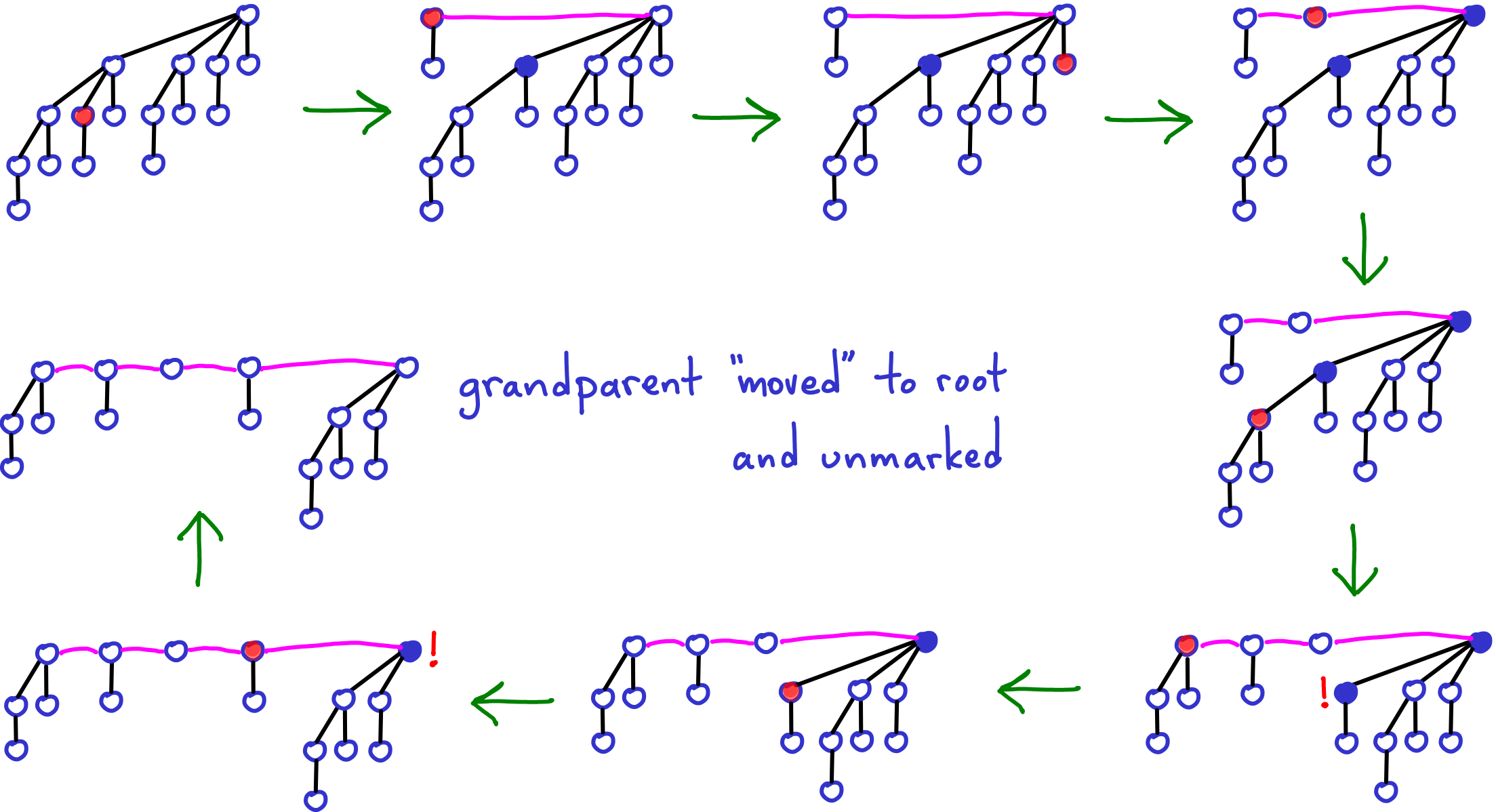
move to root
mark parent











FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

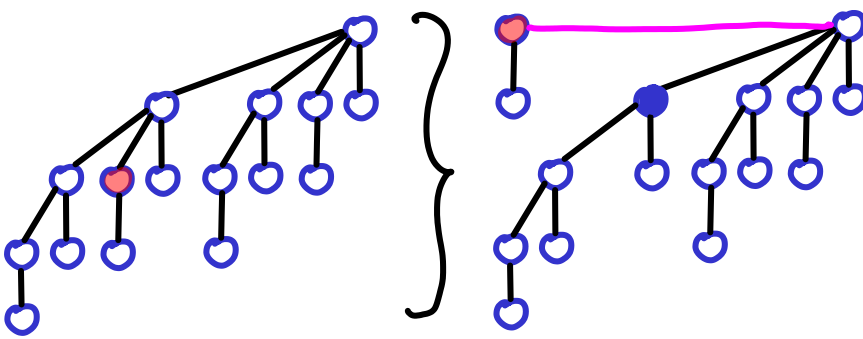
EXTRACT MIN $O(n)$
 $O(\log n)$ am.

1) Move key's subtree to root list

2) When a node moves to root during DECREASE op.

- if parent is unmarked, mark it •
- else move parent's subtree to root (recurse)
- unmark node

Cost: $\Theta(1 + \text{\#consecutive marked ancestors})$



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DECREASE $O(n)$
 $O(1)$ am.

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 $O(\log n)$ am.

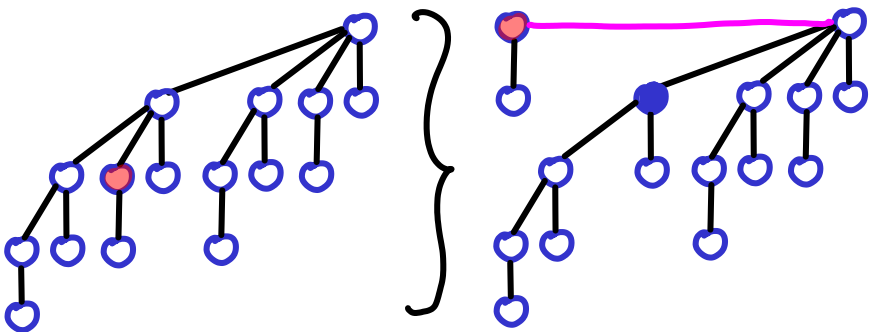
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Amortize: $\Phi = \# \text{marked nodes} \rightarrow \hat{c} = O(1)$



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 - INSERT
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- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
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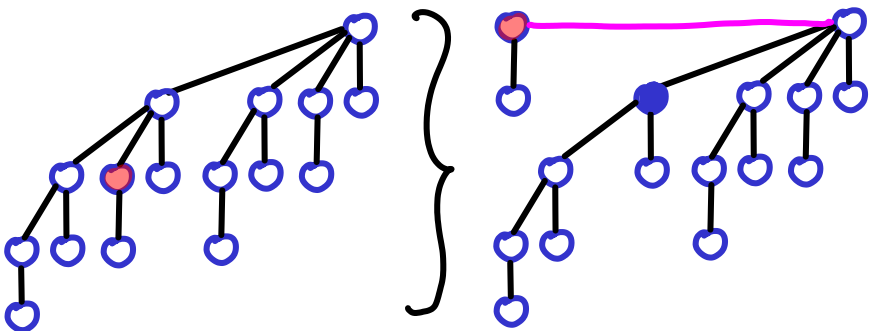
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...but we are affecting $\Phi(\text{EXTRACT MIN})$
(making new roots)



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 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
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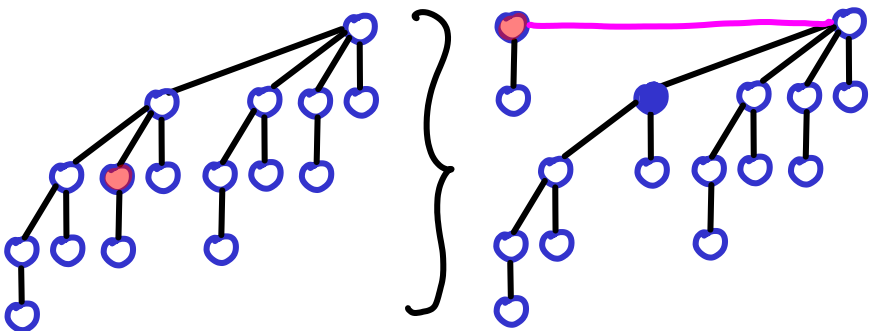
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Amortize: $\Phi = \# \text{marked nodes} \rightarrow \hat{c} = O(1)$

...but we are affecting $\Phi(\text{EXTRACT MIN})$
(making new roots)

Fix $\rightarrow \Phi = 2 \cdot \# \text{marked nodes}$



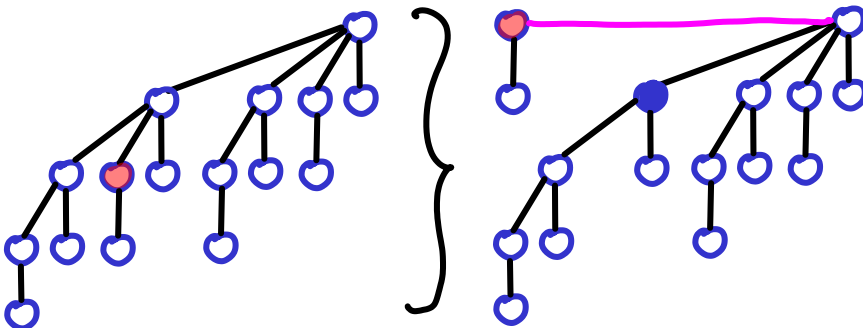
FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT
MIN $O(n)$
 $O(\log n)$ am.

$$\left. \begin{array}{l} \Phi = 2 \cdot \# \text{ marked nodes} = 2m \\ \Phi = \# \text{ roots} = r \end{array} \right\} \text{ total } \Phi = r + 2m$$



we still don't have degree = $O(\log n)$

FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

c

$\Delta\Phi$

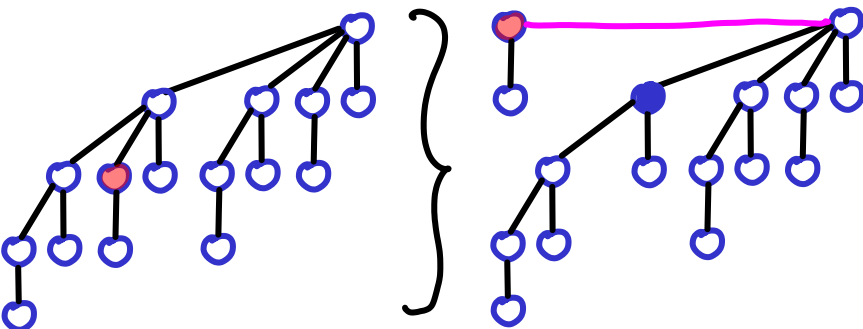
$\hat{c}$

$O(1)$

$1 + \Delta m$

$(\Delta m = m_i - m_{i+1})$

$r_i + \deg(\text{MIN})$



$$\Phi = r + 2m$$

roots + 2 · # marked nodes

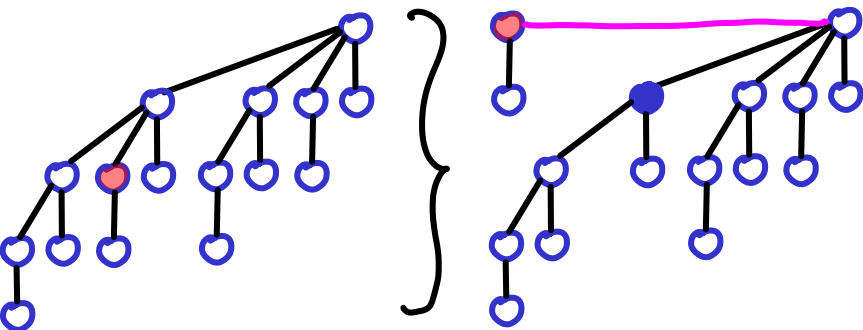
FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

c	$\Delta\Phi$	$\hat{c}$
$O(1)$	$O(1)$	$O(1)$
$1 + \Delta m$ $(\Delta m = m_i - m_{i+1})$		
$r_i + \deg(\text{MIN})$		



$$\Phi = r + 2m$$

roots + 2 * # marked nodes

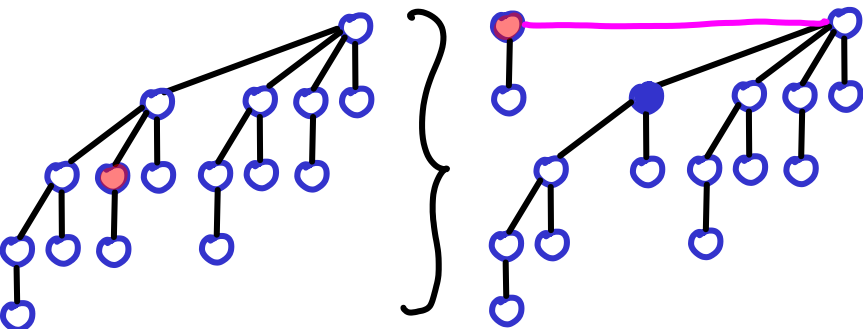
FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

c	$\Delta\Phi$	$\hat{c}$
$O(1)$	$O(1)$	$O(1)$
$1 + \Delta m$ $(\Delta m = m_i - m_{i+1})$	$1 - \Delta m$ $(\Delta r = 1 + \Delta m)$	
$r_i + \deg(\text{MIN})$		



$$\Phi = r + 2m$$

roots + 2 * # marked nodes

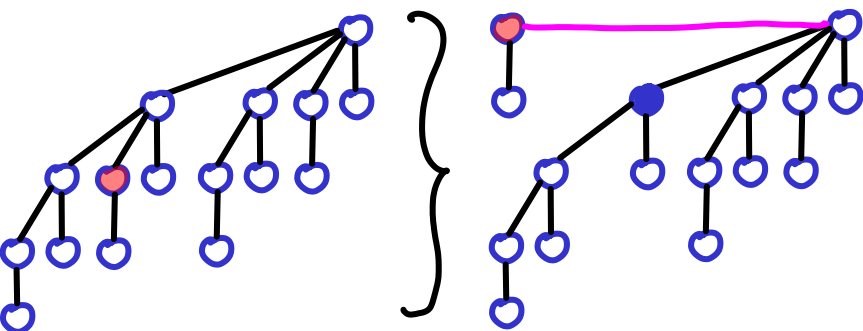
FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.

c	$\Delta\Phi$	$\hat{c}$
$O(1)$	$O(1)$	$O(1)$
$1 + \Delta m$ $(\Delta m = m_i - m_{i+1})$	$1 - \Delta m$ $(\Delta r = 1 + \Delta m)$	$O(1)$
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$$\Phi = r + 2m$$

roots + 2 * # marked nodes

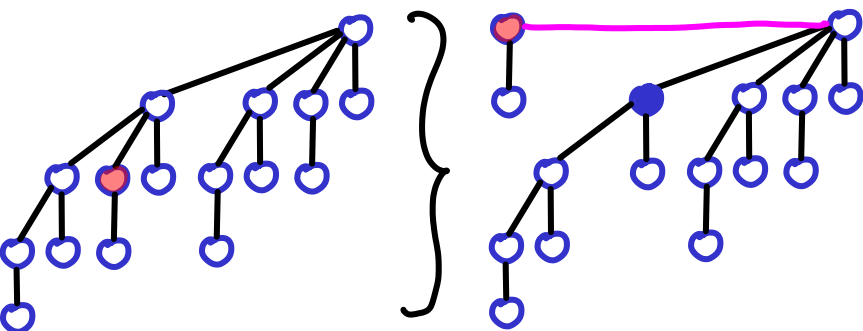
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$1 + \Delta m$ $(\Delta m = m_i - m_{i+1})$	$1 - \Delta m$ $(\Delta r = 1 + \Delta m)$	$O(1)$
$r_i + \deg(\text{MIN})$	$r_{i+1} - r_i$ $(\Delta m = 0)$	



$$\Phi = r + 2m$$

roots + 2 * # marked nodes

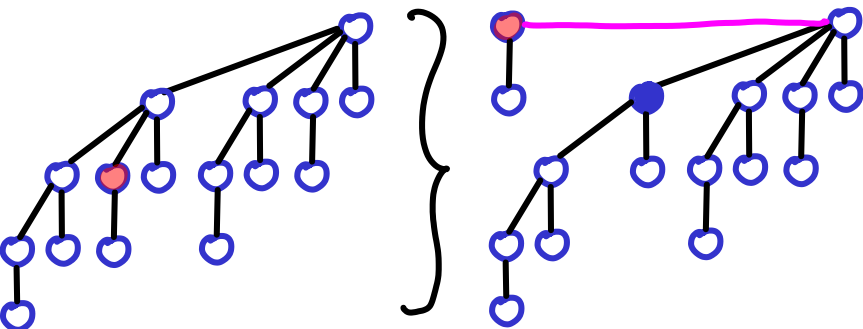
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$$\Phi = r + 2m$$

roots + 2 * # marked nodes

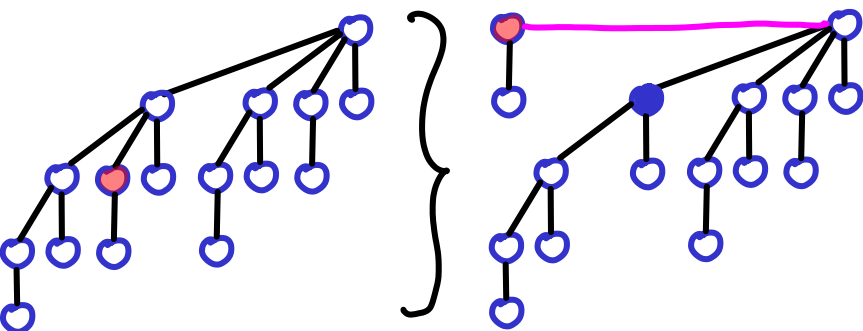
FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
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DECREASE $O(n)$
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$r_i + \deg(\text{MIN})$	$r_{i+1} - r_i$ $(\Delta m = 0)$	$r_{i+1} + \deg(\text{MIN})$



need $\deg(\text{MIN}) = O(\log n)$
 $\hookrightarrow$ implies $r_{i+1} = O(\log n)$

$$\Phi = r + 2m$$

roots + 2 * # marked nodes

FINALE: Bounding the degree of a node

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EXTRACT-MIN $\hat{C} = r_{i+1} + \deg(\text{MIN})$

$\underbrace{\hspace{1.5cm}}$
want max degree = $O(\log n)$

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We will show: $\text{subtree size}(x) = s(x) \geq F_{\deg(x)+2}$

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$$F_k = \frac{\phi^k}{\sqrt{5}} > 2F_{k-2} = \Omega(2^{k/2})$$

FINALE: Bounding the degree of a node

EXTRACT-MIN $\hat{c} = r_{i+1} + \deg(\text{MIN})$

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$$\text{subtree size}(x) = s(x) \geq \underline{F_{\deg(x)+2} = \Omega(\Phi^{\deg(x)})}$$

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$\hookrightarrow \boxed{\log_{\Phi} n = \Omega(\deg(x))}$

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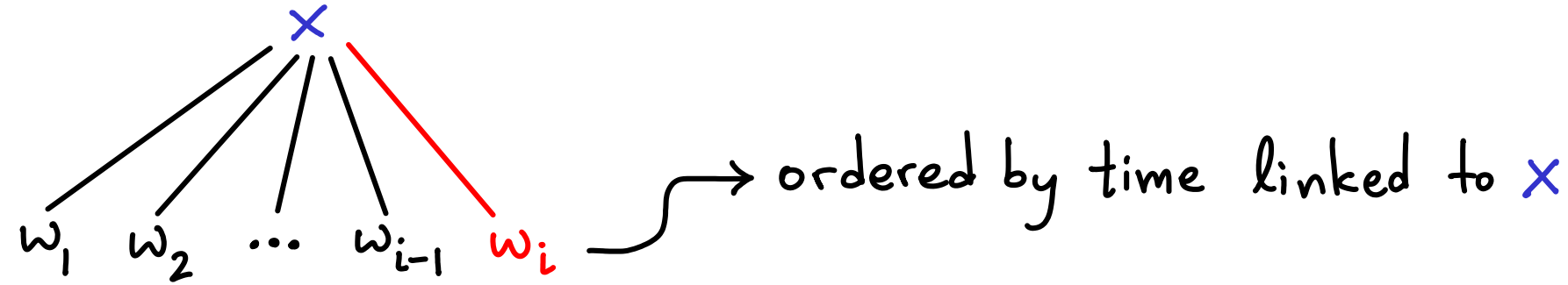
$\hookrightarrow n \geq \text{subtree size}(x) = s(x) \geq \underline{F_{\deg(x)+2} = \Omega(\Phi^{\deg(x)})}$

$$F_k = \frac{\Phi^k}{\sqrt{5}} > 2F_{k-2} = \Omega(2^{k/2})$$

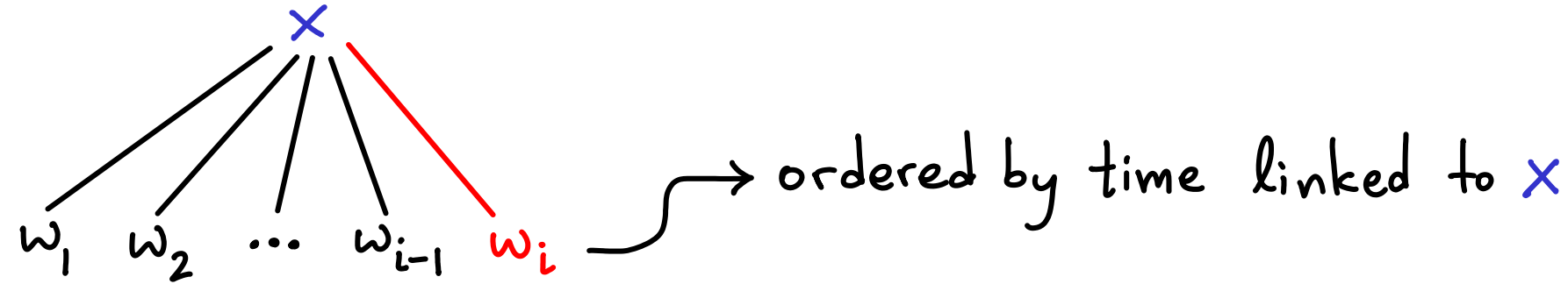
$\hookrightarrow \boxed{\log_{\Phi} n = \Omega(\deg(x))}$

$$\text{subtree size}(x) = s(x) \geq F_{\deg(x)+2} \quad ?$$

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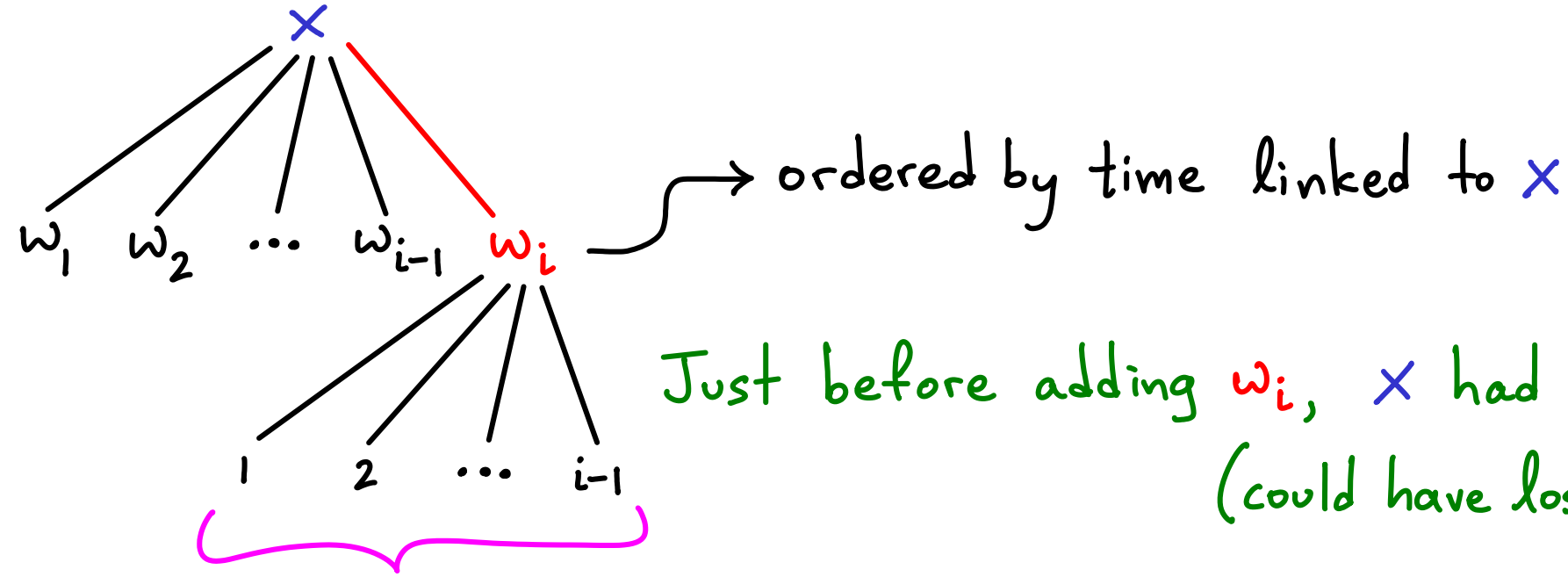


$$\text{subtree size}(x) = s(x) \geq F_{\deg(x)+2} \quad ?$$



Just before adding w_i , x had $\geq i-1$ children.
(could have lost some after w_i)

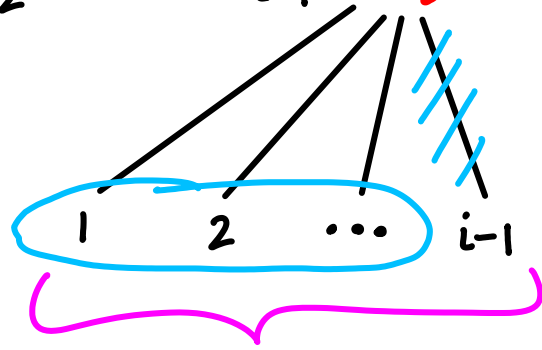
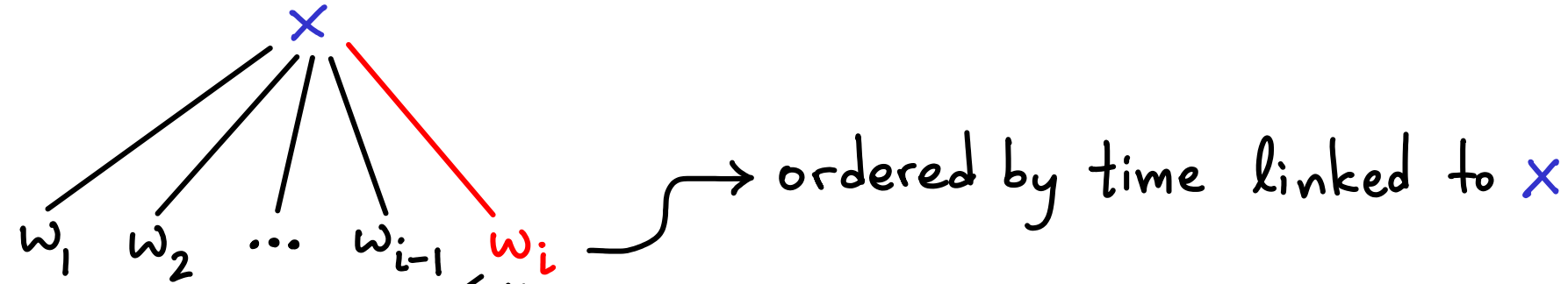
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Just before adding w_i , x had $\geq i-1$ children.
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So w_i had $\deg(w_i) = \deg(x) \geq i-1$ (we link trees of equal degree)

$$\text{subtree size}(x) = s(x) \geq F_{\deg(x)+2} \quad ?$$

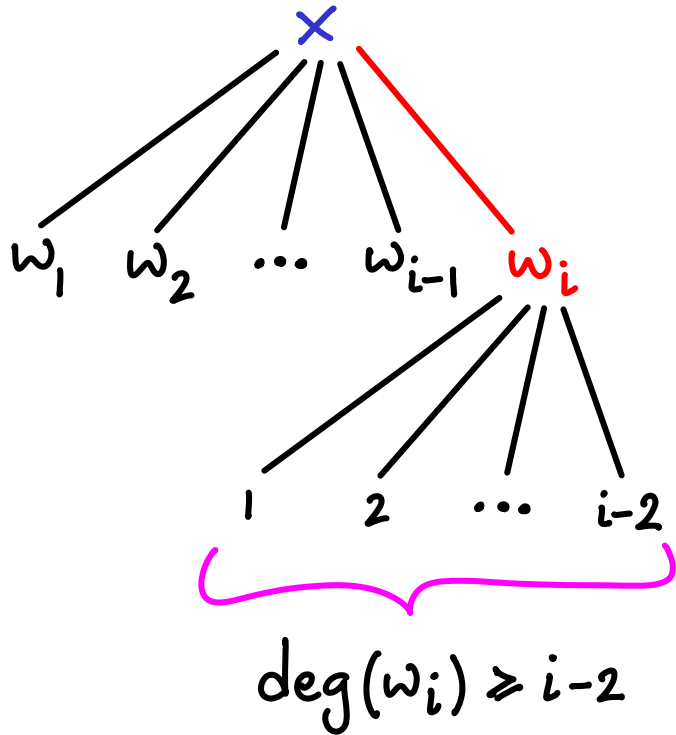


Just before adding w_i , x had $\geq i-1$ children.
(could have lost some after w_i)

So w_i had $\deg(w_i) = \deg(x) \geq i-1$ (we link trees of equal degree)

At this moment, $\deg(w_i) \geq i-2$ (if it had lost 2 children, it would be a root)

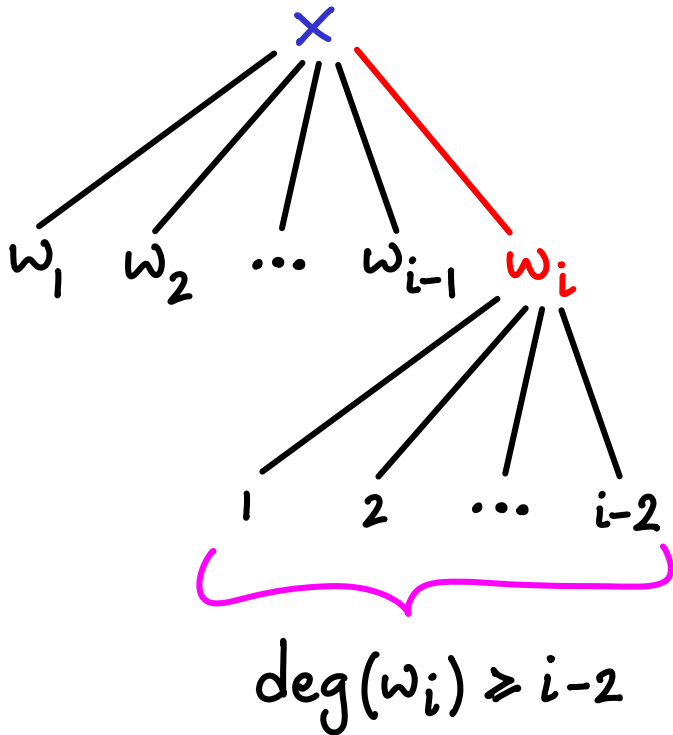
$$\text{subtree size}(x) = s(x) \geq F_{\deg(x)+2} \quad ?$$



$$\text{subtree size}(x) = s(x) \geq F_{\deg(x)+2} \quad ?$$

if x has degree $\deg(x) = d$,

how small can $s(x)$ be? Let $\min = S_d$

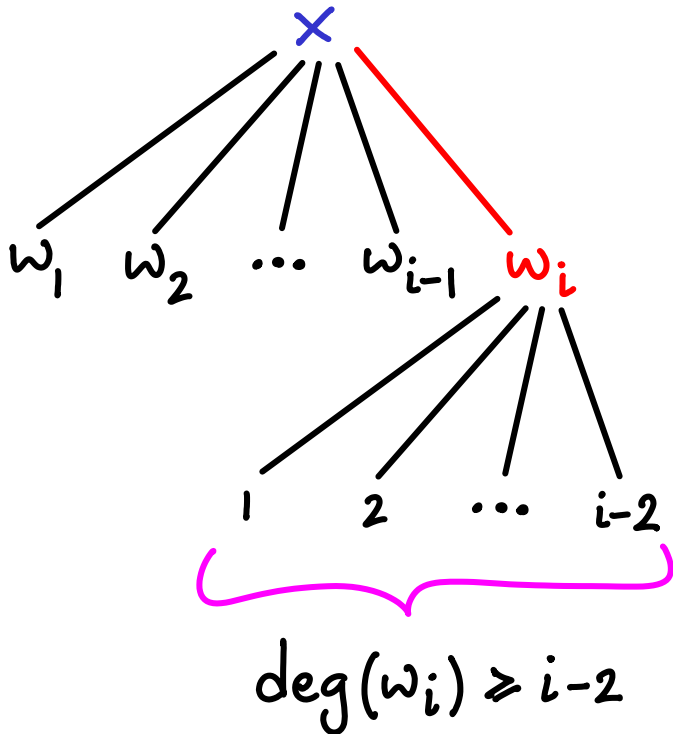


$$\text{subtree size}(x) = s(x) \geq F_{\deg(x)+2} \quad ?$$

if x has degree $\deg(x) = d$,

how small can $s(x)$ be? Let $\min = s_d$

$$s_0 = 1$$



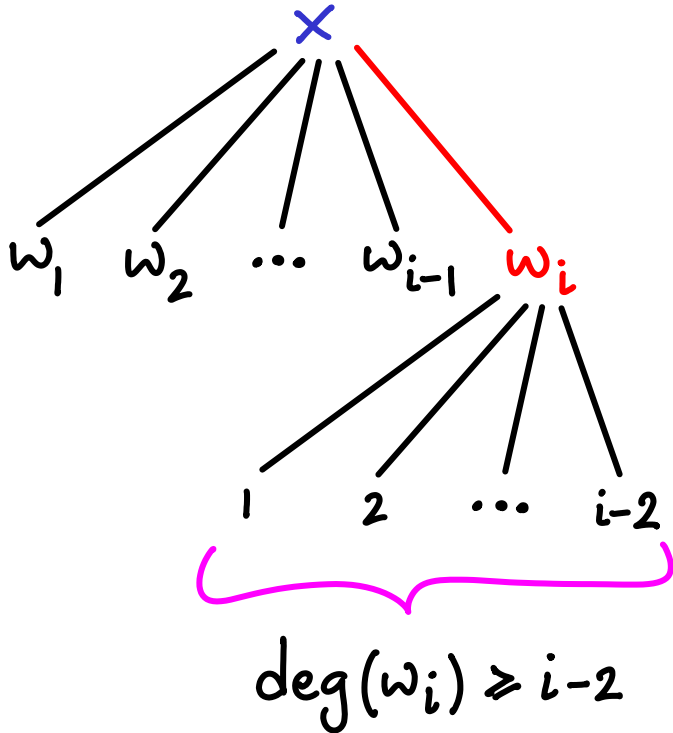
$$\text{subtree size}(x) = s(x) \geq F_{\deg(x)+2} \quad ?$$

if x has degree $\deg(x) = d$,

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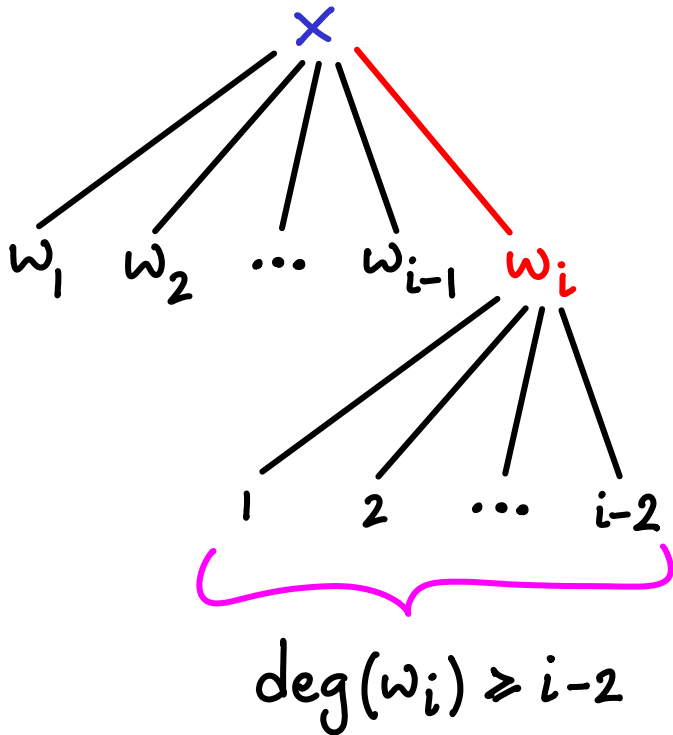
$$s_0 = 1$$

$$s_1 = 2$$



$$\text{subtree size}(x) = s(x) \geq F_{\deg(x)+2} \quad ?$$

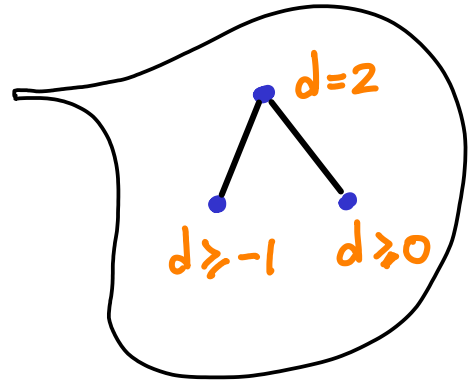
if x has degree $\deg(x) = d$,
 how small can $s(x)$ be? Let $\min = s_d$



$$s_0 = 1$$

$$s_1 = 2$$

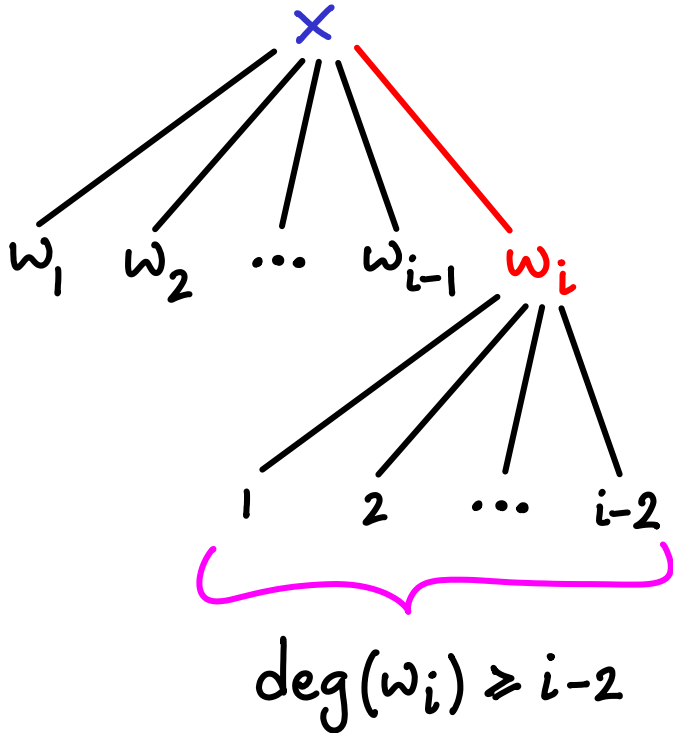
$$s_2 = 3$$



$$\text{subtree size}(x) = s(x) \geq F_{\deg(x)+2} \quad ?$$

if x has degree $\deg(x) = d$,

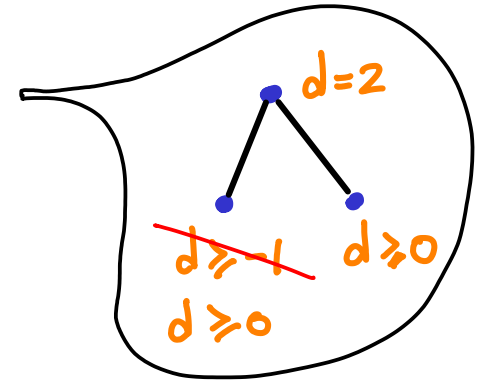
how small can $s(x)$ be? Let $\min = s_d$



$$s_0 = 1$$

$$s_1 = 2$$

$$s_2 = 3$$



$$\text{subtree size}(x) = s(x) \geq F_{\deg(x)+2} \quad ?$$

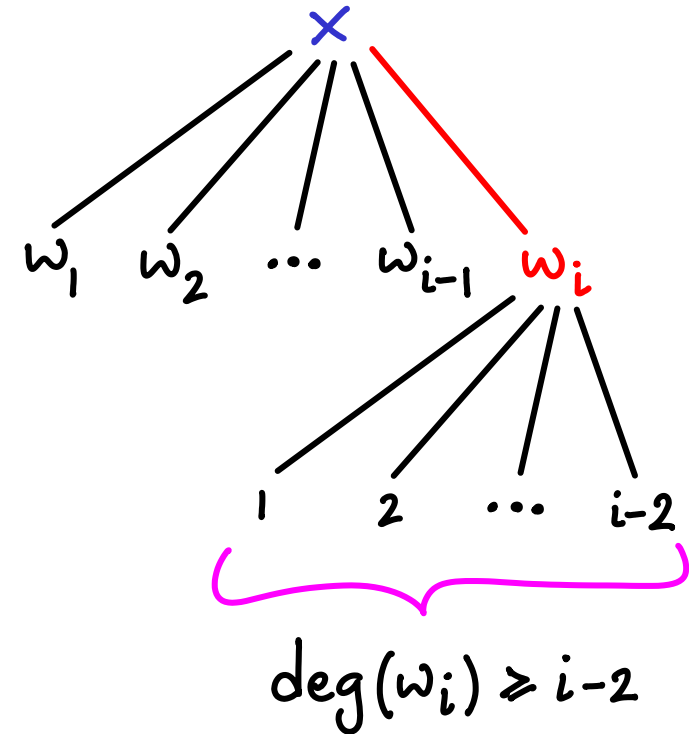
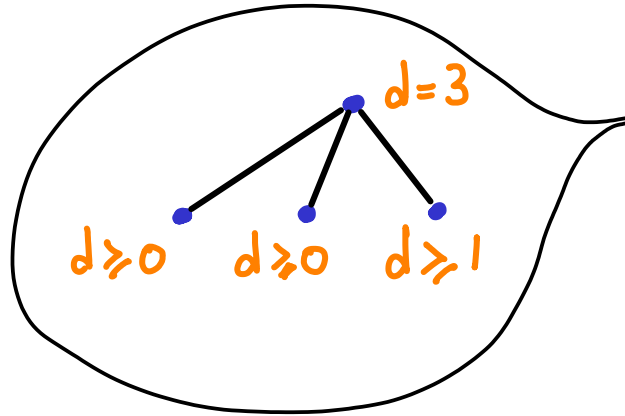
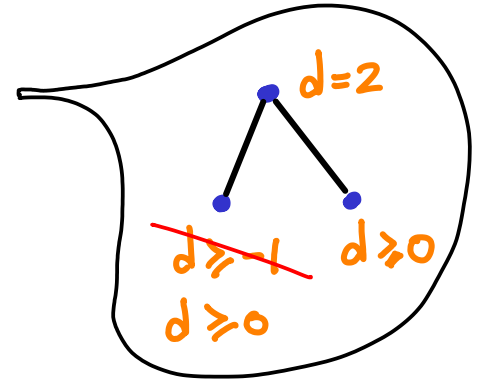
if x has degree $\deg(x) = d$,
how small can $s(x)$ be? Let $\min = s_d$

$$s_0 = 1$$

$$s_1 = 2$$

$$s_2 = 3$$

$$s_3$$



$$\text{subtree size}(x) = s(x) \geq F_{\deg(x)+2} \quad ?$$

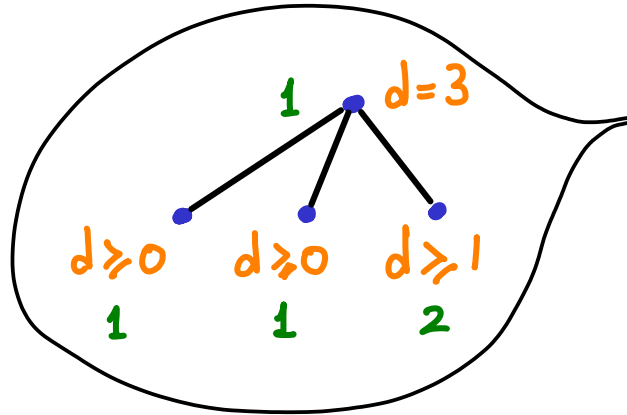
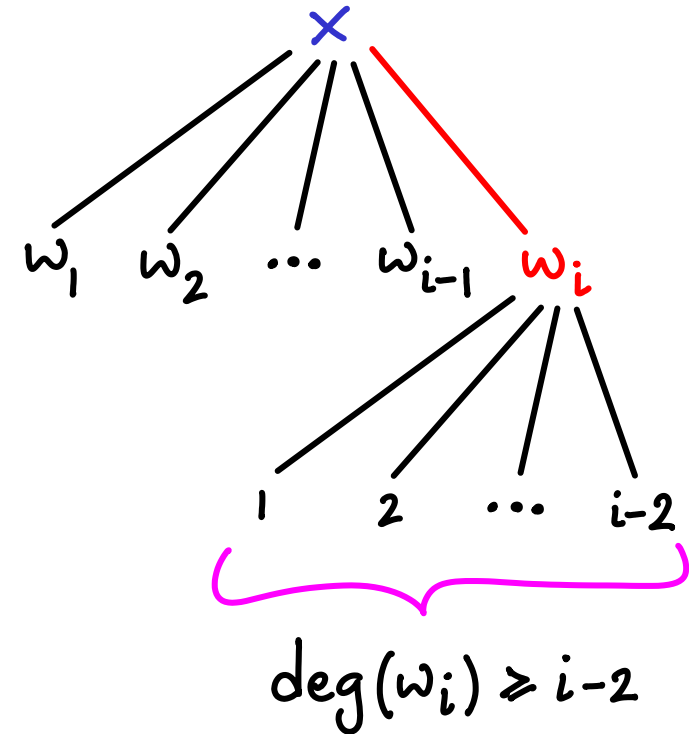
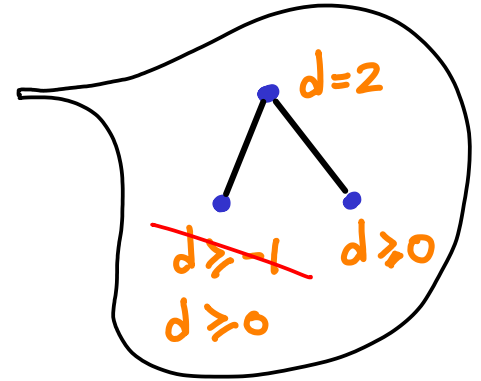
if x has degree $\deg(x) = d$,
how small can $s(x)$ be? Let $\min = s_d$

$$s_0 = 1$$

$$s_1 = 2$$

$$s_2 = 3$$

$$s_3 = 5$$



$$\text{subtree size}(x) = s(x) \geq F_{\deg(x)+2} \quad ?$$

if x has degree $\deg(x) = d$,
how small can $s(x)$ be? Let $\min = s_d$

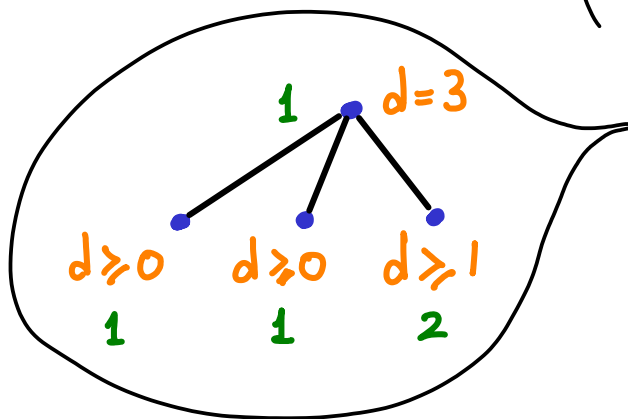
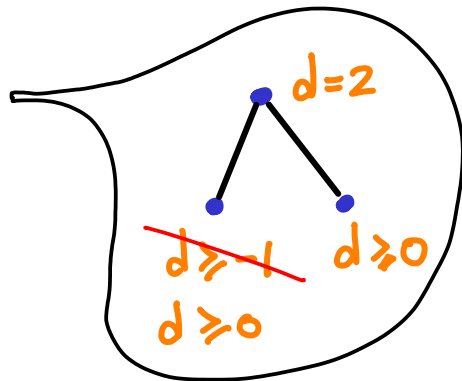
$$s_{-1} = 1$$

$$s_0 = 1$$

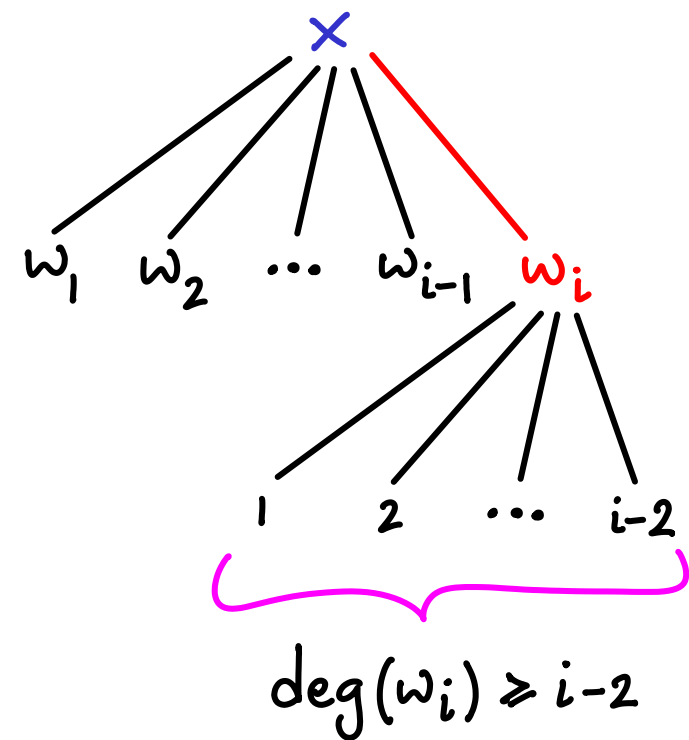
$$s_1 = 2$$

$$s_2 = 3$$

$$s_3 = 5$$



$$s_d = 1 + \sum_{i=1}^{\deg(x)} s_{i-2}$$



$$\text{subtree size}(x) = s(x) \geq F_{\deg(x)+2} \quad ?$$

if x has degree $\deg(x) = d$,
how small can $s(x)$ be? Let $\min = s_d$

$$s_{-1} = 1$$

 F_1

$$s_0 = 1$$

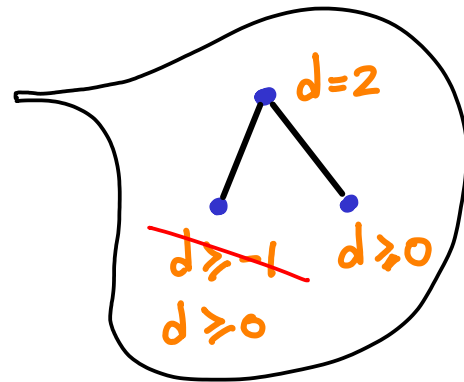
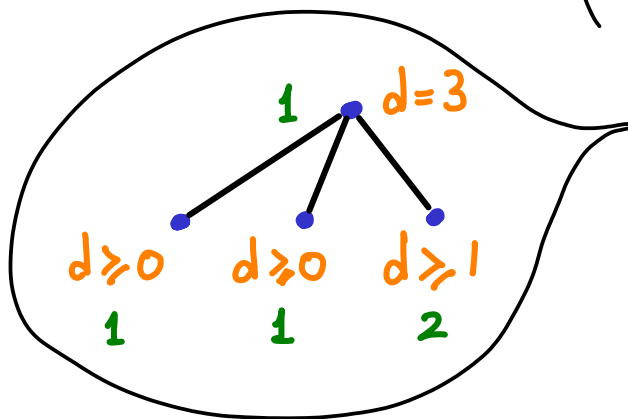
 F_2

$$s_1 = 2$$

 F_3
 $\vdots$

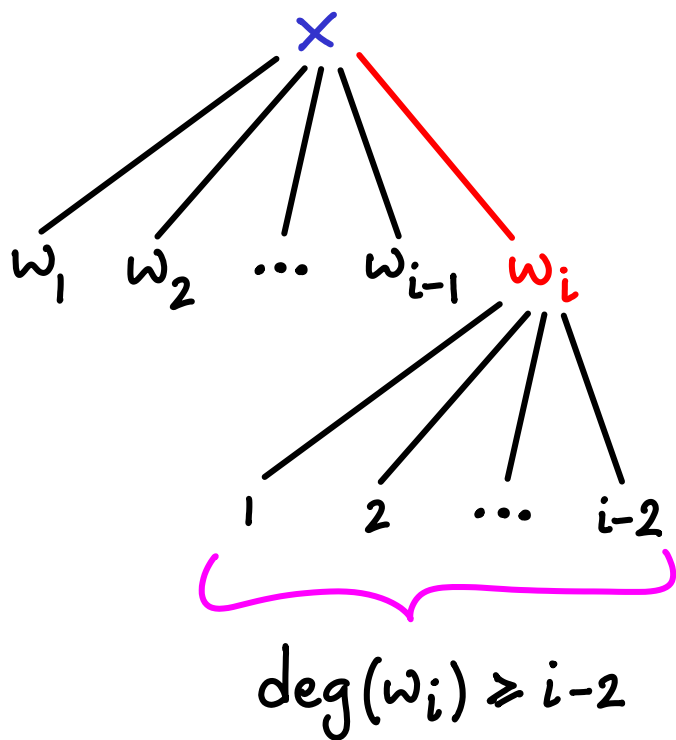
$$s_2 = 3$$

$$s_3 = 5$$



$$s_d = 1 + \sum_{i=1}^{\deg(x)} s_{i-2} = F_{d+2}$$

F_{d+2}



FINALE: Bounding the degree of a node

EXTRACT-MIN $\hat{c} = r_{i+1} + \deg(\text{MIN})$

want max degree = $O(\log n)$

SHOWED: subtree size(x) $\geq F_{\deg(x)+2}$

$\hookrightarrow n \geq \text{subtree size}(x) \geq \underline{F_{\deg(x)+2} = \Omega(\Phi^{\deg(x)})}$

$$F_k = \frac{\Phi^k}{\sqrt{5}} > 2F_{k-2} = \Omega(2^{k/2})$$

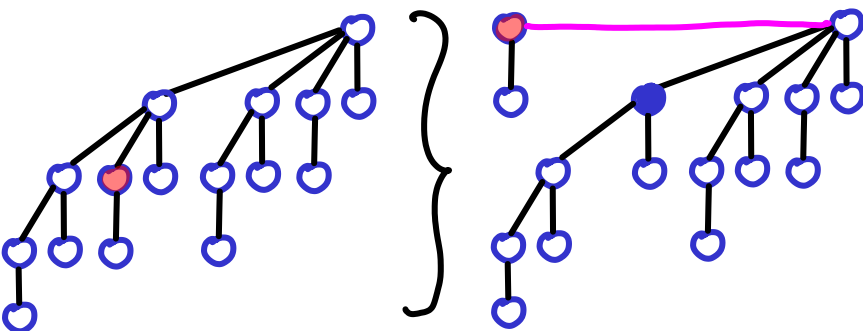
$\hookrightarrow \boxed{\log_{\Phi} n = \Omega(\deg(x))}$

FIBONACCI HEAPS

- MIN
 - INSERT
 - MERGE
- $O(1)$

DECREASE $O(n)$
 $O(1)$ am.

EXTRACT MIN $O(n)$
 $O(\log n)$ am.



c	$\Delta\Phi$	$\hat{c}$
$O(1)$	$O(1)$	$O(1)$
$1 + \Delta m$ ($\Delta m = m_i - m_{i+1}$)	$1 - \Delta m$ ($\Delta r = 1 + \Delta m$)	$O(1)$
$r_i + \deg(\text{MIN})$ $= O(n) + O(\log n)$	$r_{i+1} - r_i$ ($\Delta m = 0$)	$r_{i+1} + \deg(\text{MIN})$ $= O(\log n)$

GOT $\deg(\text{MIN}) = O(\log n)$
 $\hookrightarrow$ implies $r_{i+1} = O(\log n)$

$$\Phi = r + 2m$$

roots + 2 * # marked nodes

